

Professor Kaare Strom
Office: Social Science Building 384
Office Hours: Tue 2-4 and by appointment
E-mail: kstrom@ucsd.edu, Web: <http://weber.ucsd.edu/~kstrom>

Social Science Building 104
Thursday 12:00 - 2:50
Phone: (858) 534-0793

COMPARATIVE POLITICS: INSTITUTIONS

Objectives

This seminar is designed to help students prepare for the comprehensive examination in comparative politics and for comparative research, including doctoral dissertations. Though this seminar covers a wide range of subjects, it cannot do so exhaustively; among the subjects only tangentially treated are political development, mass behavior, and public policy. Students preparing for the comprehensive exam should therefore seek other opportunities to study these topics.

The seminar is organized around nine themes:

1. Introduction: Institutions and Institutional Analysis
2. Democracy and Autocracy
3. Federalism, Consociationalism, and Power-sharing
4. Presidentialism and Executives
5. Parliamentary Democracy and Legislatures
6. Bureaucracy, Delegation, and Law
7. Elections and Party Systems
8. Party Development and Organization
9. Institutions and Economic Performance

Format

The seminar will meet on a weekly basis. Generally, each week will introduce a new theme in the comparative study of political institutions. For each theme, the reading list distinguishes between required and recommended readings.

Required readings will form the basis of the general seminar discussions, and all students should read them carefully and critically before class. *Recommended* readings are additional readings of broad theoretical importance, with which students preparing for the comprehensive exam should gain some familiarity. These readings are also suitable for literature review papers, though they are by no means an exhaustive list of such readings.

Assignments

All students must do the assigned readings, write two discussion papers (5-7 pages in length), complete a take-home final exam, participate actively in the seminar discussions, and give regular presentations on the readings. Each week, students will be assigned specific readings to review. These presentations (approx. 15 minutes) should summarize the article or book chapter (dependent variable, independent variables, causal argument), comment critically on it (What does it leave out or fail to explain? What assumptions does it make? Are they justified?), discuss how the piece fits in with the other selections of the week (and course, if appropriate), and raise a couple of questions to stimulate discussion. Students giving presentations should prepare brief outlines of the article for distribution to the other seminar participants. Outlines and presentations should be designed to raise general questions for seminar discussion and to tie the material in with the general seminar agenda. NOTE: Students are expected to do *all* of the required reading and to be prepared to discuss it, not just their particular assignment. Thus, reviewing one article does not excuse you from commenting intelligently on the others.

The discussion papers are due before the class session in question. Good papers should provide *careful and concise reviews of some body of readings (which may include recommended as well as required texts)*. Your analysis of the reading should go beyond summary of the readings toward critical commentary and a discussion of the issue that unite the work. The papers should also *make an argument*. Thus, early in the paper (first paragraph), there should be a line that says: "in this paper, I argue that . . ." (Or something closely related). The argument should be stated clearly and concisely and the rest of the paper should tie into this argument. In the process of providing supporting evidence for your argument, you should identify the some of central issues that the assigned reading for the week addresses, locate the principal authors' positions vis-à-vis those issues, and comment critically on the debate and the individual contributions to it. Writing style matters! Be focused and selective and avoid long quotations. (For more information – consult the file "How to Write a Good Social Science Paper," which is on my website). You should do one paper on weeks 2-5 and one paper on weeks 6-9.

The first of the discussion papers will be due no later than **October 21**, the second no later than **November 18**. The take-home exam will tentatively be due on **December 7**. Grades will be based on course assignments in the following way: discussion papers 20% each, take-home exam 40%, presentations and class participation 20%. Extensions, incompletes, etc. will be given in accordance with UCSD policy. Except under **very pressing circumstances**, they will be discouraged.

Readings

A number of books containing course readings have been ordered through the UCSD Bookstore. Copies of other titles will be put in a designated folder in the graduate student lounge in the Department of Political Science, so that students can make their own copies. The following books have been ordered through the UCSD Bookstore.

Gary W. Cox, *Making Votes Count*. Cambridge: Cambridge University Press, 1997.
 Arend Lijphart, *Patterns of Democracy*. New Haven: Yale University Press, 1999.
 Adam Przeworski et al., *Democracy and Development*. Cambridge: Cambridge University Press, 2000.
 George Tsebelis. *Veto Players: How Political Institutions Work*. Princeton: Princeton Univ. Press, 2002.

The distinction between required and recommended books in the bookstore is simply one of relative emphasis in the course. Let your purchasing decisions be guided by your professional judgment as well as by your budget constraint.

1. INTRODUCTION: INSTITUTIONS AND INSTITUTIONAL ANALYSIS (September 23).

Required:

James G. March and Johan P. Olsen, "Institutional Perspectives on Political Institutions." *Governance* 9, 3 (July 1996), 247-64.
 Kenneth A. Shepsle, "Studying Institutions: Some Lessons from the Rational Choice Approach," *Journal of Theoretical Politics* 1, 2 (April 1989), 131-147.
 Sven Steinmo, Kathleen Thelen, and Frank Longstreth, eds., *Structuring Politics: Historical Institutionalism in Comparative Analysis*. Cambridge: Cambridge University Press, 1992, ch. 1.
 Adam Przeworski, "Institutions Matter?" *Government and Opposition* 39, 2004: 527-540.

