

POLI220A: State and Society
SSB 104, Wednesday 12-2.50pm
Spring 2011

Course Summary and Objectives

This seminar complements Political Science 220B (Comparative Politics: Institutions) to prepare students for the Comparative Politics comprehensive exam and to provide them with the background and training necessary to undertake original research in comparative politics. Each week will introduce a new theme, typically a dependent variable in comparative politics. This seminar will focus on the non-institutional side of political science, specifically on themes such as behavior, culture, identity, order and violence. Although this course tries to be comprehensive, it is far from exhaustive. Students should familiarize themselves with the list of recommended readings as well in order to prepare for the comprehensive exam.

Format

This course meets as a seminar once a week for the ten weeks of the quarter. Each week, except for the first week (Methods in CP), will cover a dependent variable in Comparative Politics. Readings for each week are categorized as either **Required** or **Recommended**. Students are expected to come to class having done all **Required** readings. In addition to reading all **Required** readings, students should take a look at the list of **Recommended** readings, which itself is not exhaustive. Covering both **Required** and **Recommended** readings is a good way to prepare for the comprehensive exam. Furthermore, familiarizing oneself with this literature will, I hope, help students identify research questions that they may want to pursue for their own dissertations. In addition to the works cited here, students may want to periodically follow the blogs below to keep up with the latest conversations and work in political science and political economy:

<http://chrisblattman.com>

<http://www.themonkeycage.org/>

<http://www.marginalrevolution.com/>

<http://www.stat.columbia.edu/~gelman/blog/>

Requirements

Students will be graded based on class participation (30%), two response papers (30%), and a final exam (40%) that mimics the comprehensive exam. The distribution of requirements is as follows:

- Class participation (30%):
 - Students are expected to do all required readings for the week before coming to class, and to participate actively in class. All books from which readings are assigned should be on reserve at the library. (15%)
 - Students will sign-up to lead discussion for one week. This means posting discussion questions on the class website 48 hours before class and leading the discussion at the beginning of class. (15%)

- Two response papers (30%): Students will have to write two response papers, 4-5pp in length each (double-spaced). Students cannot write a response paper for the week in which they are assigned to lead discussion. Response papers should discuss how and how well each required reading for the week sheds light on that week's theme. For each reading, papers should identify the research question, dependent and independent variables, main argument and empirical strategy. They should also evaluate the readings, discussing potential issues such as (but not limited to) the operationalization of variables, the sources of bias in the empirical strategy, and external validity. Top response papers will explore new researchable/testable ways to shed light on some unanswered questions. Papers are due by email at cadida@ucsd.edu before class begins.
- Final exam (40%): The final exam will be a take-home, open-book, written exam, to be completed over the course of 5 hours. Students will have to answer 2 out of 3 essay questions. The exam will take place on **Wednesday, June 8, 2011, from 2pm to 7pm**. Questions will be emailed to the class roster just before 2pm. Exams should be emailed back as PDF documents to cadida@ucsd.edu by 7pm. Beginning at 7.05pm, half a letter grade will be deducted every 15 minutes, such that an A exam will become an A- exam if it is emailed between 7.06 and 7.20pm; a B+ exam if it arrives between 7.21pm and 7.35pm, etc... This silly system is intended to create incentives to submit a slightly unfinished exam on time rather than a slightly more finished exam late.

Policies

Extensions, incompletes, etc... will be given in accordance with UCSD policy. Except under very pressing circumstances, they will be discouraged.

Please consult <http://senate.ucsd.edu/manual/appendices/app2.htm> for UCSD's Academic Integrity Policy. Students in this course are expected to comply with this policy. Any student in violation of UCSD's policy will automatically fail this class.

If you have a disability that requires special accommodations, please come see me as soon as possible and present your certification to me and to Ariane Parkes (aparkes@ucsd.edu).

