



Cobb County...Expect the Best!

INTERNAL AUDIT DEPARTMENT

Report Number 2015-021

***First Follow-up Report:
Letter Report - OnBase Security Investigation***

September 28, 2015

***Latona Thomas, CPA, Director
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COBB COUNTY INTERNAL AUDIT

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Director

September 28, 2015

MEMORANDUM

TO: David Hankerson, County Manager

FROM: Latona Thomas, CPA, Director 

SUBJECT: **First Follow-up Report:** Letter Report – OnBase Security Investigation

We completed our first follow-up review of the original subject report. Our objective was limited to reviewing, as of September 4, 2015, the status of proposed actions by Information Services (I.S.) to implement the five recommendations in Report #2014-05, dated November 3, 2014. This follow-up should be read in conjunction with the original report and does not represent a complete reexamination of the OnBase Security procedures. The auditor's role in follow-up reviews is to compile corrective actions taken by the auditee, assess whether those actions are adequate to correct the reported deficiencies, and relay those results to County management.

I.S. provided us with an update showing recommendations 2–5 have been fully implemented. Security rights that would allow purging of transaction logs have been modified to prevent any future erasures. The subject of the investigation was integrated in the development of a subsequent department solution and will be utilized for future development phases. The vendor's contract was modified to provide customized on-site training for the Electronic Content Management team, department liaisons, and end users, appropriately timed with solution delivery. Management reemphasized adherence to best practices in the System Development Life Cycle. Controls have also been implemented to protect changes made to solutions in development and a tool is used to manage assigned development tasks.

Recommendation number one, concerning the development of a security plan, is in process with a projected fully implemented date of October 30, 2016. The plan is in draft form and awaiting the completion of vendor-performed security configuration reviews and network/server security analysis. In the interim, documented operating procedures and application controls will be used to minimize risk of a security breach.

We appreciate the cooperation extended to us by I.S. staff during our follow-up review. Should you wish to discuss any aspect of this report, please contact me at extension 2559 or Barry Huff, Auditor-in-Charge, at extension 2642.

Distribution:

Willie Hopkins, Director, Support Services Agency

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Cobb County Audit Committee

Internal Audit Department File