Recommended:

David Austen-Smith and Jeffrey S. Banks, "Social Choice Theory, Game Theory, and Positive Political Theory," *Annual Review of Political Science*, Vol. 1: 259-287.
 Symposium on "The Return to the State," *American Political Science Review* 82, 3 (September 1988), 853-901.
 Robert Bates et al., *Analytical Narratives*. Princeton: Princeton University Press, 1998.
 Robert H. Bates, and Barry R. Weingast, "A New Comparative Politics: Integrating Rational Choice and Interpretivists Perspectives," *Working Paper No. 95-3*, Center for International Affairs, Harvard University.
 Randall Calvert, "The rational choice theory of social institutions: cooperation, coordination, and communication," in Jeffrey Banks and Eric Hanushek (eds.). *Modern Political Economy*. Cambridge: Cambridge University Press.
 John M. Carey, Parchment, Equilibria, and Institutions. *Comparative Political Studies* 33, 6-7 (August-September 2000), 735-61.
 Paul DiMaggio and Walter Powell, eds., *The New Institutionalism in Organizational Analysis*. Chicago: University of Chicago Press, 1991.
 Thráinn Eggertsson, *Economic Behavior and Institutions*. Cambridge: Cambridge University Press, 1990.
 Peter B. Evans, Dietrich Rueschemeyer, and Theda Skocpol, eds., *Bringing the State Back In*. Cambridge: Cambridge University Press, 1985.
 Eirik G. Furubotn and Rudolf Richter. *Institutions and Economic Theory*. Ann Arbor: University of Michigan Press, 1997.
 Avner Greif. *Institutions and the Path to the Modern Economy*. Cambridge: Cambridge University Press, 2006, Chs. 1, 2, and 5.
 Jack Knight, *Institutions and Social Conflict*. Cambridge: Cambridge University Press, 1992.
 David D. Laitin, "The Perestroika Challenge to Social Science," *Politics & Society*, 3: 163-184.
 James G. March and Johan P. Olsen, *Rediscovering Institutions*. New York: Free Press, 1989.
 James G. March and Johan P. Olsen, *Democratic Governance*. New York: Free Press, 1995.
 Gary Miller, "Rational Choice and Dysfunctional Institutions," *Governance*, Vol. 13: 535-547.
 Terry M. Moe, "The New Economics of Organization," *American Journal of Political Science* 28, 4 (November 1984), 739-777.

- Terry Moe, "Political Institutions: The Neglected Side of the Story," *Journal of Law, Economics, & Organization*, Vol. 6, Special Issue: 213-253 (and also comments by Oliver E. Williamson, pp. 263-266).
- Douglass C. North, *Institutions, Institutional Change and Economic Performance*. Cambridge: Cambridge University Press, 1990.
- Douglass C. North, "Institutions," *Journal of Economic Perspectives*, Vol. 5: 97-112.
- Scott Page, "Path Dependence," *Quarterly Journal of Political Science*, Vol. 1: 87-115.
- Paul Pierson, Increasing Returns, Path Dependence, and the Study of Politics. *American Political Science Review* 94, 2 (June 2000), 251-67.
- Andrew Schotter. *The Economic Theory of Social Institutions* Cambridge: Cambridge University Press, 1981.
- Kenneth A. Shepsle, "Studying Institutions: Some Lessons from the Rational Choice Approach," *Journal of Theoretical Politics*, 1: 131-147. .
- Kathleen Thelen, "Historical Institutionalism in Comparative Politics," *Annual Review of Political Science*, 2: :369-404.
- George Tsebelis. *Nested Games*. Berkeley: University of California Press, 1990, Chs. 2 and 4.
- Barry R. Weingast, "Rational Choice Institutionalism," in Ira Katznelson and Helen V. Milner, (eds.). *Political Science Discipline as Discipline: Reconsidering Power, Choice, and the State*. New York: Norton, 2002.
- Oliver E. Williamson. "Transaction Cost Economics," in Richard Schmalensee and Robert Willig (eds.) *Handbook of Industrial Organization*. New York: North Holland, 1989.

2. DEMOCRACY AND AUTOCRACY (September 30)

Required:

- Daron Acemoglu and James A. Robinson. *Economic Origins of Dictatorship and Democracy* Cambridge: Cambridge University Press, 2006, chs. 1-3.
- Michael Bratton and Nicholas van de Walle, *Democratic Experiments in Africa*. Cambridge: Cambridge University Press, 1997, ch. 1.
- Arend Lijphart, *Patterns of Democracy*, ch. 1.
- Juan J. Linz and Alfred Stepan, eds., *Problems of Democratic Transition and Consolidation*. Baltimore: Johns Hopkins University Press, 1996, chs. 3-4.
- Barry R. Weingast, "Political foundations of democracy and the rule of law," *American Political Science Review*, 91 (2), June 1997: 245-263.
- Adam Przeworski et al., *Democracy and Development*. Cambridge: Cambridge University Press, 2000, chs. 1 and 2.

