# Second Regular Session Seventy-third General Assembly STATE OF COLORADO

# **PREAMENDED**

This Unofficial Version Includes Committee Amendments Not Yet Adopted on Second Reading

LLS NO. 22-0004.01 Brita Darling x2241

**SENATE BILL 22-154** 

#### SENATE SPONSORSHIP

Danielson,

## **HOUSE SPONSORSHIP**

McCormick and Young,

Senate Committees

**House Committees** 

Health & Human Services Appropriations

#### A BILL FOR AN ACT

# 101 CONCERNING INCREASING SAFETY IN ASSISTED LIVING RESIDENCES.

### **Bill Summary**

(Note: This summary applies to this bill as introduced and does not reflect any amendments that may be subsequently adopted. If this bill passes third reading in the house of introduction, a bill summary that applies to the reengrossed version of this bill will be available at <a href="http://leg.colorado.gov">http://leg.colorado.gov</a>.)

**Section 1** of the bill, with regard to the involuntary discharge of residents from an assisted living residence (residence):

- Requires a residence to provide written notice to the resident and other specified persons at least 30 days prior to the involuntary discharge;
- Requires the residence to include certain information and documentation with the written notice; and
- Establishes a process for a resident or other specified

persons to challenge an involuntary discharge, including the ability to file a grievance with the residence, a requirement that the residence respond to the grievance, the ability to appeal to the department of public health and environment (department), and the ability to request an administrative hearing.

**Section 2** requires the state board of health (state board) to promulgate rules that:

- Require all residence administrators, on and after January 1, 2024, to meet or exceed the minimum educational, training, and experience standards established by the state board, and **section 3** establishes a fine for the residence if the residence's administrator fails to meet the standards;
- Require the residence owner or residence to conduct a check of the Colorado adult protective services data system for any person responsible for the care and welfare of residents;
- Require the residence to comply with provisions concerning involuntary discharge of residents; and
- Establish a range of fines for violations, including violations that result in harm or injury to residents.

**Section 3** removes the \$2,000 annual cap on the amount of fines that may be imposed by the department as an intermediate restriction or condition on a residence license and requires the department to impose a fine for any violation resulting in actual harm or injury to a resident. The bill allows the department to determine the amount of the fine, consistent with state board rules, based on factors listed in the bill including, in part:

- The size of the residence and the number of residents impacted by the violation;
- The actual or potential harm to one or more residents;
- Prior violations or a pattern of violations; and
- The level of fine that will deter future violations.

### **Section 3** also:

- Requires the department to suspend, revoke, or refuse to renew a residence license if a resident is subject to mistreatment that causes injury to the resident; the residence's owner or administrator either directly caused the mistreatment or the mistreatment resulted from the administrator's failure to adequately train or supervise employees; and a directed written plan to correct the violation, in addition to the assessment of civil fines, has not or is not reasonably expected to correct the violations; and
- Permits the department to refuse to renew a license if the residence administrator does not meet or exceed the

-2- 154

minimum educational, training, and experience standards established by the state board.

