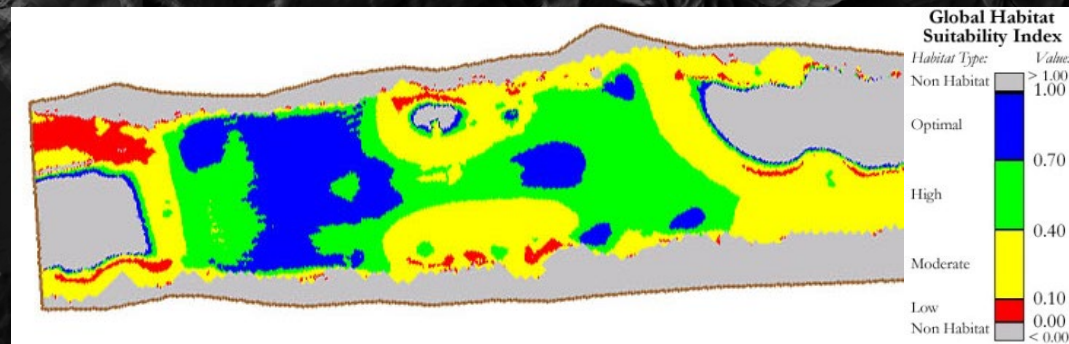


# WATS 6840 – Ecohydraulics

## Module 4: Traditional Habitat Suitability Models



# Traditional Habitat Suitability Models

## I. Intro

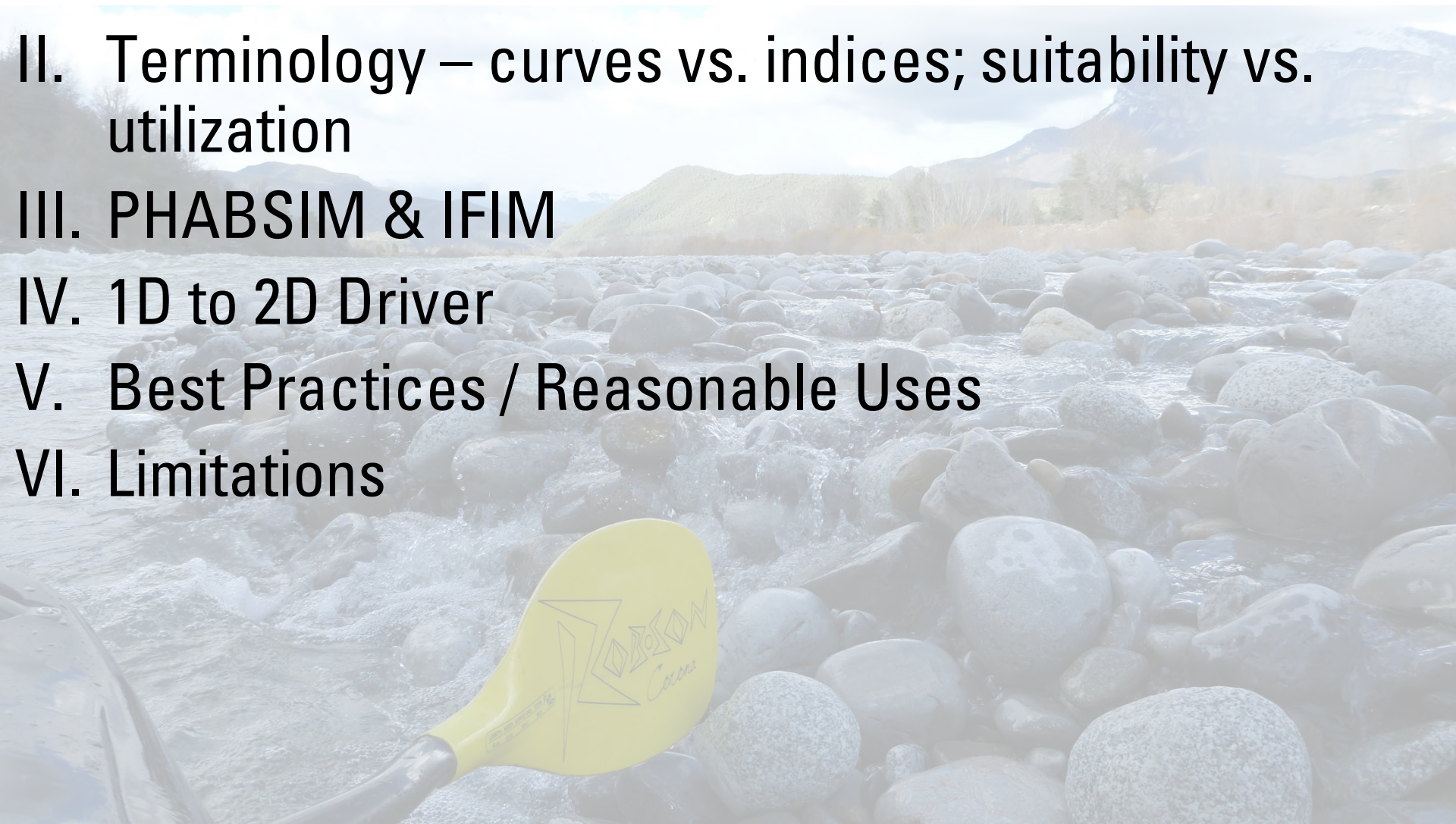
II. Terminology – curves vs. indices; suitability vs. utilization

III. PHABSIM & IFIM

IV. 1D to 2D Driver

V. Best Practices / Reasonable Uses

VI. Limitations





# Main Focus of Ecohydraulic Models → FISH

- Most ecohydraulic models focus on fish
- Some attempt to look at macroinvertebrates (e.g. Jorde et al.)
- Some look at amphibian habitat (e.g. Yarnell et al. 2010: DOI 10.1002/rra.1447)

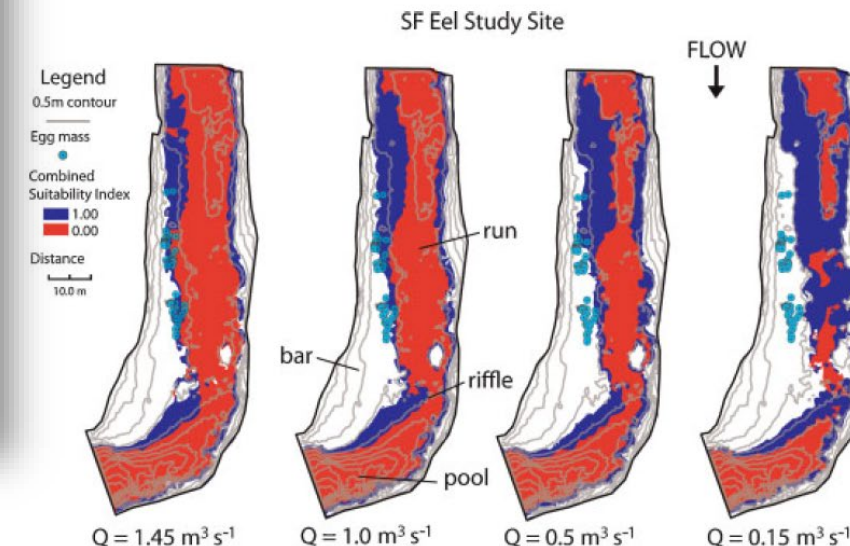


Figure 8. Suitable habitat at the SF Eel study site as modeled flows decrease from (a)  $1.45 \text{ m}^3 \text{ s}^{-1}$  (2006 egg-laying discharge) to (b)  $1.0 \text{ m}^3 \text{ s}^{-1}$ , (c)  $0.5 \text{ m}^3 \text{ s}^{-1}$ , and (d)  $0.15 \text{ m}^3 \text{ s}^{-1}$  (low summer flow). Upstream is at the top of each inset figure. Background shows 0.5 m bed elevation contours; Overlaid colours depict the extent of flow and habitat suitability [suitable in blue (dark grey) and unsuitable in red (light grey)]; Encircled dots indicate egg locations. This figure is available in colour online at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)