Recommended:

- Barry Ames, *Political Survival: Politicians and Public Policy in Latin America*. Berkeley: University of California Press, 1987.
- Edward Banfield, *The Moral Basis of a Backward Society*. Glencoe, IL: The Free Press, 1958.
- Carles Boix. *Democracy and redistribution*. New York : Cambridge University Press, 2003.
- Bruce Bueno de Mesquita, Alastair Smith, Randolph M. Siverson, and James D. Morrow, *The Logic of Political Survival*. Cambridge, MA: MIT Press, 2003.
- Bruce Bueno de Mesquita and Hilton L. Root, eds., *Governing for Prosperity*. New Haven: Yale University Press, 2000.
- Samuel Decalo, *Coups and Army Rule in Africa*. New Haven: Yale University Press, 1976.
- James DeNardo, *Power in Numbers: The Political Strategy of Protest and Rebellion*. Princeton: Princeton University Press, 1985.
- Carl J. Friedrich and Zbigniew Brzezinski, *Totalitarian Dictatorship and Autocracy*. New York: Praeger, 1956.
- Barbara Geddes, "What do we know about democratization after twenty years?," *Annual Review of Political Science*, Vol. 2: 115-144.
- Stephan Haggard and Steven B. Webb, eds., *Voting for Reform: Democracy, Political Liberalization and Economic Adjustment*. New York: Oxford University Press, 1994.
- John Helliwell. "Empirical Linkages between Democracy and Economic Growth." *British Journal of Political Science* 24(2): 225-48.
- Albert O. Hirschman, *Exit, Voice and Loyalty*. Cambridge: Harvard University Press, 1970.
- Samuel P. Huntington, *Political Order in Changing Societies*. New Haven: Yale University Press, 1968.
- Robert H. Jackson and Carl G. Rosberg, *Personal Rule in Black Africa*. Berkeley: University of California Press, 1982.
- Ken Jowitt, *The New World Disorder: The Leninist Extinction*. Berkeley: University of California Press, 1992.
- Juan J. Linz and Alfred Stepan, eds., *The Breakdown of Democratic Regimes*. Baltimore: Johns Hopkins University

- Press, 1978.
- John B. Londregan and Keith T. Poole, "Does High Income Promote Democracy?," *World Politics*, 49: 1-30.
- Roger Myerson, "The Autocrat's Credibility Problem and Foundations of the Constitutional State," *American Political Science Review*, 102: 125-139.
- Guillermo O'Donnell. "Reflections on the Patterns of Change in the Bureaucratic-Authoritarian State." *Latin American Research Review*, 13(1), 1978: 3-38.
- Mancur Olson, *Power and Prosperity*. New York: Basic Books, 2000.
- Amos Perlmutter, *The Military and Politics in Modern Times*. New Haven: Yale University Press, 1977.
- Adam Przeworski, "Democracy as an Equilibrium," *Public Choice*, 123: 253-273.
- Adam Przeworski, Susan C. Stokes, and Bernard Manin. *Democracy, Accountability, and Representation*. Cambridge: Cambridge University Press, 1999.
- Peter H. Smith, *Argentina and the Failure of Democracy: Conflict Among Political Elites, 1904-1955*. Madison: University of Wisconsin Press, 1974.
- Alfred Stepan, *Rethinking Military Politics*. Princeton: Princeton University Press, 1988.
- Susan C. Stokes, ed. *Public Support for Market Reforms in New Democracies*. New York: Cambridge University Press, 2001.
- Gordon Tullock, *Autocracy*. Dordrecht: Kluwer, 1987.
- Ronald Wintrobe, "The Tinpot and the Totalitarian: An Economic Theory of Dictatorship." *American Political Science Review* 84, 3 (September 1990), 850-72.

3. FEDERALISM, CONSOCIATIONALISM, AND POWER-SHARING (October 7)

Required:

- Arend Lijphart, *Patterns of Democracy*, chs. 2-3 and 10.
- G. Bingham Powell, Jr., *Elections as Instruments of Democracy*. New Haven: Yale University Press, 2000, chs. 1-2, and 10.
- Philip G. Roeder and Donald Rothchild, eds. *Sustainable Peace: Power and Democracy after Civil Wars*. Ithaca: Cornell University Press, 2005, chs. 2-3.
- Richard Rose, "The End of Consensus in Austria and Switzerland." *Journal of Democracy* 11, 2 (April 2000), 26-40.
- George Tsebelis, *Nested Games: Rational Choice in Comparative Politics*. Berkeley: University of California Press, 1990, ch. 6.

Recommended:

- The Federalist Papers*.
- David R. Beam et al., "Federalism: The Challenge of Conflicting Theories and Contemporary Practice," in Ada Finifter, ed., *Political Science: The State of the Discipline*. Washington, DC: American Political Science Association, 1983, ch. 9.
- Albert Breton, *Competitive Governments*. Cambridge: Cambridge University Press, 1996.
- Hongbin Cai and Daniel Triesman. "State Corroding Federalism." *Journal of Public Economics*, 88(3-4, March 2004): 819-843.
- William M. Chandler, "Federalism and Political Parties," in *Federalism and the Role of the State*, edited by Herman Bakvis and William M. Chandler, Toronto: University of Toronto Press, 1987.
- Ellen Comisso, "Federalism and Nationalism in Post-Socialist Eastern Europe," *New Europe Law Review* 1(2), Spring 1993:489-503.
- Jacques Cremer and Thomas Palfrey. "Political Confederation." *American Political Science Review* 93, 1999: 69-93.
- Robert A. Dahl and Edward R. Tufte, *Size and Democracy*. Stanford: Stanford University Press, 1973.
- Ivo D. Duchacek, *Comparative Federalism: The Territorial Dimension of Politics*. New York: Holt, Rinehart, and Winston, 1970.
- Daniel Elazar. *American Federalism: A View from the States*. New York: Crowell, 1966.
- Jon Elster and Rune Slagstad, *Constitutionalism and Democracy*. Cambridge: Cambridge University Press, 1988.
- James Fearon. "Electoral Accountability and the Control of Politicians: Selecting Good Types versus Sanctioning Poor Performance." In *Democracy, Accountability, and Representation*, edited by Adam Przeworski, Susan C. Stokes, and Bernard Manin. Cambridge: Cambridge University Press, 1999.
- John Ferejohn. "Incumbent Performance and Electoral Control." *Public Choice* 30, Fall 1986: 5-25.
- Liesbet Hooghe and Gary Marks, "Unraveling the Central State, but how? Types of Multi-level Governance," *American Political Science Review*, 97(2), May 2003: 233- 243.

