

# Economics 173AL

## Finance Lab

Winter 2016

Wells Fargo Hall 4pm

Mondays & Wednesdays 4-4:50pm

Michael Willoughby, CFA, Ph.D.: [mwilloughby@ucsd.edu](mailto:mwilloughby@ucsd.edu)

Office: Economics 110B. [Homepage](#):

### Description

This course is intended to familiarize students with certain professional aspects of careers in investment finance: vocabulary, culture & organizational structure, positions & responsibilities, advancement, and market challenges. Course format is somewhat unstructured. We will survey some analytical techniques, discuss current issues, watch interviews, and listen to a selective slate of quest speakers.

### Reference Material

- 1) The Big Short, Michael Lewis: Book optional, Movie required;
- 2) [Nordstrom, Inc.](#) 2014 Form 10-K, Annual Report, on the Course webpage.
- 3) [Online Reading List](#)

Assignments	Date	Weights
Attend Guest Speaker Appearances	Professor's Winter Quarter Calendar	30 percent
A one-page Written De-brief for two Guest Speakers		30 percent
Participation	Lectures & Speakers	20 percent
Final Exam (take-home)	Due March 16 <sup>th</sup> 11:30am	20 percent
<b>Total</b>		<b>100 percent</b>

Grades will be determined by accumulation of points throughout the quarter:

<b>Above 80</b>	<b>A</b>
<b>70 to 80</b>	<b>A-</b>
<b>60 to 70</b>	<b>B+</b>
<b>50 to 60</b>	<b>B</b>
<b>&lt; 50</b>	<b>C</b>

## POLICIES

**Professionalism.** Now is a good time to adopt professional habits: good posture, punctuality, clear & direct to speak, polite & respectful mannerisms, no procrastination, follow instructions. Ask.

**Sleeping.** Do this at home, not during lecture. There is no shame in fatigue, so if you become drowsy during lectures, stand at the back of the hall. Sleeping in public is unprofessional. It indicates a lack of discipline and is demeaning to yourself and your peers. There will be no warnings about this. **If you fall asleep during lecture (even once), you will be given an “F” grade for the course**, regardless of your scores.

**Email.** Email is a useful way to exchange information. It is not however, a medium of instruction. Use it for simple, close-ended, factual inquiries, not for open-ended explanations.

## ACADEMIC INTEGRITY

Integrity of scholarship is essential for an academic community. As members of the U.C. community, we pledge ourselves to uphold the highest ethical standards. The University expects that both faculty and students honor this principle and in so doing protect the validity of University intellectual work and the academic credentials awarded. Read the Code. The complete UCSD Policy on Integrity of Scholarship can be viewed at: <http://senate.ucsd.edu/manual/Appendices/Appendix2.pdf>

We are all adults so I assume that we each know right from wrong. I expect you each to do your own work. It is extremely important to me that the playing field remain level for all. Do not seek (for yourself) or assist another student in acquiring an advantage or obtaining unearned credit on any required or graded activity. Any attempt to tilt the playing field in favor of anyone will earn an “F” grade for the course.

## STUDENTS WITH DISABILITIES

A student who has a disability or special need and requires an accommodation in order to have equal access to the classroom must register with the Office for Students with Disabilities (OSD). The OSD will determine what accommodations may be made and provide the necessary documentation to present to the faculty member.

The student must present the OSD letter of certification and OSD accommodation recommendation to the appropriate faculty member in order to initiate the request for accommodation in classes, examinations, or other academic program activities. **No accommodations can be implemented retroactively.**