# Primary Types of Ecohydraulic Models

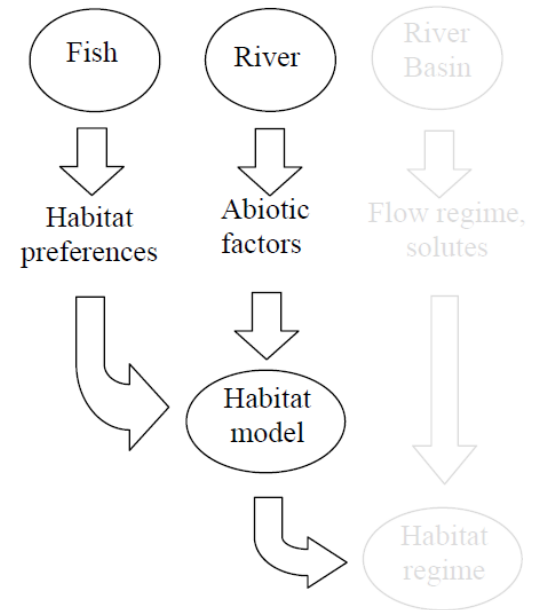
- Main Types
  - Habitat suitability models
  - Bioenergetics
  - Agent Based Models
- Others:
  - Population dynamics
  - Stock recruitment
  - Nutrient dynamics
  - Individual-based ecological modeling





# What is a Habitat Suitability Model?

- A model of **suitability of habitat** for specific species for either specific life-stages or functions
- Habitat is characterized by specific **abiotic variables**
- 'Model' can be applied at a point or over regions (e.g. cells/polygons) that have a unique combination of abiotic variables
- The 'model' can produce spatially variable results (e.g. in a GIS)
- The 'model' can produce temporal dynamics, if you have time series of abiotic inputs...



The paradigm of habitat modelling (After Leclerc, 2002)

From LeClerc 2005

# Traditional Habitat Suitability Models

I. Intro

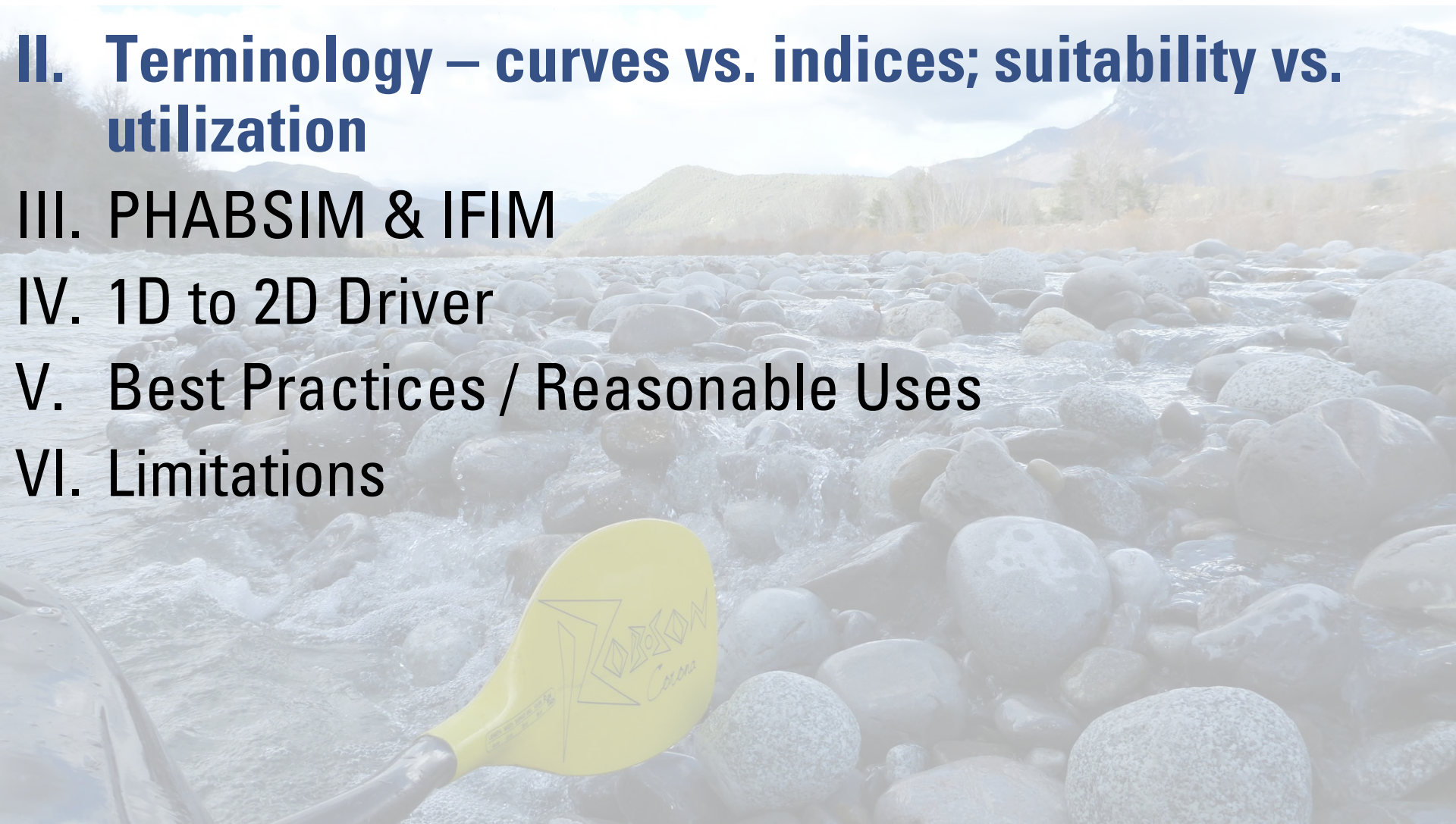
**II. Terminology – curves vs. indices; suitability vs. utilization**

III. PHABSIM & IFIM

IV. 1D to 2D Driver

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VI. Limitations





# Informal Quiz

1. What are these acronyms for?

1. HPC
2. HSC
3. HSI
4. HUC

2. Do  $HPC \approx HSC \approx HSI \approx HUC$  ?

# Some Common But Confusing Terms

- Is it a habitat suitability curve, habitat preference curve, habitat utilization curve, habitat availability curve or habitat suitability index?

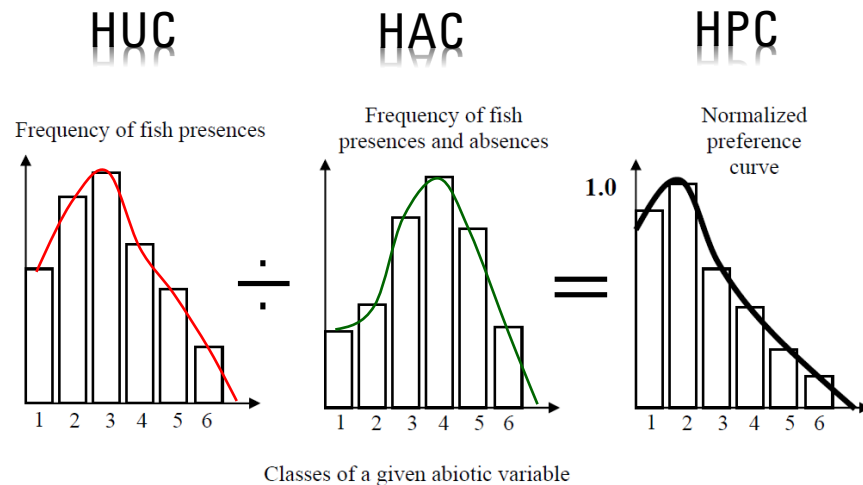
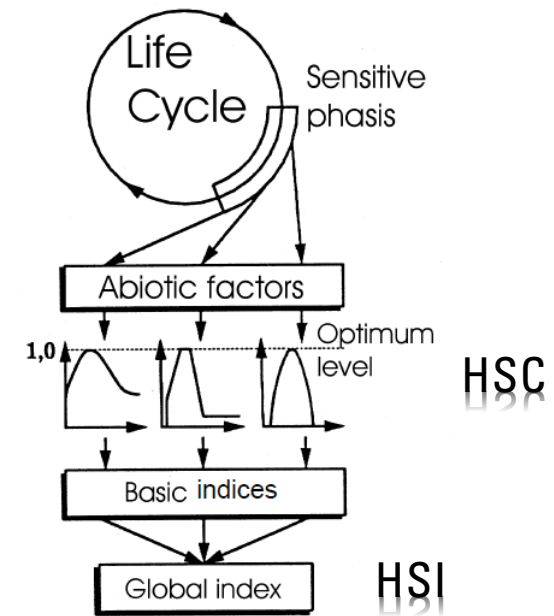