Week 1: Methods in Comparative Politics (3/28)

Required:

- Bates, R. et al. "Introduction." In Analytic Narratives: 3-22
- Fearon, James D. 1991. "Counterfactuals and Hypothesis Testing in Political Science." *World Politics* 43(2): 169-195
- Geddes, B. 2003. Paradigms and Sand Castles: Theory Building and Research Design in Comparative Politics. Ann Arbor: University of Michigan Press: Chapters 1-2
- Gerring, John. 2007. "The Case Study: What it is and What it Does." In Carles Boix and Susan C. Stokes, eds. *The Oxford Handbook of Comparative Politics*. New York: Oxford University Press: 90-122.
- King, Gary, Robert O. Keohane, and Sidney Verba. 1994. Designing Social Inquiry: Scientific Inference in Qualitative Research. Princeton, N.J.: Princeton University Press: Chapter 1
- Laitin, David D. 2002 "Comparative Politics: The State of the Subdiscipline," in Ira Katznelson and Helen V. Milner, eds. Political Science: The State of the Discipline. New York: Norton

Recommended:

- Barrett, Chris and Jeff Cason. Overseas Research, A Practical Guide.
- George, Alexander L. and Andrew Bennett. 2005. Case Studies and Theory Development in the Social Sciences. Cambridge, Mass.: MIT Press: Chapter 1
- Humphreys, Macartan and Jeremy M. Weinstein. 2009. "Field Experiments and the Political Economy of Development." *Annual Review of Political Science* 12: 367–378
- Lichbach, Mark I. and Alan S. Zuckerman, eds. 2009. Comparative Politics: Rationality, Culture, and Structure. New York: Cambridge University Press: Chapter 1
- Ragin, Charles C. 1987. The Comparative Method: Moving Beyond Qualitative and Quantitative Strategies. Berkeley: University of California Press: Chapter 1
- Shapiro, Ian in Ian Shapiro, Rogers M. Smith, and Tarek E. Masoud, eds. 2004. Problems and Methods in the Study of Politics. Cambridge: Cambridge University Press: Chapter 2

Week 2: The State (4/6)

Required:

- Bates, Robert. 1983. Essays on the Political Economy of Rural Africa. Cambridge: Cambridge University Press: Part I
- Ellickson, Robert. 1991. Order without Law: How Neighbors Settle Disputes. Cambridge: President and Fellows of Harvard College: Chapters 1-6
- Herbst, Jeffrey. 2000. States and Power in Africa. Princeton: Princeton University Press: Introduction and Chapters 1-4
- Migdal, J. Strong Societies and Weak States: Chapter 1
- Tilly, Charles. 1990. Coercion, Capital, and European States: AD 990-1990. Cambridge: Blackwell: Chapters 1-4

Recommended:

- King, Charles. 2001. "The Benefits of Ethnic War: Understanding Eurasia's Unrecognized States." *World Politics* 53(4): 524-552.
- Levi, M. 1997. Consent, Dissent, and Patriotism Cambridge: Cambridge University Press
- Migdal, J.S. in Mark Irving Lichbach, and Alan S. Zuckerman , eds. 2009. Comparative Politics: Rationality, Culture, and Structure. New York: Cambridge University Press: Chapter 7
- North, Douglas Structure and Change in Economic History
- Olson, M. The Rise and Decline of Nations

Week 3: Democracy and Transitions (4/13)

Required:

- Barbara Geddes, "What do we know about democratization after twenty years?," *Annual Review of Political Science*, Vol. 2: 115-144
- Lipset, S. 1959. "Some Social Requisites of Democracy: Economic Development and Legitimacy." *American Political Science Review* 53(1): 69-105.
- Moore Jr., B. 1966. Social Origins of Dictatorship and Democracy. Boston: Beacon Press: Chapters 7-9
- Putnam, R. 1993. Making Democracy Work. Princeton: Princeton University Press: Chapters 3-5
- Wood, Elisabeth Jean. 2000. Forging Democracy From Below. Cambridge: Cambridge University Press: Introduction

Recommended:

- Bates, R. 2001. Prosperity and Violence. New York and London: Norton: Chapter 5
- Bratton, M. and Nicolas van de Walle. 1997. Democratic Experiments in Africa. Regime Transitions in Comparative Perspective. New York: Cambridge University Press.
- Collier, R. 1999. Paths Towards Democracy. Cambridge: Cambridge University Press
- Hirschman, A. 1978. "Exit, Voice, and the State." *World Politics* 31(1): 90-107.
- Humphreys, M., William A. Masters, and Martin E. Sandbu. 2006. "The Role of Leaders in Democratic Deliberations: Results from a Field Experiment in São Tomé and Príncipe." *World Politics* 58(4): 583-622.
- Huntington, S. 1991. "Democracy's Third Wave." *Journal of Democracy* 2(2)
- Karl, T. and Philippe Schmitter. 1991. "Modes of Transition in Latin America, Southern and Eastern Europe." *International Social Science Journal* 128(May): 269-84
- Karl, T. 1990. "Dilemmas of Democratization." *Comparative Politics* 23(1): 1-21.
- Muller, E. and Mitchell A. Seligson. 1994. "Civic Culture and Democracy: the Question of Causal Relationships." *APSR* 88(3).
- Mancur Olson, "Dictatorship, Democracy, and Development," *American Political Science Review* 87: 567-76.
- Przeworski, A. Democracy and the Market: Chapters 1 and 2
- Skocpol, T. 1973. "A Critical Review of Barrington Moore's Social Origins of Dictatorship and Democracy." *Politics and Society*

Week 4: Autocracy (4/20)

Required:

- Havel, V. 1991. Open Letters: Selected Writings 1964-1990. New York: Knopf: "The Power of the Powerless"
- Luebbert, G.M. 1987. "Social Foundations of Political Order in Interwar Europe." *World Politics*.
- Lust-Okar Ellen. 2005. Structuring Conflict in the Arab World: Chapters 2-3
- Magaloni, Beatriz. 2006. Voting for Autocracy: Hegemonic Party Survival and its Demise in Mexico. New York: Cambridge University Press: Introduction and Chapter 1
- O'Donnell, G. 1973. Modernization and Bureaucratic Authoritarianism. Berkeley: University of California Berkeley: Chapter 2
- Olson, M. 2000. Power and Prosperity New York: Basic Books: Chapters 1-4

Recommended:

- Decalo, S. Coups and Army Rule in Africa
- Diaz-Cayeros, Alberto, Beatriz Magaloni and Barry Weingast. 2003. "Tragic Brilliance: PRI Hegemony and its Demise" Manuscript.
- Ekiert, G. 1996. The State Against Society. Political Crises and their Aftermath in East Central Europe. Princeton: Princeton University Press
- Gandhi, Jennifer and Ellen Lust-Okar. 2009. "Elections under authoritarianism." *Annual Review of Political Science* 12: 403-22.
- Huntington, S. 1968. Political Order in Changing Societies. New Haven and London: Chapter 4
- Luebbert, G.M. 1991. Liberalism, Fascism or Social Democracy Oxford: Oxford University Press
- Rogowski, R. 1977. "The Gauleiter and the Social Origins of Fascism" *Comparative Studies in Society and History* 19(4)
- Stepan, A. The State and Society: Chapters 1 and 2
- Wellhofer, E.S. 2003. "Democracy and Fascism: Class, Civil Society and Rational Choice in Italy." *APSR* 97(1)
- Wintrobe, Ronald. 1998. The Political Economy of Dictatorship. Cambridge University Press.

Week 5: Economic Development and Reform (4/27)

Required:

- Acemoglu, Johnson and Robinson. 2001. "The Colonial origins of comparative development: an empirical investigation." *American Economic Review* 91.
- Bates, R. 1981. Markets and States in Tropical Africa. Berkeley: University of California Press: Chapters 5, 6 and 7
- Bates, R. 2001. Prosperity and Violence. New York: Norton: Chapters 3 and 4
- Boix, C. Democracy and Redistribution (Cambridge) Introduction, Chs 1-2
- Sachs, Jeffrey and Warner, Andrew. 1997. "Fundamental Sources of Long-Run Growth." *American Economic Review* 87(2): 184-88.