1	Be it enacted by the General Assembly of the State of Colorado:
2	SECTION 1. In Colorado Revised Statutes, add 25-27-104.3 as
3	follows:
4	25-27-104.3. Involuntary discharge - notice - grievance process
5	- appeal - hearing - definition. (1) (a) (I) EXCEPT AS PROVIDED IN
6	SUBSECTION (1)(c) OF THIS SECTION, AN ASSISTED LIVING RESIDENCE
7	SHALL PROVIDE WRITTEN NOTICE OF ANY INVOLUNTARY DISCHARGE OF A
8	RESIDENT AT LEAST THIRTY CALENDAR DAYS IN ADVANCE OF THE
9	DISCHARGE TO:
10	(A) THE RESIDENT;
11	(B) THE RESIDENT'S LEGAL REPRESENTATIVE; AND
12	(C) ANY RELATIVE OR OTHER PERSON LISTED AS A CONTACT
13	PERSON FOR THE RESIDENT OR DESIGNATED TO RECEIVE NOTICE OF A
14	DISCHARGE.
15	(II) WITHIN FIVE DAYS AFTER PROVIDING WRITTEN NOTICE TO THE
16	RESIDENT, THE RESIDENCE SHALL SEND THE DISCHARGE NOTICE TO THE
17	STATE LONG-TERM CARE OMBUDSMAN AND THE LOCAL OMBUDSMAN.
18	(b) (I) AT A MINIMUM, THE NOTICE OF DISCHARGE MUST INCLUDE
19	A DETAILED EXPLANATION OF THE REASON OR REASONS FOR THE
20	INVOLUNTARY DISCHARGE, INCLUDING:
21	(A) FACTS AND EVIDENCE SUPPORTING EACH REASON GIVEN BY
22	THE RESIDENCE;
23	(B) A RECOUNTING OF EVENTS LEADING TO THE INVOLUNTARY
24	DISCHARGE, INCLUDING INTERACTIONS WITH THE RESIDENT OVER A PERIOD
25	OF TIME PRIOR TO THE NOTICE, AND ACTIONS TAKEN TO AVOID DISCHARGE

-3-

1	AND THE TIMING OF THOSE ACTIONS:

- (C) A STATEMENT THAT THE <u>RESIDENT OR</u> A PERSON LISTED IN SUBSECTION (1)(a)(I) OF THIS <u>SECTION</u> \_\_\_\_ HAS THE RIGHT TO FILE A GRIEVANCE WITH THE RESIDENCE CHALLENGING THE INVOLUNTARY DISCHARGE WITHIN FOURTEEN DAYS AFTER THE WRITTEN NOTICE, THAT THE RESIDENCE'S DESIGNEE MUST PROVIDE A RESPONSE TO THE GRIEVANCE WITHIN FIVE BUSINESS DAYS AFTER RECEIVING THE GRIEVANCE, AND, IF THE RESIDENT OR PERSON FILING THE GRIEVANCE IS DISSATISFIED WITH THE RESPONSE, THAT THE RESIDENT OR PERSON FILING THE GRIEVANCE MAY APPEAL TO THE EXECUTIVE DIRECTOR OF THE DEPARTMENT OR THE EXECUTIVE DIRECTOR'S DESIGNEE PURSUANT TO SUBSECTION (3) OF THIS SECTION; AND
  - (D) NAMES AND CONTACT INFORMATION, INCLUDING TELEPHONE NUMBERS, ADDRESSES, AND E-MAIL ADDRESSES, FOR THE STATE LONG-TERM CARE OMBUDSMAN, THE LOCAL OMBUDSMAN, AND THE DEPARTMENT.
  - (II) IF THE RESIDENCE'S INVOLUNTARY DISCHARGE OF THE RESIDENT IS DUE TO A MEDICAL OR PHYSICAL CONDITION RESULTING IN A REQUIRED LEVEL OF CARE THAT CANNOT BE TREATED WITH MEDICATION OR SERVICES ROUTINELY PROVIDED BY THE RESIDENCE'S STAFF OR AN EXTERNAL SERVICE PROVIDER, THE NOTICE MUST ALSO INCLUDE AN ASSESSMENT BY THE RESIDENT'S PHYSICIAN OR APPLICABLE HEALTH-CARE OR BEHAVIORAL HEALTH PROVIDER OF THE RESIDENT'S CURRENT NEEDS IN RELATION TO THE RESIDENT'S MEDICAL AND PHYSICAL CONDITION.
  - (c) If the stated reason for the involuntary discharge <u>of</u>