Figure 3 : The general methodology for establishing fish preference curves

- A HPC can be used for a HSC
- A HUC can be used for a HSC
- HSCs of different abiotic variables are combined to form a HSI



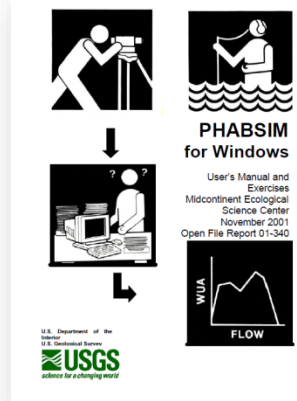
Building a classical fish *preferendum* model

From LeClerc 2005 (Chapter)



# So about that HSC...

- Habitat suitability curve classification...
- Once I got one... can I use it everywhere?

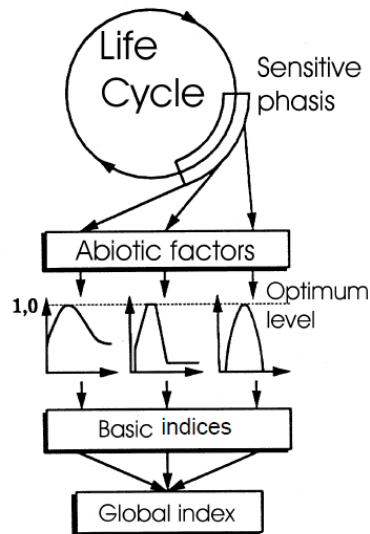


In general, suitability curves have been classified according to the following categories (Bovee et al., 1998, pp. 73–78):

- Category I** Expert opinion or literature curves. These are typically derived from a consensus of experts' accumulated knowledge of habitat use by a species' life stage(s) or by evaluating habitat use information found in the professional literature.
- Category II** Habitat Utilization Curves. These are derived directly from observations of habitat use of the target life stage and species.
- Category III** Habitat Preference Curves. These are derived from observation data on habitat use corrected for habitat availability.

## Transferability of Suitability Curves

Regardless of how the data are collected, suitability curves will demonstrate some specificity to the stream(s) in which they were developed. With limited resource availability and the high cost associated with development of stream-specific suitability curves, use of HSC from other streams is common. Thus, checking for the appropriateness of the transfer is important. Avoiding development of study-specific HSC leads to considerable cost savings. The investigator must apply professional knowledge and judgment to evaluate if the source curves are meaningful for the current application and transferable. In the IFIM context, it is essential for all parties to agree on the HSC to be used for the study and to agree on their transferability. Thomas and Bovee (1993) and Groshen and Orth (1994) provide methods for quantitatively testing HSC transferability. Manly (1993) provides general guidance on modeling habitat selection by various animals.



Building a classical fish *preferendum* model

# From the Literature...

- CAT I
- Lots of existing stuff out there (both HSCs and HSIs)

## List of Habitat Suitability Index (HSI) models

To view the information contained within the Blue Books in Adobe Acrobat Reader (.pdf) format, click on the corresponding .pdf icon.

### HSI BLUE BOOK TITLES FWS/OBS Report #

#### INVERTEBRATES

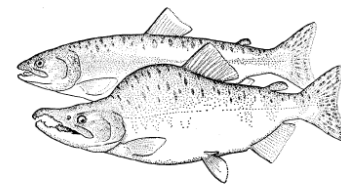
American Oyster (Gulf of Mexico) [.Pdf](#) 82/10.57  
 Brown Shrimp (N Gulf of Mexico) [.Pdf](#) 82/10.54  
 Hard Clam [.Pdf](#) 82/10.77  
 Littleneck Clam [.Pdf](#) 82/10.59  
 Pink Shrimp [.Pdf](#) 82/10.76  
 Red King Crab [.Pdf](#) 82/10.153  
 White Shrimp (N Gulf of Mexico) [.Pdf](#) 82/10.54

#### FISHES

Alewife Herring [.Pdf](#) 82/10.58  
 American Shad [.Pdf](#) 82/10.88  
 Arctic Grayling (Riverine Populations) [.Pdf](#) 82/10.110  
 Atlantic Croaker (Juvenile) Revised [.Pdf](#) 82/10.98  
 Bigmouth Buffalo [.Pdf](#) 82/10.34  
 Black Bullhead [.Pdf](#) 82/10.14  
 Black Crappie [.Pdf](#) 82/10.6  
 Blacknose Dace [.Pdf](#) 82/10.41  
 Blueback Herring [.Pdf](#) 82/10.58  
 Bluegill [.Pdf](#) 82/10.8  
 Brook Trout [.Pdf](#) 82/10.24  
 Brown Trout [.Pdf](#) 82/10.124  
 Channel Catfish [.Pdf](#) 82/10.2  
 Chinook Salmon [.Pdf](#) 82/10.122  
 Chum Salmon [.Pdf](#) 82/10.108  
 Coho Salmon [.Pdf](#) 82/10.49  
 Common Carp [.Pdf](#) 82/10.12  
 Common Shiner [.Pdf](#) 82/10.40  
 Creek Chub [.Pdf](#) 82/10.4  
 Cutthroat Trout [.Pdf](#) 82/10.5  
 English Sole (Juvenile) [.Pdf](#) 82/10.133  
 Fallfish [.Pdf](#) 82/10.48  
 Flathead Catfish [.Pdf](#) 82/10.152  
 Gizzard Shad [.Pdf](#) 82/10.112  
 Green Sunfish [.Pdf](#) 82/10.15  
 Gulf Flounder [.Pdf](#) 82/10.92  
 Gulf Menhaden [.Pdf](#) 82/10.23  
 Inland Silverside [.Pdf](#) 82/10.120  
 Lake Trout (Great Lakes Region) [.Pdf](#) 82/10.84  
 Largemouth Bass [.Pdf](#) 82/10.16  
 Longnose Dace [.Pdf](#) 82/10.33

[http://el.erdc.usace.army.mil/emrrp/emris/emrshelp3/list\\_of\\_habitat\\_suitability\\_index\\_hsi\\_models\\_pac.htm](http://el.erdc.usace.army.mil/emrrp/emris/emrshelp3/list_of_habitat_suitability_index_hsi_models_pac.htm)

## HABITAT SUITABILITY INDEX MODELS AND INSTREAM FLOW SUITABILITY CURVES: PINK SALMON



Fish and Wildlife Service  
 U.S. Department of the Interior

## HSC

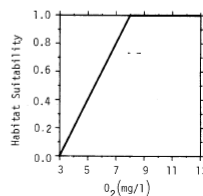
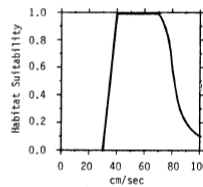
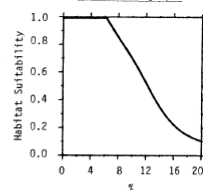
### Variable

$V_1$  Percent fines (< 0.3 cm). Measure at the same time and sites as  $V_5$ .

$V_5$  Average water column velocities for spawning and embryo incubation. Measure at the same time and sites as  $V_1$ .