Recommended:

- Ekeh, P. 1975. "Colonialism and the Two Publics in Africa." *CSSH*
- Easterly. The Elusive Quest for Growth.
- Evans, P. 1979. Dependent Development. Princeton: Princeton University Press
- Geertz, ed. Old Societies and New States.
- Haggard, S. 1990. Pathways from the Periphery. Cornell University Press.
- Huntington, S. 1968. Political Order in Changing Societies. New Haven: Yale University Press: Chapters 1 and 7.
- Stokes, S. Public Support for Market Oriented Reforms in New Democracies. Cambridge: Cambridge University Press: Chapter 1
- Weyland, K. 2002. The Politics of Market Reform in Fragile Democracies. Princeton: Princeton University Press

Week 6: Identity Politics (5/4)

Required:

- Anderson, B. 1983. Imagined Communities. New York: Verso: Chapters 1-3
- Fearon, J.D. "What is Identity (As We Now Use the Word)?" Unpublished manuscript: <http://www.stanford.edu/~jfearon/papers/iden1v2.pdf>
- Laitin, D. Hegemony and Culture: Chapter 1
- Posner, Daniel. 2005. Institutions and Ethnic Politics in Africa. Cambridge: Cambridge University Press: Chapters 2-5
- Varshney, A. 2003. Ethnic Conflict and Civic Life: Hindus and Muslims in India. New Haven: Yale University Press: Chapters 1-2, 12
- Wilkinson, S. Votes and Violence Cambridge: Cambridge University Press: Chapters 1-2, 6

Recommended:

- Berman, Eli. 2000. "Sect, Subsidy, and Sacrifice: An Economist's View of Ultra-Orthodox Jews." *Quarterly Journal of Economics* 115 (August): 905-953.
- Ferree, Karen. 2006. "Explaining South Africa's Racial Census." *Journal of Politics* 68 (November): 803-815.
- Gellner, E. "Nationalism." In Thought and Change: Chapter 7
- Hardin, R. One for All: Chaps. 1, 4, 6.
- Kalyvas, S. The Rise of Christian Democracy in Europe
- Kitschelt, H. The Radical Right in Western Europe. Michigan: The University of Michigan Press
- Laitin, D. 1998. Identity in Formation. Cornell: Cornell University Press.
- Posner, Daniel. 2004. "Measuring Ethnic Fractionalization in Africa." *American Journal of Political Science* 48(4): 849-863
- Rogowski, Ronald. 1989 Commerce and Coalitions. Princeton: Princeton University Press: Chapters 1-4
- Weber, E. Peasants into Frenchmen: Chapters 6, 27, 29

Week 7: Culture, Norms, Ideas (5/11)

Required:

- Axelrod, R. "Disseminating Culture." In The Complexity of Cooperation: 148-77
- Bicchieri, C. 2006. The Grammar of Society. Cambridge University Press: Ch. 1
- Chwe, M. Rational Ritual: Chapters 1 and 2
- Mackie, Gerald. 1996. "Ending footbinding and infibulation: a convention account" *ASR* 61(6)
- Paluck, E.L. and Don P. Green. 2009. "Deference, dissent, and dispute resolution. An experimental intervention using mass media to change norms and behavior in Rwanda." *American Political Science Review* 103: 622-644.
- Schelling, T. A Strategy of Conflict: Chapter 3

Recommended:

- Almond, G. and S. Verba. 1963. The Civic Culture. Princeton: Princeton University Press
- Bednar, J. and Scott Page (February 2007) "Can game(s) theory explain culture? The emergence of cultural behavior within multiple games" *Rationality and Society* 19(1): 65-98
- Elster, J. Cement of Society: Chapter 3
- Laitin, David. 2007. Nations, states and violence. New York: Oxford University Press: Chapters 2-3.
- Laitin, D. 1995. "Marginality: A Micro Perspective." *Rationality and Society* (January): 31-57
- Miguel, E. 2005. "Poverty and Witch Killing." *Review of Economic Studies* 72(4): 1153-1172.
- Sugden, R. "Spontaneous Order" in Mark Casson (ed.), Culture, Social Norms and Economics 2: 90-102.