    <u>A RESIDENT WITHOUT THIRTY DAYS' NOTICE</u> IS BECAUSE THE RESIDENT

    REQUIRES A LEVEL OF CARE THAT CANNOT BE MET BY THE RESIDENCE OR

-4- 154

1	THE RESIDENT HAS DEMONSTRATED THAT THE RESIDENT IS A DANGER TO
2	THE RESIDENT OR OTHERS, THE RESIDENCE SHALL GIVE AS MUCH ADVANCE
3	NOTICE AS IS REASONABLE UNDER THE CIRCUMSTANCES PRIOR TO THE
4	RESIDENT'S REMOVAL FROM THE RESIDENCE. THE RESIDENCE MUST STILL
5	PROVIDE WRITTEN NOTICE OF THE INVOLUNTARY DISCHARGE PURSUANT
6	TO SUBSECTION (1)(b) OF THIS SECTION AS SOON AS POSSIBLE TO THE
7	RESIDENT, OTHER PERSONS LISTED IN SUBSECTION (1)(a)(I) OF THIS
8	SECTION, AND THE STATE LONG-TERM CARE OMBUDSMAN AND THE LOCAL
9	OMBUDSMAN. NOTWITHSTANDING THE RESIDENT'S INVOLUNTARY
10	DISCHARGE FROM THE RESIDENCE PURSUANT TO THIS SUBSECTION (1)(c),
11	THE RESIDENT MAY FILE A GRIEVANCE RELATING TO THE INVOLUNTARY
12	DISCHARGE WITHIN FOURTEEN DAYS AFTER THE RESIDENT'S RECEIPT OF
13	THE WRITTEN NOTICE OF INVOLUNTARY DISCHARGE REQUIRED PURSUANT
14	TO SUBSECTION (1)(b) OF THIS SECTION.
15	(2)(a)(I)EachassistedLivingresidenceshalldesignatean
16	INDIVIDUAL TO RECEIVE GRIEVANCES, PURSUANT TO SUBSECTION (2)(a)(II)
17	OF THIS SECTION, RELATING TO THE INVOLUNTARY DISCHARGE OF A
18	RESIDENT.
19	(II) A RESIDENT OR ANY PERSON LISTED IN SUBSECTION (1)(a)(I)
20	OF THIS SECTION MAY FILE A GRIEVANCE WITH THE DESIGNEE WITHIN
21	FOURTEEN DAYS AFTER WRITTEN NOTICE IS GIVEN TO THE RESIDENT
22	PURSUANT TO SUBSECTION (1)(b) OR (1)(c) OF THIS SECTION CHALLENGING
23	THE INVOLUNTARY DISCHARGE OF THE RESIDENT AND THE REASONS FOR
24	THE DISCHARGE.
25	(III) A RESIDENT OR A PERSON LISTED IN SUBSECTION (1)(a)(I) OF
26	THIS SECTION FILING A GRIEVANCE SHALL SUBMIT THE GRIEVANCE IN
27	WRITING, CAUSE IT TO BE WRITTEN, OR STATE IT ORALLY TO THE DESIGNEE,

-5- 154

WITH THE <u>PERSON FILING THE GRIEVANCE</u> PROVIDING SOME EVIDENCE OF THE ORAL SUBMISSION OF THE GRIEVANCE OR A WITNESS ATTESTING TO THE ORAL SUBMISSION.

- (b) No Later than <u>five business</u> days after a grievance has been submitted pursuant to subsection (2)(a) of this section, the designee shall provide a written response to the grievance to the resident, the persons listed in subsection (1)(a)(I) of this section, and the state long-term care ombudsman and the local ombudsman. The designee's written response must be accompanied by an oral explanation to the resident or person filing the grievance if appropriate because of the mental or physical condition of the resident or person filing the grievance.
- (c) The state long-term care ombudsman or the local ombudsman may provide assistance to a resident or person filing a grievance in investigating, preparing, and filing the grievance pursuant to this subsection (2) or investigating, preparing, and filing an appeal of the designee's response to the grievance pursuant to subsection (3) of this section.
- (3) If the resident or person filing the grievance is dissatisfied with the designee's written response, the resident or the person filing the grievance may appeal to the department for review of the designee's response to the grievance by filing the same grievance, the original notice and supporting documentation given to the resident pursuant to subsection (1)(b) or (1)(c) of this section, and the designee's written response pursuant to subsection (2)(b) of this section, including supporting documentation, along with any additional

