

Table of contents - Vol 1

1	Introduction to Modern Process Validation Concepts	
	History	1
	Overview of Process Validation as a Continuum	3
	Approaches to Process Validation	5
	Quality by Design	7
2	Quality, Documentation & Risk Management	
	Quality	11
	Documentation	12
	Risk Management	19
3	Quality Target Product Profile (QTPP)	
	TTP and QTPP	22
	QTPP as the Starting Point of Quality by Design	23
	Proposed Content of a QTPP for a New Biological Entity (NBE)	24
4	Stage 1 Process Design / Process Evaluation	
	Overview	28
	- Introduction	
	- Definitions of Process Control Elements	
	- Process Characterization	
	Selecting Critical Quality Attributes to be considered in PC studies	37
	- Introduction	
	- Historical Approaches	
	- Recommended Approach to Address Severity, Occurrence and PHRL	67
	Selecting pCPPs and pKPPs to be considered in PC studies	
	- Introduction	
	- Setpoint, NOR, PAR and Planned Characterization Range	
	- Approach for Assessing and Selecting pCPPs and pKPPs	
	- Risk Assessment Methodology	
	- Final Selection of pCPPs and pKPPs to be considered in PC studies	

Table of contents - Vol 1

Identifying Critical Raw Materials and Critical Material Attributes	78
- Regulatory Considerations	
- Overall Approach to the Management of Risks Linked to Raw Materials	
- Collecting Information	
- Raw Material Risk Assessment	
- Material Attribute Risk Assessment	
- Sustainability of Supply	
- Mitigation Plan	
- Iterativity	
Qualifying a Scale-Down Model (SDM)	98
- Definition	
- Regulatory Considerations	
- Approach to Demonstrate the Representativeness of SDM	
- Qualification of a Scale-down Model	
- Conclusion	
Process Characterization	110
- Introduction	
- Prior Settings to Execution of Process Characterization Studies	
- Choice of Experimental Design	
- Worst-case Design	
- OFAT based PARs	
- Principles of Design of Experiments	
- DoE based PARs	
- Design Space	
- Validation of a Design Space	
- Verification of a Design Space at Commercial Scale	
- Verification of a Design Space during Lifecycle	
- The Case of Material Attributes	
- Conclusion	
Excursion and Worst-case Studies	144
- Excursion Studies	
- Worst-case Studies	

Table of contents - Vol 1

5

Preliminary Control Strategy

introduction	146
Setting a Control Strategy	147
- Process mapping	
- Information Relative to the Process Control Elements	
Established Conditions	157

6

Stage 2 Process Qualification / Process Verification

Introduction	165
Qualification of Facility, Utilities and Equipment	165
Process Performance Qualification	165
- Introduction	
- Number of Process Performance Qualification Runs	
- Execution of Process Performance Qualifications Runs	
- Assessment of Success or Failure of a PPQ Campaign	
Relationship between Drug Substance and Drug Product PPQ	185
Revision of the Control Strategy	187
Reprocessing and Reworking	189
- Definitions	
- Regulatory Considerations	
- Validation of a Reprocessing Step	

7

Stage 3 Continued Process Verification / On-going Process Verification

Introduction	194
Continued Assurance of Process Control	194
CPV Protocol	196
Detection of Unplanned Departures	197
- Statistical Process Control Tools	
- Capability Index	
- Nelson Rules	
-Multivariate Data Analysis	
Improvement Opportunities and Flexibility	203

Table of contents - Vol 1

8	Validation of Integrated Continuous Process	
	introduction	205
	Batch Definition	206
	Process Description	206
	Process Control	207
	Start-up / Shutdown, Interruption of Production and Perturbations	209
	Process Validation	210
	- General Considerations	
	- Equipment Design and Qualification	
	- Input Material Control	
	- Process Characterization and Modeling	
	- Residence Time Distribution	
	- Sampling Plan and Process Monitoring	
	- Stability of End-product and Intermediates	
	- Life-span of Filters, Resins and Membranes	
	- In-line Dilution of Buffers	
	- Adventitious Testing and Viral Clearance	
9	Process Validation and Accelerated Programs	
	Introduction	222
	Impact of Expedited Programs on CMC Development	222
	- Impact on CMC Development	
	Addressing Accelerated CMC Development	227
	- Timing	
	- Quality	
	- Flexibility	
	- Control Strategy Considerations	
	- Process Validation Considerations	
10	Glossary	237