- Charlie Jeffery. "Federalism and Territorial Politics," in *Developments in German Politics 3*, edited by Stephen Padgett, William E. Paterson, and Gordon Smith. New York: Palgrave Macmillan, 2003.
- Preston King, *Federalism and Federation*. Baltimore: Johns Hopkins University Press, 1982.
- W. Arthur Lewis, *Politics in West Africa*. London: Allen and Unwin, 1965.
- Arend Lijphart, *Democracy in Plural Societies: A Comparative Exploration*. New Haven: Yale University Press, 1977.
- Arend Lijphart, *Power-Sharing in South Africa*. Berkeley: Institute of International Studies, University of California, 1985.
- G. Bingham Powell, Jr., *Contemporary Democracies*. Cambridge: Harvard University Press, 1982.
- Alvin Rabushka and Kenneth A. Shepsle, *Politics in Plural Societies: A Theory of Democratic Instability*. Columbus, Ohio: Merrill, 1972.
- Andrew Reynolds, ed., *The Architecture of Democracy: Constitutional Design, Conflict Management, and Democracy*. Oxford: Oxford University Press, 2002.
- William H. Riker, *Federalism: Origin, Operation, Significance*. Boston: Little, Brown, 1964.
- William H. Riker, "Federalism." In *Handbook of Political Science*, eds. Fred Greenstein and Nelson Polsby. Vol. 5, pp. 93-172.
- Jonathan Rodden, "The Dilemma of Fiscal Federalism: Grant and Fiscal Performance around the World." *American Journal of Political Science* 46(3), July 2002: 670-687.
- Stein Rokkan and Derek W. Urwin, *Economy, Territory, Identity*. London: Sage, 1983.
- David Samuels. "Concurrent Elections, Discordant Results: Presidentialism, Federalism, and Governance in Brazil." *Comparative Politics* 33(1, 2000): 1-20.
- Jürg Steiner, *Amicable Agreement versus Majority Rule: Conflict Resolution in Switzerland*. Chapel Hill: University of North Carolina Press, 1974.
- Charles Tiebout. "A Pure Theory of Local Expenditures." *Journal of Political Economy* 64, 1956: 416-24.
- Daniel Treisman, *After the Deluge: Regional Crises and Political Consolidation in Russia*. Ann Arbor: University of Michigan Press, 1999.
- Daniel Treisman. "Decentralization and Inflation: Commitment, Collective Action, or Continuity?" *American Political Science Review* 94, 2000: 837-858.
- Daniel Treisman, "Political Decentralization and Economic Reform." *American Journal of Political Science* 43, 2 (April 1999), 488-517.
- R. Kent Weaver and Bert A. Rockman, eds., *Do Institutions Matter? Government Capabilities in the United States and Abroad*. Washington, DC: The Brookings Institution, 1993.
- K.C. Wheare. *Federal Government*. Oxford: Oxford University Press, 1946.
- Erik Wibbels, "Federalism and the Politics of Macroeconomic Policy and Performance," *American Journal of Political Science* 44(4), October 2000: 687-702.
- Erik Wibbels, "Madison in Baghdad?: Decentralization and Federalism in Comparative Politics," *Annual Review of Political Science*, Vol. 9: 165-188.

4. **PRESIDENTIALISM AND EXECUTIVES** (October 14)

Required:

- Arend Lijphart, *Patterns of Democracy*, ch. 7.
- Jose Antonio Cheibub, *Presidentialism Parliamentarism, and Democracy*. Cambridge: Cambridge University Press, 2007, chs. 1-2, 5-7.
- Juan J. Linz and Arturo Valenzuela, eds., *The Failure of Presidential Democracy: Comparative Perspectives*. Baltimore: Johns Hopkins University Press, 1994, chs. 1(Linz), 3 (Sartori), and 4 (Stepan and Skach).
- Matthew S. Shugart and John M. Carey, *Presidents and Assemblies*. Cambridge: Cambridge University Press, 1992, chs. 1-2, 5, and 13.
- George Tsebelis, *Veto Players: How Political Institutions Work*. Princeton: Princeton University Press, 2002, Introduction and chs. 1-3.

Recommended:

- Joel D. Aberbach, Robert D. Putnam, and Bert A. Rockman, *Bureaucrats and Politicians in Western Democracies*. Cambridge: Harvard University Press, 1981.
- Jean Blondel and Ferdinand Müller-Rommel, eds., *Governing Together*. New York: St. Martin's Press, 1993.
- Albert Breton, "The Organization of Competition in Congressional and Parliamentary Governments." In *The Competitive State*, ed. Albert Breton, Gianluigi Galeotti, Pierre Salmon, and Ronald Wintrobe. Dordrecht: Kluwer, 1991.