Week 8: Social Movements, Participation (5/18)

Required:

- Humphreys, M. and Jeremy Weinstein. 2008. "Who Fights? The Determinants of Participation in Civil War." *AJPS*.
- Kuran, Timur (1991). "Now Out of Never: The Element of Surprise in the East European Revolution of 1989." *World Politics* 44: 7-48.
- McAdam, Doug (1986). "Recruitment to High-Risk Activism: The Case of Freedom Summer," *American Journal of Sociology* 92, 64-90.
- Shorter, Edward and Charles Tilly. 1971. "The Shape of Strikes in France, 1830-1960." *Comparative Studies in Society and History* 13(1): 60-86. [Stable URL: <http://www.jstor.org/stable/178198>]
- Scacco, Alexandra. 2008. "Who Riots? Explaining Individual Participation in Ethnic Violence." Columbia University unpublished paper. [http://www.columbia.edu/~als2110/files/Scacco_Who_Riots.pdf]

Recommended:

- Gurr, Ted (1970). *Why Men Rebel*. Princeton, NJ: Princeton University Press.
- Hardin, Russell. 1995. One for all: the logic of group conflict. Princeton: Princeton University Press: Chapters 1-2 and 6
- Horowitz, Donald (2001). The Deadly Ethnic Riot. Berkeley, CA: University of California Press.
- Lohmann, Susanne. 1994. "Dynamics of informational cascades: the monday demonstrations in Leipzig, East Germany, 1989-1991." *World Politics* 47: 42-101.
- McAdam, D. Sidney G. Tarrow, and Charles Tilly. 2001. *Dynamics of Contention*. Cambridge: Cambridge University Press: Chapters 1 and 4
- McFaul, M. 2007. "Ukraine Imports Democracy: External Influences on the Orange Revolution." *International Security* 32(2): 45-83
- Petersen, Roger D. Resistance and Rebellion: Lessons from Eastern Europe. Cambridge University Press
- Scott, James C. 1976. The Moral Economy of the Peasant: Rebellion and Subsistence in Southeast Asia. New Haven: Yale University Press: Chapters 2 and 7

Week 9: Conflict and Violence (5/25)

Required:

- Bates, Robert H. 2008. "State Failure." *Annual Review of Political Science* 11, June: 1-12.
- Dancygier, R. 2010. Immigration and Conflict in Europe. Cambridge: Cambridge University Press: pp. 1-102
- Fearon, J. and David Laitin. 2003. "Ethnicity, Insurgency and Civil War" *APSR*
- Horowitz, David. 1985. Ethnic Groups in Conflict. Berkeley: University of California Press: Part I
- Kalyvas, S. "Ethnic defection in civil war" *Comparative Political Studies* 41(8)
- Scott, James. 1985. Weapons of the Weak. Yale University Press: Chapter 8

Recommended:

- Bates, R. "Modernization, Ethnic Competition and the Rationality of Politics in Contemporary Africa." In D. Rothchild and V. Olorunsola, eds. State versus Ethnic Claims
- Collier, Paul and A. Hoeffler. 2004. "Greed and Grievance in Civil War." *Oxford Economic Papers* 56(4)
- Fearon, J. 1998. "Commitment Problems and the Spread of Ethnic Conflict." In David A. Lake and Donald S. Rothchild, eds. The International Spread of Ethnic Conflict. Princeton: Princeton University Press
- Goldstone, J.A. in James Mahoney, and Dietrich Rueschemeyer, eds. 2003. Comparative Historical Analysis in the Social Sciences. Cambridge: Cambridge University Press: Chapter 2
- Goodwin, Jeff. 2001. No Other Way Out. States and Revolutionary Movements, 1945-1991. Cambridge: Cambridge University Press: Chapters 1 and 9
- Gurr, Ted. 1971. Why Men Rebel? Princeton: Princeton University Press
- Huntington, Samuel. 1968. Political Order in Changing Societies. New Haven: Yale University Press: Chapters 1, 4-5
- Jha, S. "Trade, Institutions, and Religious Tolerance: Evidence from India." Working Paper.
- Stathis N. Kalyvas. 1999. Wanton and Senseless? The Logic of Massacres in Algeria. *Rationality and Society* 11(3): 243-286.
- Miguel, E. Shanker Satyanath, and Ernest Sergenti. 2004. "Economic Shocks and Civil Conflict: An Instrumental Variable Approach." *Journal of Political Economy* 112(4)
- Petersen, R. 2002. Understanding Ethnic Violence. Cambridge: Cambridge University Press
- Popkin, Samuel. 1979. The Rational Peasant. Berkeley: University of California Press

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Office SSB389
O.H.: Wednesday 3-5pm

- Ross, M. 2006. "A Closer Look at Oil, Diamonds, and Civil War." *Annual Review of Political Science* 9: 265-300
- Scott, James. 1976. The Moral Economy of the Peasant. New Haven: Yale University Press
- Skocpol, Theda. 1979. States and Social Revolutions. Cambridge: Cambridge University Press: Chapters 1-3
- Barbara Walter. 1997. The Critical Barrier to Civil War Settlement. *International Organization* 51 (3): 335-64.
- Weinstein, J. Inside Rebellion. Cambridge: Cambridge University Press: Chapter 1

Week 10: Governance and Government Performance (6/1)

Required:

- Bjorkman, Martina and Jakob Svensson. 2009. "Power to the People: Evidence from a randomized field experiment of community-based monitoring in Uganda." *Quarterly Journal of Economics* 124(2): 735-769
- Habyarimana, J., Macartan Humphreys, Daniel N. Posner, and Jeremy M. Weinstein. 2007. "Why Does Ethnic Diversity Undermine Public Goods Provision?" *American Political Science Review* 101(4): 709-725
- Kitschelt, H. 2000. "Linkages between citizens and politicians in democratic politics." *Comparative Political Studies* 33(6/7)
- Miguel, E. and Mary Kay Gugerty. 2005. "Ethnic Diversity, Social Sanctions, and Public Goods in Kenya." *Journal of Public Economics* 89: 325-68
- Olson, M. 1965. The Logic of Collective Action: Public Goods and the Theory of Groups. Cambridge: Harvard University Press: Chapters 1-2 and 6

Recommended:

- Adida, C. and Desha M. Girod. 2011. "Do Migrants Improve their Hometowns? Remittances and Access to Public Services in Mexico, 1995-2000." *Comparative Political Studies*
- Besley, Timothy and Robin Burgess. 2002. "The Political Economy of Government Responsiveness: Theory and Evidence from India." *Quarterly Journal of Economics* 117(4): 1415-1452. [Stable URL: <http://www.jstor.org/stable/4132482>]
- Deitz, Thomas, Elinor Ostrom and Paul Stern. 2003. "The Struggle to govern the commons." *Science* 302(5652): 1907-1912
- Olken, B. 2007. "Monitoring corruption: evidence from a field experiment in Indonesia." *Journal of Political Economy* 115(April): 200-249.
- Ostrom, Elinor. 1991. *Governing the Commons: the Evolution of institutions for collective action*. New York: Cambridge University Press: Chapters 1-3 and 6.
- Reinikka, Ritva and Jakob Svensson. 2004. "Local capture: evidence from a central government transfer program in Uganda." *Quarterly Journal of Economics* (May): 679-705.