$V_6$  Minimal dissolved  $O_2$  level during the egg incubation and preemergent yolk sac fry period. Measure at time of highest temperatures during the incubation period.

### Suitability graphs



### Habitat variables and suitability graphs

Annual max-min pH —  $V_1$   
 Ave. max-min temp. (migrat./spawn) —  $V_2$   
 Ave. substrate size —  $V_3$   
 Percent fines —  $V_4$   
 Ave. water velocity —  $V_5$   
 Min. dissolved  $O_2$  —  $V_6$   
 Ave. max-min temp. (embryo) —  $V_7$   
 Max. salinity —  $V_8$   
 Avg. base flow (spawn/incubation) —  $V_9$   
 Peak flow (incubation) —  $V_{10}$   
 Max. temp. (migration) —  $V_{11}$

### Model Component

Adult  
 Embryo  
 Fry

HSI

Figure 1. Diagram illustrating the relationship among model variables, components, and HSI.



# From the field... (cat II or III)

- Make measurements of the abiotic variable of interest where you see the fish
- Make histogram... Fit curve..
- Do inventory of all available habitat (turn into frequency of fish presence)
- Divide to get normalized preference

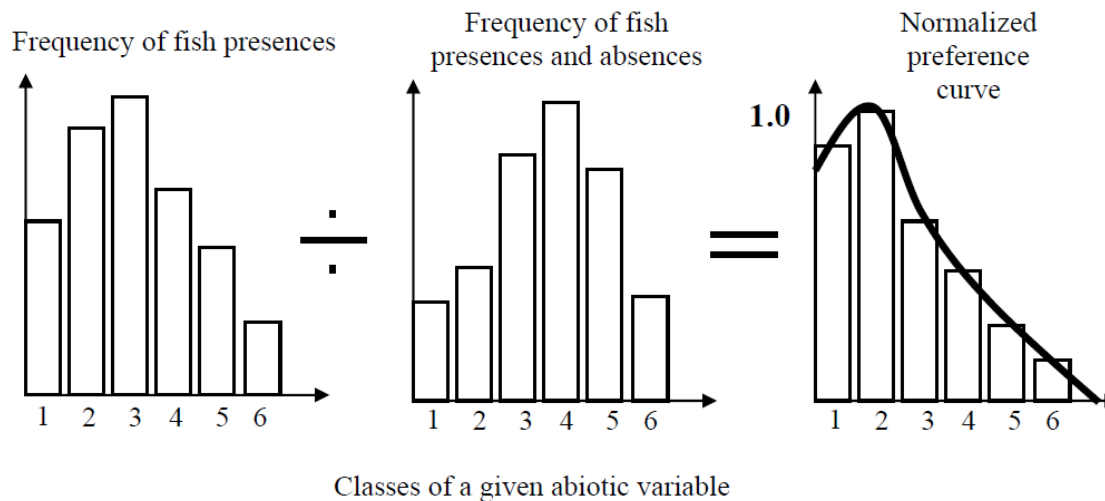
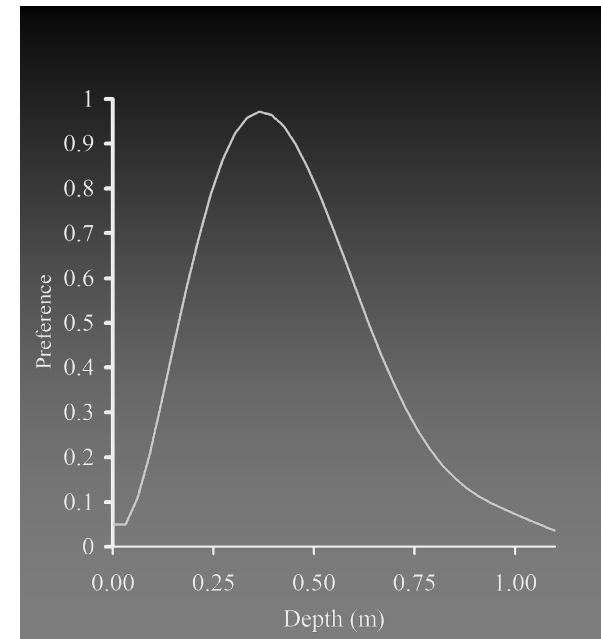
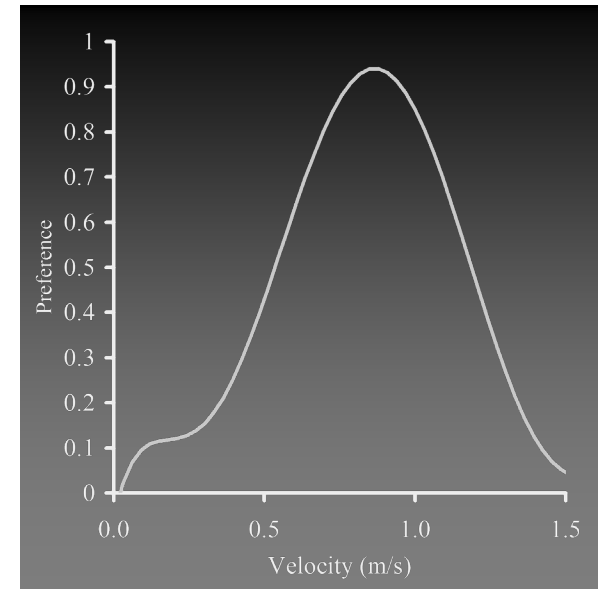


Figure 3 : The general methodology for establishing fish preference curves



# Why do I care about availability?

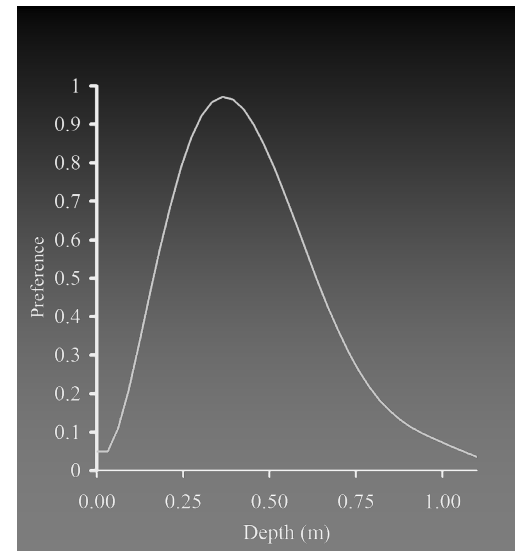
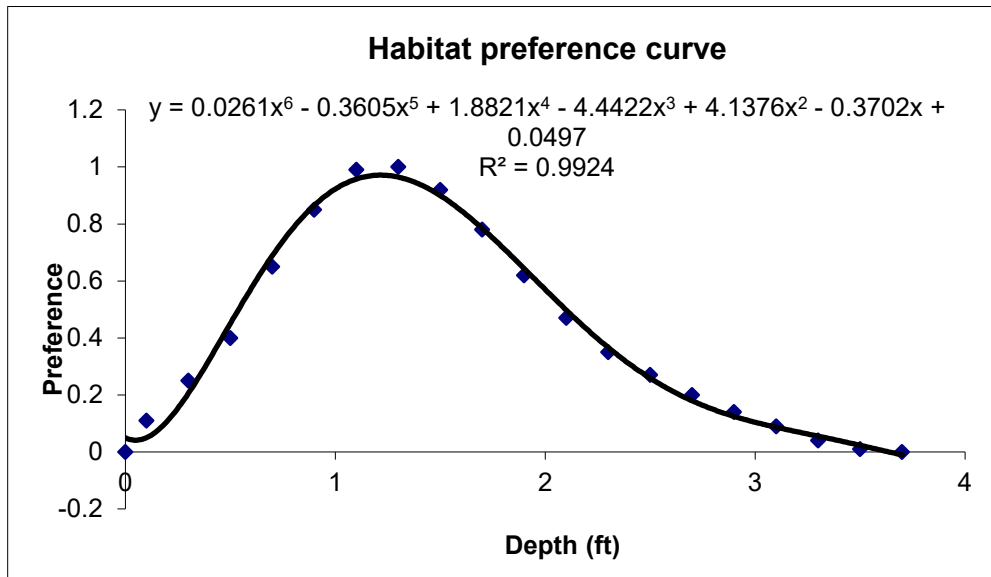
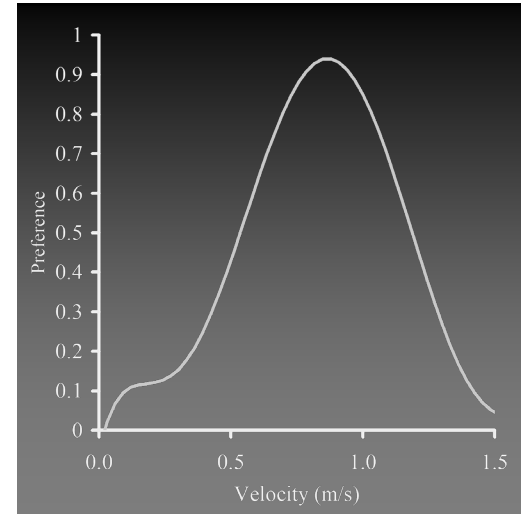
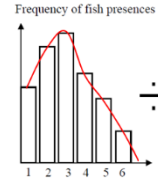
- If I just want a HSC, surely I could just use a HUC?
- Except... if I have a whole bunch of one type of habitat, it might artificially appear preferable