- John M. Carey and Matthew S. Shugart, eds., *Executive Decree Authority*. Cambridge: Cambridge University Press, 1998.
- José Cheibub, Adam Przeworski, and Sebastian Saiegh, "Government Coalitions and Legislative Success Under Presidentialism and Parliamentarism," *British Journal of Political Science*, Vol. 34: 565-587.
- Maurice Duverger, "A New Political System Model: Semi-Presidential Government," *European Journal of Political Research* 8, 2 (June 1980), 165-87.
- Donald L. Horowitz, Seymour Martin Lipset, and Juan J. Linz, "Debate--Presidents vs. Parliaments," *Journal of Democracy* 1, 4 (Fall 1990), 73-91.
- Arend Lijphart, ed., *Parliamentary versus Presidential Government*. Oxford: Oxford University Press, 1992.
- Scott Mainwaring, "Presidentialism, Multipartism, and Democracy: The Difficult Combination." *Comparative Political Studies* 26, 2 (July 1993), 198-228.
- Scott Mainwaring and Matthew S. Shugart, eds., *Presidentialism and Democracy in Latin America*. Cambridge: Cambridge University Press, 1997.
- G. Bingham Powell, Jr., "Constitutional Design and Citizen Electoral Control," *Journal of Theoretical Politics* 1, 2 (April 1989), 107-30.
- Adam Przeworski, Susan C. Stokes, and Bernard Manin, eds., *Democracy, Accountability, and Representation*. Cambridge: Cambridge University Press, 1999.
- R. Kent Weaver and Bert A. Rockman, eds., *Do Institutions Matter? Government Capabilities in the United States and Abroad*. Washington, DC: The Brookings Institution, 1993.

5. PARLIAMENTARY DEMOCRACY AND LEGISLATURES (October 21)

Required:

- Gary W. Cox, *The Efficient Secret*. Cambridge: Cambridge University Press, 1987, ch. 6.
- Arend Lijphart, *Patterns of Democracy*, chs. 6-7, 11.
- Michael J. Laver and Kenneth A. Shepsle, "Coalitions and Cabinet Government," *American Political Science Review* 84, 3 (September 1990), 873-90.
- Kaare Strøm, Wolfgang C. Müller, and Torbjörn Bergman, eds., *Delegation and Accountability in Parliamentary Democracies*. Oxford: Oxford University Press, 2003: chs. 3 and 22.
- Kaare Strøm, Wolfgang C. Müller, and Torbjörn Bergman, eds., *Cabinets and Coalition Bargaining*. Oxford: Oxford University Press, 2008: chs. 1 and 6.
- George Tsebelis, *Veto Players*. Princeton: Princeton University Press, 2002; chs. 4 and 9.

Recommended:

- David Austen-Smith and Jeffrey S. Banks, "Elections, Coalitions, and Legislative Outcomes," *American Political Science Review* 82, 2 (June 1988), 405-422.
- David P. Baron and John A. Ferejohn, "Bargaining in Legislatures." *American Political Science Review* 83, 4 (December 1989), 1181-1206.
- Sean Bowler, David M. Farrell, and Richard S. Katz, eds., *Party Discipline and Parliamentary Government*. Columbus: Ohio State University Press, 1999.
- Bruce Cain, John Ferejohn, and Morris Fiorina, *The Personal Vote: Constituency Service and Electoral Independence*. Cambridge: Harvard University Press, 1987.
- Abram De Swaan, *Coalition Theories and Cabinet Formations: A Study of Formal Theories of Coalition Formation Applied to Nine European Parliaments after 1918*. Amsterdam: Elsevier, 1973.
- Daniel Diermeier and Randolph T. Stevenson, Coalition Terminations and Critical Events. *American Political Science Review* 94, 3 (September 2000), 627-40.
- Lawrence C. Dodd, *Coalitions in Parliamentary Government*. Princeton: Princeton University Press, 1976.
- Herbert Döring, ed., *Parliaments and Majority Rule in Western Europe*. New York: St. Martin's Press, 1995.
- Thomas T. Hammond, "Formal Theory and the Institutions of Governance." *Governance* 9, 2 (April 1996), 107-85.
- John D. Huber, "Restrictive Legislative Procedures in France and the United States." *American Political Science Review* 86, 3 (September 1992), 675-687.
- John D. Huber, "The Vote of Confidence in Parliamentary Democracies" *American Political Science Review* 90, 2 (June 1996), 269-82.
- John D. Huber, *Rationalizing Parliament*. Cambridge: Cambridge University Press, 1997.
- D. Roderick Kiewiet and Mathew D. McCubbins, *The Logic of Delegation*. Chicago: University of Chicago Press, 1991, chs. 2-3.

Anthony King, "How to Strengthen Legislatures - Assuming That We Want To." In *The Role of the Legislature in*

- Western Democracies*, ed. Norman J. Ornstein. Washington, DC: American Enterprise Institute, 1981.
- Michael J. Laver and Kenneth A. Shepsle, eds., *Cabinet Members and Parliamentary Government*. Cambridge: Cambridge University Press, 1994.
- Michael J. Laver and Kenneth A. Shepsle, *Making and Breaking Governments*. Cambridge: Cambridge University Press, 1996.
- Gerhard Loewenberg and Samuel C. Patterson, *Comparing Legislatures*. Boston: Little, Brown, 1979.
- Gregory M. Luebbert, *Comparative Democracy: Policymaking and Governing Coalitions in Europe and Israel*. New York: Columbia University Press, 1986.
- Arthur W. Lupia and Kaare Strøm, "Coalition Termination and the Strategic Timing of Parliamentary Elections." *American Political Science Review* 89, 3 (September 1995), 648-65.
- Michael L. Mezey, *Comparative Legislatures*. Durham, NC: Duke University Press, 1979.
- Terry M. Moe and Michael Caldwell, "The Institutional Foundations of Democratic Government: A Comparison of Presidential and Parliamentary Systems," and comments by Gebhard Kirchgässner and Arthur Lupia. *Journal of Institutional and Theoretical Economics* 150/1, 171-210.
- Matthew S. Palmer, "The Economics of Organization and Ministerial Responsibility." *Journal of Law, Economics, and Organization* 11, 1 (1995), 165-88.
- William H. Riker, *The Theory of Political Coalitions*. New Haven: Yale University Press, 1962, esp. chs. 1, 2, 4.
- Kaare Strøm, *Minority Government and Majority Rule*. Cambridge: Cambridge University Press, 1990.
- George Tsebelis and Jeannette Money. *Bicameralism*. Cambridge: Cambridge University Press, 1997.
- Paul Warwick, *Government Survival in Parliamentary Democracies*. Cambridge: Cambridge University Press, 1994.