-6-

1	INFORMATION OR DOCUMENTATION, TO THE EXECUTIVE DIRECTOR OF THE
2	DEPARTMENT FOR THE DEPARTMENT'S REVIEW. THE DEPARTMENT SHALL
3	REVIEW THE GRIEVANCE AND RESPONSE AS SOON AS POSSIBLE, BUT NO
4	LATER THAN SIXTY DAYS AFTER RECEIVING THE APPEAL, TO DETERMINE
5	WHETHER THE INVOLUNTARY DISCHARGE COMPLIES WITH THE LAW AND
6	THE PROCESS ESTABLISHED IN THIS SECTION. THE DEPARTMENT MAY
7	CONFER WITH OR RECEIVE INFORMATION FROM THE STATE LONG-TERM
8	CARE OMBUDSMAN AND THE LOCAL OMBUDSMAN CONCERNING THE
9	INVOLUNTARY DISCHARGE.
10	(4) The assisted living residence shall not <u>discharge</u> ,
11	EXCEPT PURSUANT TO SUBSECTION (1)(c) OF THIS SECTION, OR TAKE ANY
12	PUNITIVE OR RETALIATORY ACTION AGAINST A RESIDENT DUE TO THE
13	RESIDENT FILING A GRIEVANCE OR APPEAL PURSUANT TO THIS SECTION
14	AND SHALL CONTINUE TO ASSIST WITH PLANNING A DISCHARGE OR
15	TRANSFER OF THE RESIDENT WHILE THE GRIEVANCE OR APPEAL TO THE
16	DEPARTMENT IS PENDING.
17	(5) IF THE RESIDENT, THE PERSON FILING THE GRIEVANCE OR THE
18	APPEAL, OR THE ASSISTED LIVING RESIDENCE IS DISSATISFIED WITH THE
19	FINDINGS AND RECOMMENDATIONS OF THE DEPARTMENT, THAT <u>RESIDENT</u> ,
20	PERSON, OR RESIDENCE MAY REQUEST A HEARING CONDUCTED BY THE
21	DEPARTMENT PURSUANT TO SECTION 24-4-105.
22	(6) No later than January 1, 2023, the state board shall
23	PROMULGATE RULES NECESSARY TO IMPLEMENT THE GRIEVANCE PROCESS
24	SET FORTH IN THIS SECTION.
25	(7) AS USED IN THIS SECTION, "DESIGNEE" MEANS THE INDIVIDUAL
26	DESIGNATED BY THE ASSISTED LIVING RESIDENCE TO RECEIVE GRIEVANCES
27	RELATING TO AN INVOLUNTARY DISCHARGE OF A RESIDENT PURSUANT TO

-7-

I	SUBSECTION (2)(a)(1) OF THIS SECTION.
2	SECTION 2. In Colorado Revised Statutes, 25-27-104, amend
3	(2) introductory portion and (2)(g); and add (2)(1) as follows:
4	25-27-104. Minimum standards for assisted living residences
5	- rules. (2) Rules promulgated by the State board RULES PROMULGATED
6	pursuant to subsection (1) of this section shall MUST include, as AT a
7	minimum, provisions RULES requiring the following:
8	(g) That the administrator and staff of a residence:
9	(I) (A) Meet minimum educational, training, and experience
10	standards established by the state board. including a requirement that such
11	<del>persons be</del>
12	(B) On and after January 1, 2024, the state board's
13	MINIMUM STANDARDS FOR ADMINISTRATORS MUST REQUIRE, AT A
14	MINIMUM, THAT EACH ADMINISTRATOR, REGARDLESS OF THE
15	ADMINISTRATOR'S HIRE DATE, HAVE AT LEAST ONE YEAR EXPERIENCE
16	SUPERVISING THE DELIVERY OF PERSONAL CARE SERVICES THAT INCLUDES
17	ACTIVITIES OF DAILY LIVING OR HAS ATTAINED THE EDUCATION OR
18	EXPERIENCE ESTABLISHED BY THE STATE BOARD IN LIEU OF THAT
19	SUPERVISORY EXPERIENCE.
20	(II) ARE of good, moral, and responsible character. In making
21	such a THE determination, the owner or licensee of a residence may SHALL
22	have access to and shall obtain any criminal history record information
23	from a criminal justice agency, subject to any restrictions imposed by
24	such THE agency for any person responsible for the care and welfare of
25	residents of such THE residence AND SHALL OBTAIN A CHECK OF THE
26	COLORADO ADULT PROTECTIVE SERVICES DATA SYSTEM PURSUANT TO
27	SECTION 26-3.1-111 FOR ANY PERSON WHO IS AN EMPLOYEE OF THE