# How do I go from histogram to curve?

- Convert histogram values to points...
- Normalize count to 0 to 1 scale
- Then fit some horrible higher order polynomial curve...

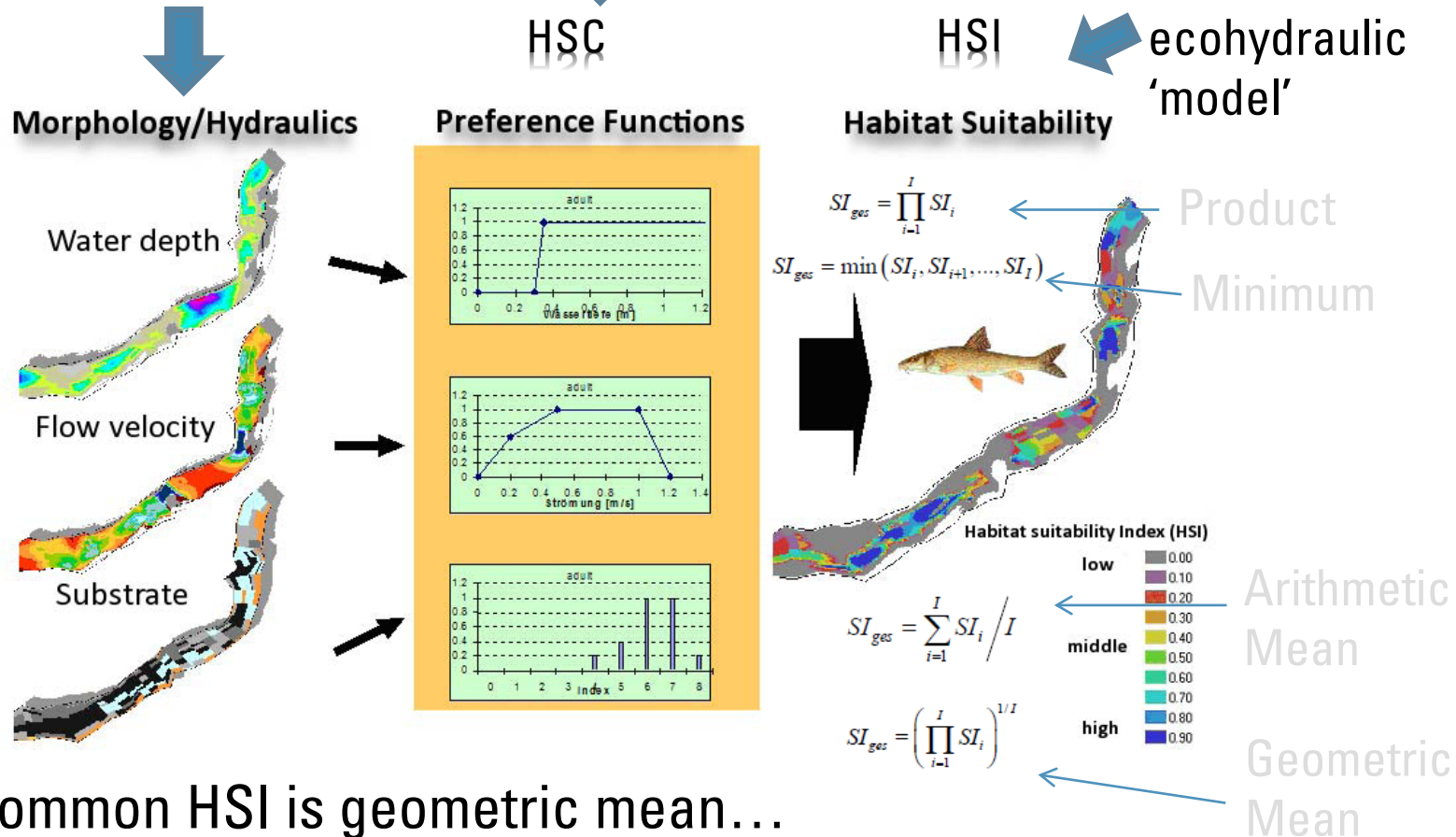


# How do I go from HSCs to HSI?

This is the abiotic input

This is the empirical biotic input

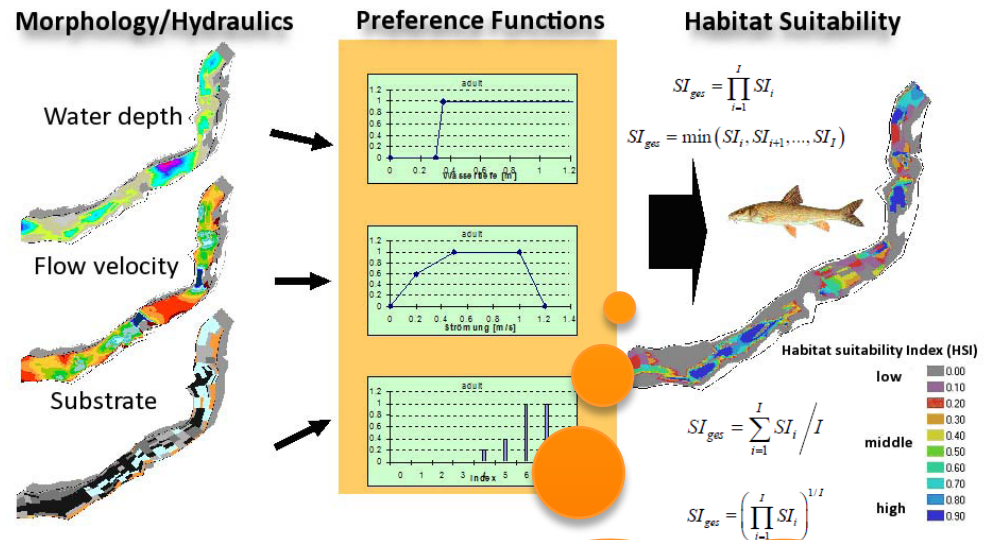
This is the ecohydraulic 'model'



- Most common HSI is geometric mean...
- You can also weight individual HSCs

# Plug & chug... HSI is deterministic

- You use the same HSI for every cell or node
- Each node will have different abiotic input
- Thus you get a spatially variable output...



Do I need to have a  
'model' of  
morphology/hydraulics  
to use HSI?