6. BUREAUCRACY, DELEGATION, AND LAW (October 28)

Required:

- John D. Huber and Charles Shipan, *Deliberate Discretion*. Cambridge: Cambridge Univ Press, 2002, chs. 2 and 4.
- Margaret Levi, *Of Rule and Revenue*. Berkeley, CA: University of California Press, 1988, chs. 1-2.
- J. Mark Ramseyer and Frances M. Rosenbluth, *Japan's Political Marketplace*. Cambridge: Harvard University Press, 1997, chs. 1-5, 10.
- Susan Rose-Ackerman, *Corruption and Government*. Cambridge: Cambridge University Press, 1999, chs. 1, 3, 7, 11.
- George Tsebelis. *Veto Players*. Princeton: Princeton University Press, 2002, ch. 10.

Recommended:

- Charles Cameron. *Veto Bargaining*. Cambridge: Cambridge University Press, 2000.
- Gary Cox and Mathew McCubbins. "The Institutional Determinants of Economic Policy Outcomes." In *Presidents, Parliaments, and Policy*, edited by Stephan Haggard and Mathew McCubbins, Cambridge: Cambridge University Press, 2001.
- John Ferejohn, Frances Rosenbluth, and Charles Shipan, "Comparative Judicial Politics." In *The Oxford Handbook of Comparative Politics*, ed. Carles Boix and Susan C. Stokes. Oxford: Oxford University Press, 2007.
- Barbara Geddes, *Politician's Dilemma*. Berkeley: University of California Press, 1994.
- Albert O. Hirschman, *Exit, Voice and Loyalty*. Cambridge: Harvard University Press, 1970.
- Samuel P. Huntington, *Political Order in Changing Societies*. New Haven: Yale University Press, 1968.
- Fred S. McChesney, *Money for Nothing: Politicians, Rent Extraction, and Political Extortion*. Cambridge, MA: Harvard University Press, 1997.
- Andrew MacIntyre, "Institutions and Investors: The Politics of the Economic Crisis in Southeast Asia." *International Organization* 55(1), 2001: 81-122.
- Mancur Olson, *Power and Prosperity*. New York: Basic Books, 2000.
- Amos Perlmutter, *The Military and Politics in Modern Times*. New Haven: Yale University Press, 1977.
- Philip G. Roeder, *Red Sunset*. Princeton: Princeton University Press, 1993.
- Susan Shirk, *The Political Logic of Economic Reform in China*. Berkeley: University of California Press, 1993.
- Andrei Shleifer and Robert W. Vishny, *The Grabbing Hand: Government Pathologies and their Cures*. Cambridge: Harvard University Press, 1998.
- Matthew Shugart and Stephan Haggard, "Institutions and Public Policy in Presidential Systems." In *Presidents, Parliaments, and Policy*, edited by Stephan Haggard and Mathew McCubbins, Cambridge: Cambridge University Press, 2001.

7. **ELECTIONS AND PARTY SYSTEMS** (November 4).**Required:**

- Carles Boix, "Setting the Rules of the Game: The Choice of Electoral Systems in Advanced Democracies." *American Political Science Review* 93, 3 (September 1999), 609-24.
- Gary W. Cox, *Making Votes Count*. Cambridge: Cambridge University Press, 1997, chs. 1-4, 8, and 15.
- Thomas R. Cusack, Torben Iversen, and David Soskice, "Economic Interests and the Origins of Electoral Systems." *American Political Science Review* 101, 3 (August 2007), 373-91.
- Arend Lijphart, *Patterns of Democracy*, chs. 5 and 8.
- Seymour Martin Lipset and Stein Rokkan, eds., *Party Systems and Voter Alignments: Cross-National Perspectives*. New York: Free Press, 1967, pp. 1-64.

Recommended:

- Michel L. Balinski and H. Peyton Young, *Fair Representation: Meeting the Ideal of One Man, One Vote*. New Haven: Yale University Press, 1982.
- Kathleen Bawn, "The Logic of Institutional Preferences: German Electoral Law as a Social Choice Outcome." *American Journal of Political Science* 37, 4 (November 1993), 965-89.
- Joseph M. Colomer, *Political Institutions*. Oxford: Oxford University Press, 2001.
- Gary W. Cox, "Centripetal and Centrifugal Incentives in Electoral Systems," *American Journal of Political Science* 34, 4 (November 1990), 903-935.
- Anthony Downs, *An Economic Theory of Democracy*. New York: Harper and Row, 1957.
- Maurice Duverger, *Political Parties: Their Organization and Activity in the Modern State*. New York: Wiley, 1954.
- Robert W. Jackman, "Political Institutions and Voter Turnout in the Industrial Democracies," *American Political Science Review* 81, 2 (June 1987), 405-23.
- Richard S. Katz, *A Theory of Parties and Electoral Systems*. Baltimore: Johns Hopkins University Press, 1980.
- Arend Lijphart, *Electoral Systems and Party Systems*. Cambridge: Cambridge University Press, 1994.
- Seymour Martin Lipset, *Political Man: The Social Bases of Politics*. Garden City, NY: Anchor Books, 1963.
- G. Bingham Powell, Jr., *Contemporary Democracies: Participation, Stability and Violence*. Cambridge: Harvard University Press, 1982.
- G. Bingham Powell, Jr., "American Voter Turnout in Comparative Perspective," *American Political Science Review* 80, 1 (March 1986), 17-43.
- Adam Przeworski and John Sprague, *Paper Stones: A History of Electoral Socialism*. Chicago: University of Chicago Press, 1986.
- Douglas W. Rae, *The Political Consequences of Electoral Laws*. New Haven: Yale University Press, 1967.
- William H. Riker, "The Two-Party System and Duverger's Law." *American Political Science Review* 76, 4 (December 1982), 753-766.
- Stein Rokkan, *Citizens, Elections, Parties*. New York: David McKay, 1970.
- Giovanni Sartori, *Parties and Party Systems: A Framework for Analysis*. Cambridge: Cambridge University Press, 1976.
- Matthew S. Shugart and John Carey, *Presidents and Assemblies*. Cambridge: Cambridge University Press, 1992, chs. 10-11.
- Rein Taagepera and Matthew S. Shugart, *Seats and Votes: The Effects and Determinants of Electoral Systems*. New Haven: Yale University Press, 1989.

8. **PARTY ORGANIZATION AND DEVELOPMENT** (November 18)**Required:**

- John Carey and Matthew Shugart. 1995. "Incentives to Cultivate a Personal Vote." *Electoral Studies* 14(4): 417-39.
- Gary W. Cox, *Making Votes Count*. Cambridge: Cambridge University Press, 1997, chs. 10 and 12.
- Russell J. Dalton and Martin P. Wattenberg, eds., *Parties without Partisans*. Oxford: Oxford University Press, 2000, chs. 2-3.
- Richard S. Katz and Peter Mair, "Changing Models of Party Organization and Party Democracy: The Emergence of the Cartel Party." *Party Politics* 1, 1 (January 1995), 5-28.
- Herbert Kitschelt, "Linkages between Citizens and Politicians in Democratic Politics." *Comparative Political Studies* 33, 6/7 (2000).
- Herbert Kitschelt and Steven I. Wilkinson, eds., *Patrons, Clients, and Politics: Patterns of Democratic Accountability and Political Competition*. Cambridge: Cambridge University Press, 2007, ch. 1.
- Wolfgang C. Müller and Kaare Strøm, eds., *Policy, Office, or Votes? How Political Parties in Western Europe*

Make Hard Decisions. Cambridge: Cambridge University Press, 1999, ch. 1.

Recommended:

- Jens Alber, "Modernization, Cleavage Structures, and the Rise of Green Parties and Lists in Europe." Pp. 195-210 in *New Politics in Western Europe*, ed. Ferdinand Müller-Rommel. Boulder, CO: Westview, 1989.
- John Aldrich, *Why Parties? The Origin and Transformation of Party Politics in America*. Chicago: University of Chicago Press, 1995.
- Stefano Bartolini, *The Political Mobilization of the European Left, 1860-1980*. Cambridge: Cambridge University Press, 2000.
- Kenneth Benoit and Michael Laver, *Party Policy in Modern Democracies*. London: Routledge, 2006
- André Blais, Donald Blake, and Stéphanie Dion, "Do Parties Make a Difference?" *American Journal of Political Science* 37, 1 (February 1993), 40-62.
- Carles Boix, *Political Parties, Growth and Equality*. Cambridge: Cambridge University Press, 1998.
- Gary W. Cox and Frances M. Rosenbluth, "The Electoral Fortunes of Legislative Factions in Japan." *American Political Science Review* 87, 3 (September 1993), 587-99.
- Maurice Duverger, *Political Parties*. London: Methuen, 1954.
- Leon D. Epstein, *Political Parties in Western Democracies*. New Brunswick, NJ: Transaction Books, 1980.
- Simon Hug, *Altering Party Systems*. Ann Arbor: University of Michigan Press, 2001.
- Herbert P. Kitschelt, *The Logics of Party Formation: Ecological Politics in Belgium and West Germany*. Ithaca: Cornell University Press, 1989.
- Herbert P. Kitschelt, *The Radical Right in Western Europe*. Ann Arbor: University of Michigan Press, 1995.
- Herbert P. Kitschelt et al., *Post-Communist Party Systems*. Cambridge: Cambridge University Press, 1999.
- Herbert P. Kitschelt, *The Transformation of European Social Democracy*. Cambridge: Cambridge University Press, 1994, pp. 30-149, 198-232, 280-301.
- David Laitin, "Hegemony and Religious Conflict: British Imperial Control and Religious Cleavages in Yorubaland." In *Bringing the State Back In*, eds. Peter B. Evans, Dietrich Rueschemeyer, and Theda Skocpol. Cambridge: Cambridge University Press, 1985, ch. 9.
- Michael J. Laver and W. Ben Hunt, *Policy and Party Competition*. New York: Routledge, 1992.
- Scott Mainwaring and Timothy R. Scully, eds., *Building Democratic Institutions: Party Systems in Latin America*. Stanford: Stanford University Press, 1995.
- Robert Michels, *Political Parties: A Sociological Study of the Oligarchical Tendencies of Modern Democracy*. New York: Collier Books, 1962; or any other edition.
- Wolfgang C. Müller, Political Parties in Parliamentary Democracies: Making Delegation and Accountability Work. *European Journal of Political Research* 37, 3 (May 2000), 309-33.
- Kaare Strøm and Lars Svåsand, eds., *Challenges to Political Parties: The Case of Norway*. Ann Arbor: University of Michigan Press, 1997, pp. 1-20.
- Angelo Panebianco, *Political Parties: Organization and Power*. Cambridge: Cambridge University Press, 1988.
- David Robertson, *A Theory of Party Competition*. London: John Wiley, 1976.
- Stein Rokkan, *State Formation, Nation-Building and Mass Politics in Europe*. Oxford: Oxford University Press, 1999.
- Joseph A. Schlesinger, *Political Parties and the Winning of Office*. Ann Arbor: University of Michigan Press, 1991.