-8-

1	RESIDENCE, AS DEFINED IN SECTION 26-3.1-111 (2), WHO WILL PROVIDE
2	DIRECT CARE TO RESIDENTS.
3	(1) That the assisted living residence comply with the
4	PROVISIONS OF SECTION 25-27-104.3 CONCERNING THE INVOLUNTARY
5	DISCHARGE OF RESIDENTS.
6	<b>SECTION 3.</b> In Colorado Revised Statutes, 25-27-106, amend
7	$(2)(b)(I)(E)$ and $\underline{(2)(b)(II)}$ ; and add $(4)$ , $(5)$ , and $(6)$ as follows:
8	25-27-106. License denial, suspension, or revocation.
9	(2) (b) (I) The department may impose intermediate restrictions or
10	conditions on a licensee that may include at least one of the following:
11	(E) Paying a civil <u>fine.</u> not to exceed two thousand dollars in a
12	calendar year The State Board shall not cap the amount of fines
13	THAT MAY BE IMPOSED ON A LICENSEE IN A CALENDAR YEAR.
14	(II) (A) If the department imposes an intermediate restriction or
15	condition that is not a result of a life-threatening situation OR DUE TO
16	SERIOUS INJURY OR HARM TO A RESIDENT, the licensee shall receive
17	written notice of the restriction or condition. No later than ten days after
18	the date the notice is received from the department, the licensee shall
19	submit a written plan that includes the time frame for completing the plan
20	and addresses the restriction or condition specified.
21	(B) If the department imposes an intermediate restriction or
22	condition that is the result of a life-threatening situation OR IS DUE TO
23	SERIOUS INJURY OR HARM TO A RESIDENT, the department shall notify the
24	licensee in writing, by telephone, or in person during an on-site visit. The
25	licensee shall implement the restriction or condition immediately upon
26	receiving notice of the restriction or condition. If the department provides
27	notice of a restriction or condition by telephone or in person, the

-9-

1	department shall send written confirmation of the restriction or condition
2	to the licensee within two business days.
3	(4) (a) (I) NOTWITHSTANDING THE DEPARTMENT'S DISCRETION
4	Pursuant to subsection $(2)(b)(I)$ of this <u>section concerning the</u>
5	IMPOSITION OF INTERMEDIATE RESTRICTIONS OR CONDITIONS ON A
6	LICENSEE, THE DEPARTMENT SHALL IMPOSE A FINE, IN AN AMOUNT PER
7	VIOLATION THAT IS CALCULATED TO DETER FURTHER VIOLATIONS, FOR
8	ANY VIOLATION RESULTING IN ACTUAL HARM OR INJURY TO A RESIDENT.
9	THE AMOUNT OF THE FINE MAY VARY DEPENDING ON THE SIZE OF THE
10	RESIDENCE, THE POTENTIAL FOR HARM OR INJURY TO ONE OR MORE
11	RESIDENTS, AND WHETHER THERE IS A PATTERN OF POTENTIAL OR ACTUAL
12	HARM OR INJURY TO RESIDENTS.
13	(II) IN DETERMINING THE AMOUNT OF A FINE, THE DEPARTMENT
14	MAY CONSIDER:
15	(A) THE HISTORY OF HARM OR INJURY AT THE RESIDENCE;
16	(B) THE NUMBER OF INJURIES TO RESIDENTS FOR WHICH THE CAUSE
17	OF THE INJURY IS UNKNOWN;
18	(C) THE ADEQUACY OF THE RESIDENCE'S OCCURRENCE
19	INVESTIGATIONS AND REPORTING;
20	(D) THE ADEQUACY OF THE ADMINISTRATOR'S SUPERVISION OF
21	EMPLOYEES TO ENSURE EMPLOYEES ARE KEEPING RESIDENTS SAFE FROM
22	HARM OR INJURY; AND
23	(E) THE RESIDENCE'S COMPLIANCE WITH REQUIRED MANDATORY
24	REPORTING OF THE MISTREATMENT OF RESIDENTS.
25	(b) NOTWITHSTANDING THE DEPARTMENT'S DISCRETION PURSUANT
26	TO SUBSECTION (2)(b)(I) OF THIS SECTION, $\underline{\hspace{1cm}}$ THE DEPARTMENT SHALL
27	IMPOSE A FINE, IN AN AMOUNT DETERMINED BY THE DEPARTMENT, FOR