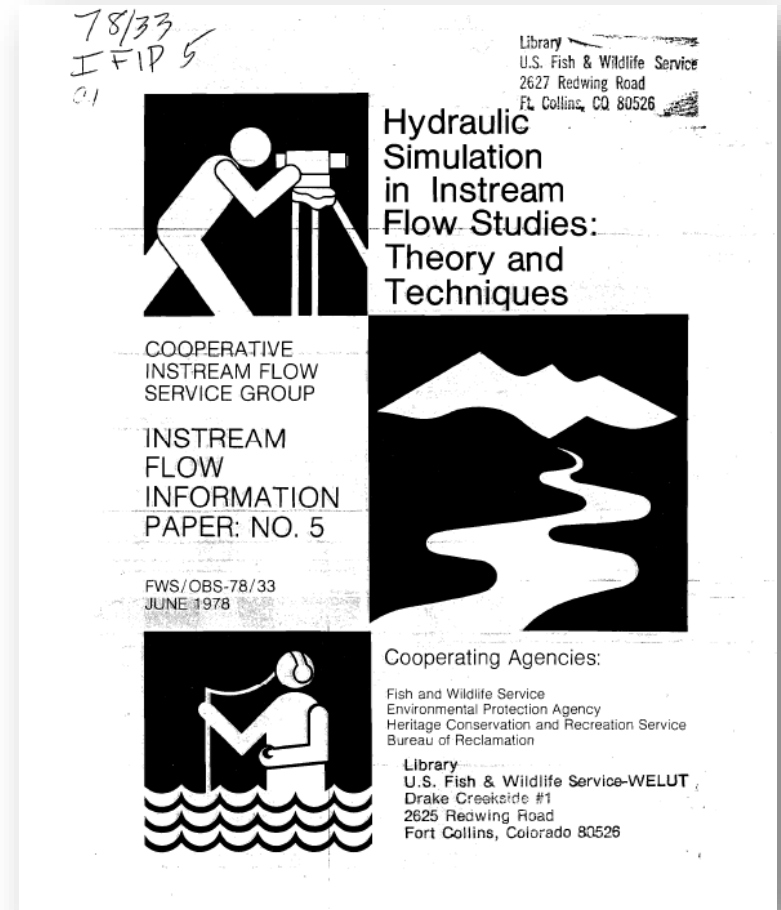
# Traditional Habitat Suitability Models

- I. Intro
- II. Terminology – curves vs. indices; suitability vs. utilization
- III. PHABSIM & IFIM**
- IV. 1D to 2D Driver
- V. Best Practices / Reasonable Uses
- VI. Limitations



# PHABSIM...

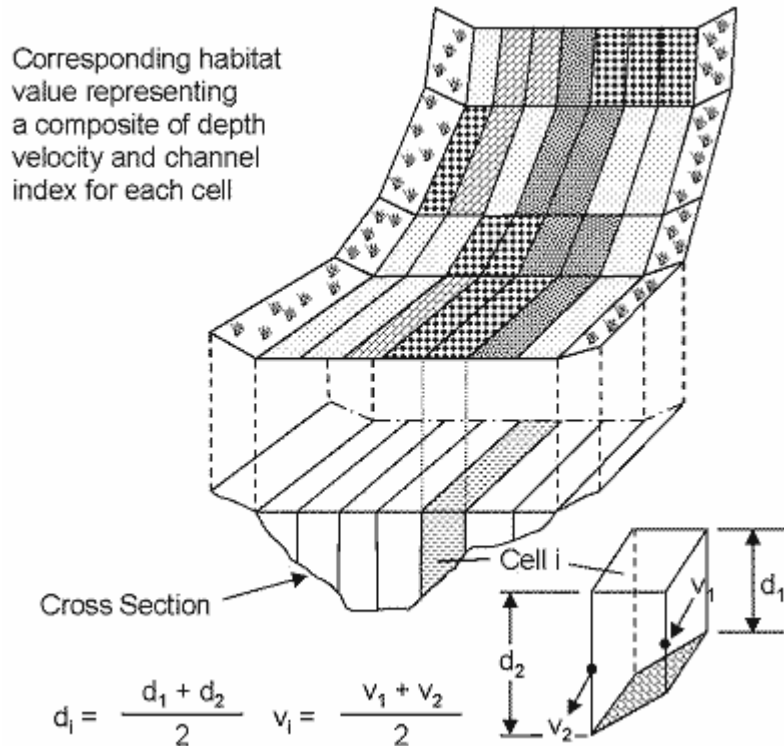
- Physical Habitat Simulation Software
- Technique developed in mid 1970s
- Most widely used ecohydraulic model
- This is an HSC technique... Can be applied in 1D, 2D or 3D



Bovee KD and Milhous R. 1978. *Hydraulic simulation in instream flow studies: theory and techniques. Instream Flow Information Paper 5.* FWS/OBS-78/33, U. S. Fish and Wildlife Service, Fort Collins, CO, 156 pp. Available at:

# PHABSIM for ~~DOS~~ → WINDOWS!

- Driven by a 1D Hydraulic Model



## PHABSIM for Windows

User's Manual and  
Exercises  
Midcontinent Ecological  
Science Center  
November 2001  
Open File Report 01-340



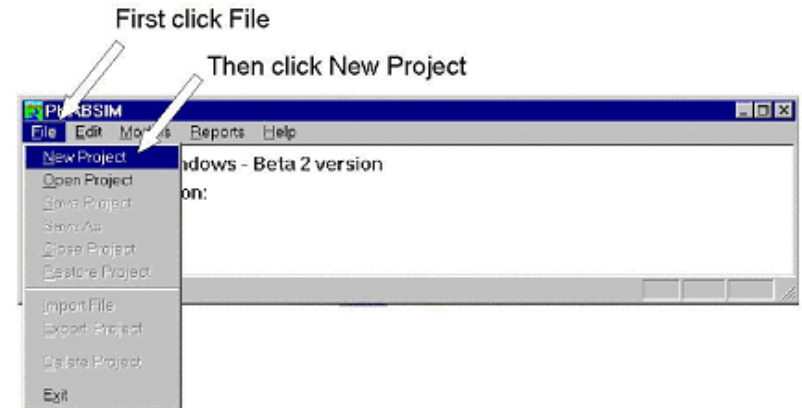
U.S. Department of the  
Interior  
U.S. Geological Survey





# Which hydraulic model?

- So these are models for what type of flow type?



## Introduction

Flow in an open channel is a three-dimensional process. It includes response to change in the channel shape, secondary currents, and it varies continuously across and along the axis of the stream. Models of varying complexity capture the overall streamflow process to different degrees. In PHABSIM, the Water Surface Profile model (WSP) uses the step-backwater method to obtain a one-dimensional representation of flow. The STGQ and MANSQ models use empirical means to obtain similar transect-based representations of flow. The hydraulic models in PHABSIM operate with assumptions of a fixed bed profile and a sloped water surface that is level across each cross section. There are many empirical relations used to simplify flow representations so they can be represented on transects, rather than continuously. Thus, flow representations within PHABSIM are discretized as cells located across transects. The influence of the assumptions and one-dimensional form of PHABSIM models will become more apparent as the reader progresses through this chapter.