9. INSTITUTIONS AND ECONOMIC PERFORMANCE (December 2)

Required:

- Mancur Olson, "Dictatorship, Democracy, and Development." *American Political Science Review* 87(3), September 1993: 567-576.
- Bruce Bueno de Mesquita, James D. Morrow, Randolph Diverson, and Alastair Smith. "Political Competition and Economic Growth." *Journal of Democracy* 12(1), January 2001.
- Adam Przeworski et al., *Democracy and Development*. Cambridge: Cambridge University Press, 2000, ch. 3.
- Torsten Persson and Guido Tabellini. *The Economic Effect of Constitutions*. MIT Press, 2003. Ch. 6.
- Daron Acemoglu, Simon Johnson, and James A. Robinson. "Reversal of Fortune: Geography and Institutions in the Making of the Modern World Income Distribution," *The Quarterly Journal of Economics*, 117 : 1231-94.

Recommended:

- Philip Keefer, "What Does Political Economy Tell Us About Economic Development -- and Vice Versa?," *Annual Review of Political Science*, 7: 247-272 Temple, Jonathan. 1999. "The New Growth Evidence," *Journal of Economic Literature*, Vol. 37: 112-156.

- Aron, Janine. 2000. "Growth and Institutions: A Review of the Evidence," *The World Bank Research Observer*, 15: 99-135.
- Dani Rodrik, Arvind Subramanian, and Francesco Trebbi, "Institutions Rule: The Primacy of Institutions Over Geography and Integration in Economic Development," *Journal of Economic Growth*, 9: 131-165.
- Kenneth L. Sokoloff and Stanley L. Engerman, "History Lessons: Institutions, Factor Endowments, and Paths of Development in the New World," *Journal of Economic Perspectives*, 14: 217-232.
- Frederic C. Lane, "Consequences of Organized Violence," *Journal of Economic History*, Vol. 18, 4: 401-417.
- Alexander Gerschenkron. *Economic backwardness in historical perspective*. Belknap Press of Harvard University Press. Cambridge, 1962.
- Douglass C. North and Thomas, Robert Paul. *The Rise Of The Western World; A New Economic History*. Cambridge [Eng.] University Press, 1973.
- Douglass C. North. *Structure And Change In Economic History*. New York, Norton, 1981.
- Robert H. Bates. *Markets and States in Tropical Africa*. Berkeley: University of California Press, 1981.
- Nathan Rosenberg, and L. E. Birdzell. *How the West grew rich: the economic transformation of the industrial world*. New York: Basic Books, 1986.
- Margaret Levy. *Of Rule and Revenue*. Berkeley: University of California Press, 1988.
- Hilton L. Root, "Tying the King's Hands: Credible Commitments and Royal Fiscal Policy during the Old Regime," *Rationality and Society*, Vol. 1, No. 2: 240-258.
- Douglass C. North and Barry Weingast, "Constitutions and Commitment: Evolution of Institutions Governing Public Choice," *Journal of Economic History*, Vol. XLIX, No.4.
- Douglass C. North. *Institutions, Institutional Change, and Economic Performance*. Cambridge: Cambridge University Press, 1990.
- Robert Bates, Avner Greif, Margaret Levy, Jean-Laurent Rosenthal, and Barry Weingast. *Analytic Narratives*. Princeton: Princeton University Press, 1998.
- Mancur Olson. *Power and Prosperity*. New York: Basic Books, 2000.
- Hernando De Soto. *The Mystery of Capital*. New York: Basic Books, 2000.
- William Easterly, William. *The Elusive Quest for Growth*. Cambridge: MIT Press, 2002.
- David Stasavage. *Public Debt and the Birth of the Democratic State*. New York: Cambridge University Press, 2003.
- Avner Greif. *Institutions and the Path to the Modern Economy*. Cambridge, Cambridge University Press, 2006.
- Alberto Alesina and Dani Rodrik, "Distributive Politics and Economic Growth," *The Quarterly Journal of Economics*, Vol. 109: 465-490.
- Simeon Djankov, Edward Glaeser, Rafael La Porta, Florencio Lopez-de-Silanes, and Andrei Shleifer, "The new comparative economics," *Journal of Comparative Economics*, 31: 595-619.
- Dani Rodrik, "Why We Learn Nothing from Regressing Economic Growth on Policies", mimeo: <http://ksghome.harvard.edu/~drodrik/policy%20regressions.pdf>
- Edward Glaeser, Rafael La Porta, Florencio Lopez-de-Silanes, and Andrei Shleifer, "Do Institutions Cause Growth?," *Journal of Economic Growth*, 9: 271-303.
- Abhijit Banerjee and Lakshmi Iyer, "History, Institutions, and Economic Performance: The Legacy of Colonial Land Tenure Systems in India," *American Economic Review*, 94: 1190-1212.