-10-

1	ANY RESIDENCE THAT IS FOUND TO BE WITHOUT AN ADMINISTRATOR, ON
2	AND AFTER JANUARY 1, 2024, WHO MEETS OR EXCEEDS THE
3	EDUCATIONAL, TRAINING, AND EXPERIENCE STANDARDS FOR RESIDENCE
4	ADMINISTRATORS ESTABLISHED BY THE STATE BOARD PURSUANT TO
5	SECTION 25-27-104.
6	(5) EXCEPT AS PROVIDED IN SUBSECTION (2)(b)(III) OF THIS
7	SECTION, THE DEPARTMENT SHALL SUSPEND, REVOKE, OR REFUSE TO
8	RENEW THE LICENSE OF A RESIDENCE IF:
9	(a) A RESIDENT IS SUBJECT TO MISTREATMENT, AS DEFINED IN
10	SECTION $26-3.1-101$ (7), THAT CAUSES INJURY TO THE RESIDENT;
11	(b) The residence's owner or administrator directly
12	CAUSED THE MISTREATMENT OR THE MISTREATMENT RESULTED FROM THE
13	ADMINISTRATOR'S FAILURE TO ADEQUATELY TRAIN OR SUPERVISE
14	EMPLOYEES; AND
15	(c) A DIRECTED WRITTEN PLAN REQUIRED BY THE DEPARTMENT
16	PURSUANT TO SUBSECTION $(2)(b)(I)(D)$ OF THIS SECTION TO CORRECT THE
17	VIOLATION, IN ADDITION TO THE ASSESSMENT OF CIVIL FINES, HAS NOT OR
18	IS NOT REASONABLY EXPECTED TO CORRECT THE VIOLATIONS.
19	(6) On and after January 1, 2024, the department may
20	REFUSE TO RENEW THE LICENSE OF A RESIDENCE IF THE RESIDENCE'S
21	ADMINISTRATOR DOES NOT MEET THE REQUIREMENTS ESTABLISHED BY
22	THE STATE BOARD PURSUANT TO SECTION 25-27-104 (2)(g)(I)(B).
23	SECTION 4. In Colorado Revised Statutes, 25-27-102, amend
24	the introductory portion; and add (6.5) and (12) as follows:
25	<b>25-27-102. Definitions.</b> As used in this article ARTICLE 27, unless
26	the context otherwise requires:
27	(6.5) "LOCAL OMBUDSMAN" HAS THE SAME MEANING AS SET

-11- 154

1	FORTH IN SECTION 26-11.5-103 (2).
2	(12) "STATE LONG-TERM CARE OMBUDSMAN" HAS THE SAME
3	MEANING AS SET FORTH IN SECTION 26-11.5-103 (7).
4	<b>SECTION 5. Safety clause.</b> The general assembly hereby finds,
7	SECTION 5. Safety clause. The general assembly hereby finds,
5	determines, and declares that this act is necessary for the immediate

-12-