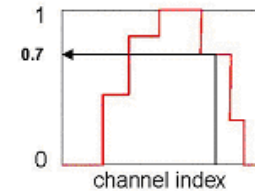
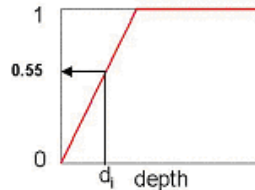
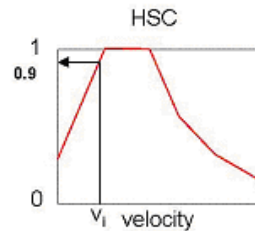
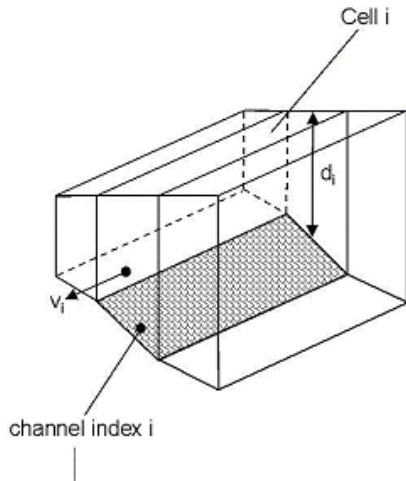
Hydraulic modeling within PHABSIM characterizes the physical attributes within the stream (i.e., depth, velocity, and channel index) over a desired range of discharges. This characterization could be accomplished by direct empirical measurements taken at small increments of discharge covering the range of discharges of interest for a habitat study. However, time, safety and funding constraints typically prevent this empirical approach. Fortunately, it is possible to sample the stream's hydraulic properties at a few target discharges and then rely on these data to calibrate one or more hydraulic model(s) and use the model(s) to predict the stream hydraulic attributes over the full range of discharges of interest in the study. The success or failure of this effort is dependent on the quantity and quality of the field data, the complexity of the physical nature of the stream, and ultimately the ability of the hydraulic models to reflect the physical processes in the stream.

The material in this chapter represents concepts and application strategies of elements contained in the hydraulic simulation portion of the information flow within the PHABSIM system as indicated by the area labeled hydraulic simulation in Figure 1-2. The chapter concludes with a section on evaluating hydraulic model results.

# PHABSIM HSI called $C_i$

- Composite Suitability for Cell I
- What does this calculation represent?

Composite suitability for cell i =  $HSC_v * HSC_d * HSC_{ci}$   
 $= 0.9 * 0.55 * 0.7$   
 $= 0.3465$



The most common method is a multiplicative aggregation given by:

$$C_i = V_i * D_i * S_i \quad (4-2)$$

where:  $C_i$  = composite suitability of cell I  
 $V_i$  = suitability associated with velocity in cell I  
 $D_i$  = suitability associated with depth in cell I  
 $S_i$  = suitability associated with channel index in cell I

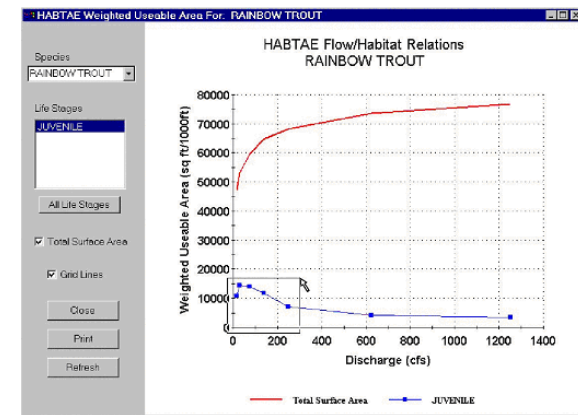
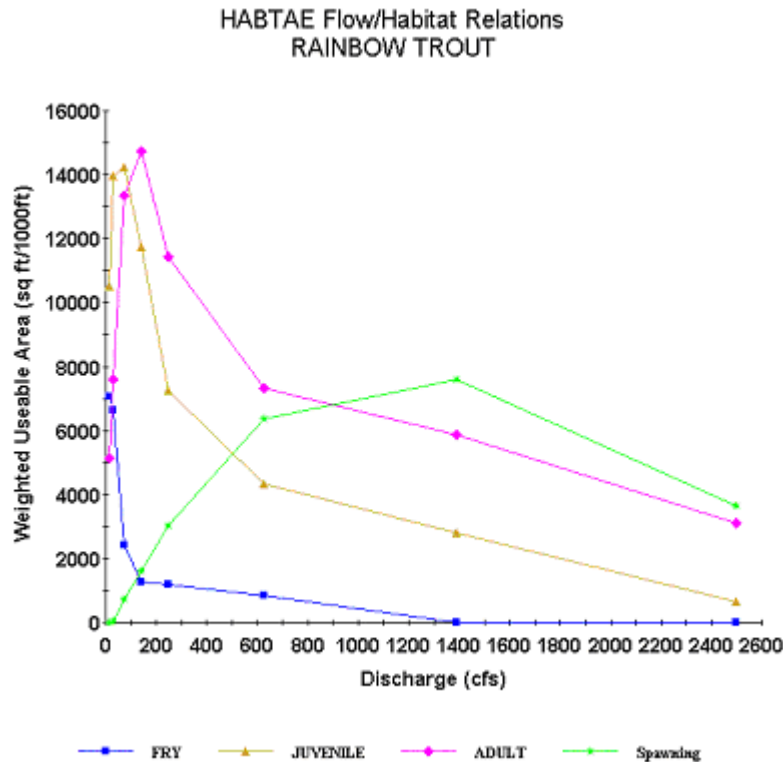
Second, the geometric mean can be used. This implies a compensation effect between the component suitability values. If two of three individual composite suitabilities are within the optimum range and the third is very low, the third individual composite suitability has a reduced effect on the computation of the composite suitability. The geometric mean is calculated as:

$$C_i = \sqrt[3]{V_i * D_i * S_i} \quad (4-3)$$

Third, the most locally limiting individual suitability factor can be selected by setting the composite suitability for the cell based on the minimum of the individual cell factors according to:

$$C_i = \text{Min}(V_i, D_i, S_i) \quad (4-4)$$

# Weighted Useable Area



- A  $C_i$  is just at one flow...

$$WUA = \sum_{i=1}^n A_i * C_i$$

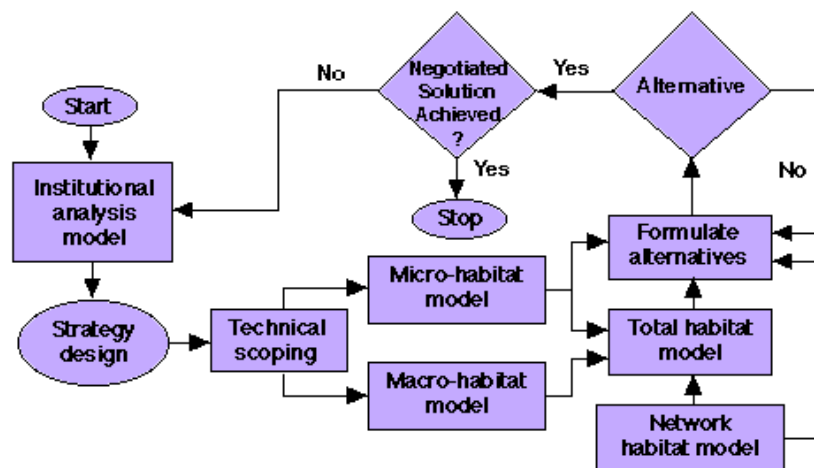
where: WUA = total Weighted Usable Area in stream at specified discharge  
 $C_i$  = composite suitability for cell  $i$   
 $A_i$  = vertical view area of cell  $i$  ( bed area or volume)



# IFIM

## • Instream Flow Incremental Methodology

### Overview of Incremental Methodology



**Figure 1. Overview of Incremental Methodology**

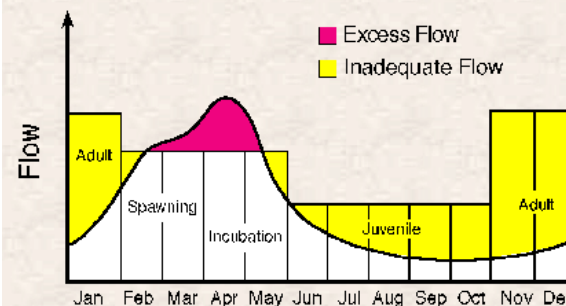
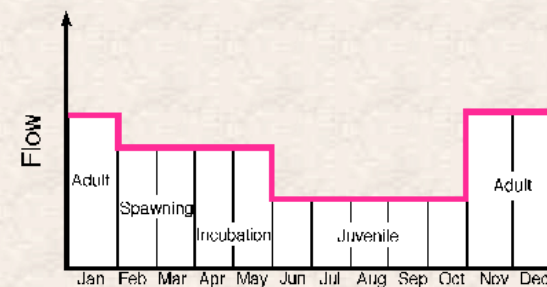
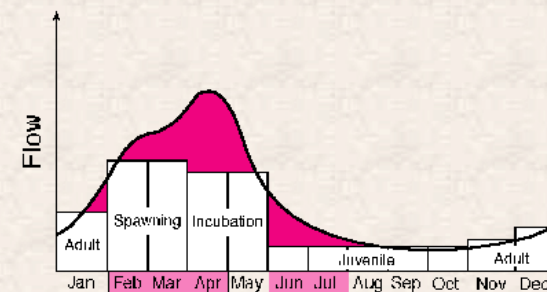
Overview of Instream Flow Incremental Methodology:

1. Start of the IFIM process
2. Legal and Institutional Analysis Model (LIAM)
3. Strategy Design
4. Technical Scoping
5. Micro and Macro-habitat Models
6. Formulate Alternatives Using Total Habitat Model, Network Habitat Model
7. If Alternative is not feasible, step back to (6) and re-Formulate Alternatives.
8. Negotiate issue resolution with stakeholders. If negotiation concludes further analysis

### Steelhead Trout

Months Inhabited

	J	F	M	A	M	J	J	A	S	O	N	D
Adults	x										x	x
Spawning		x	x									
Incubation				x	x							
Juveniles	x	x	x	x	x	x	x	x	x	x	x	x



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# How many transects needed?

- To be accurate, what transect spacing should we have?

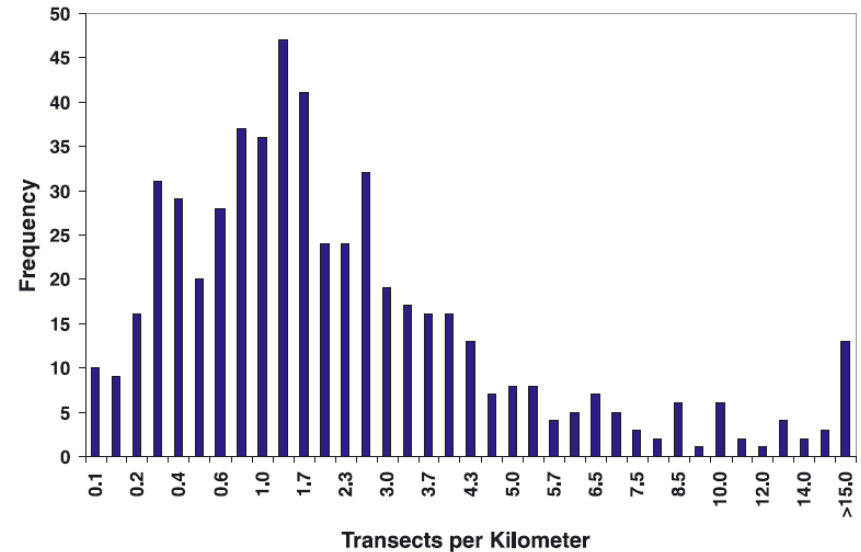
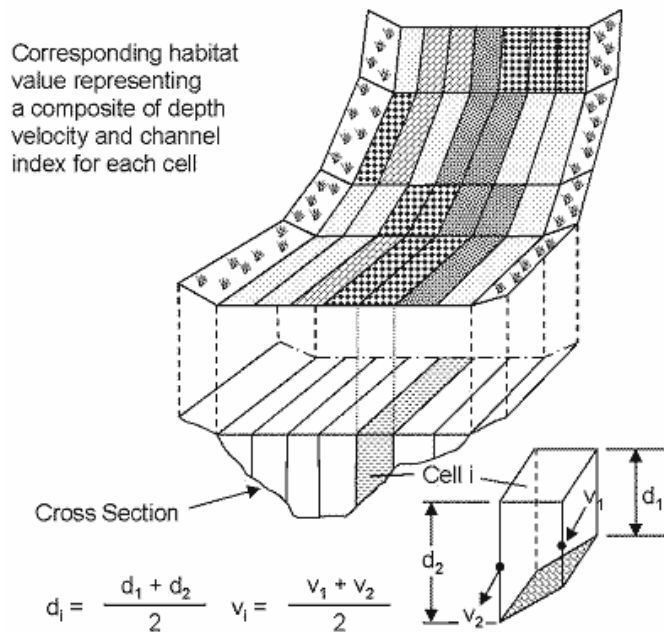
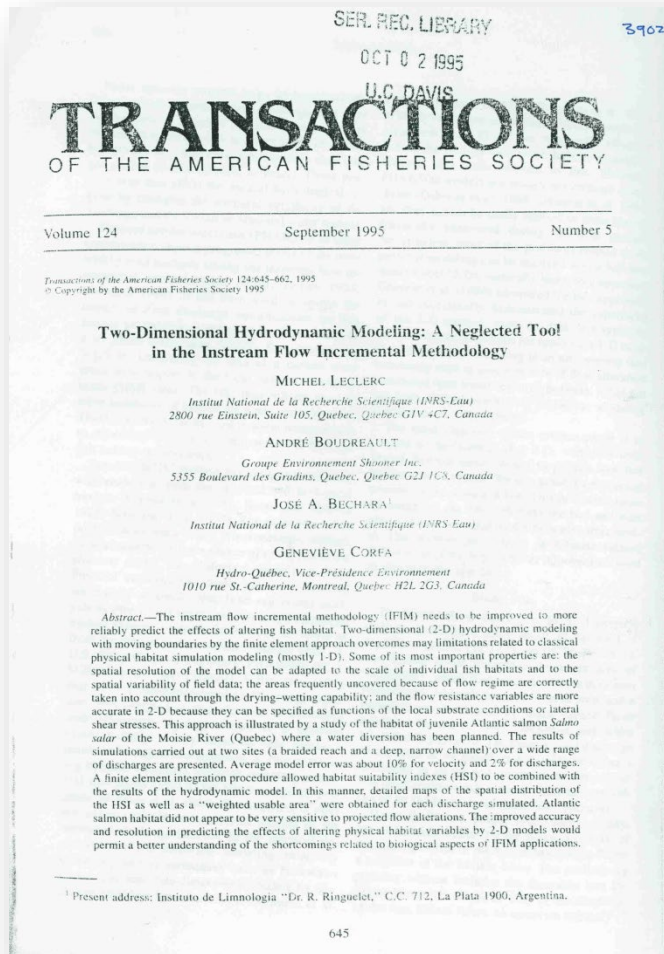


Fig. 1. – Frequency histogram of number of transects per kilometer from 552 PHABSIM studies.

From Payne et al. (2004): DOI: 10.151/hydro:2004003



# Mid 90's... people started saying



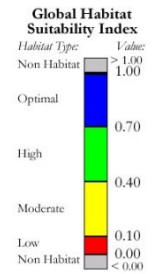
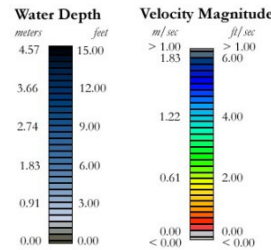
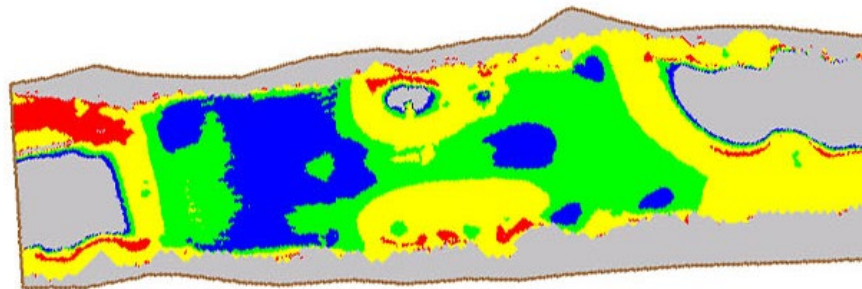
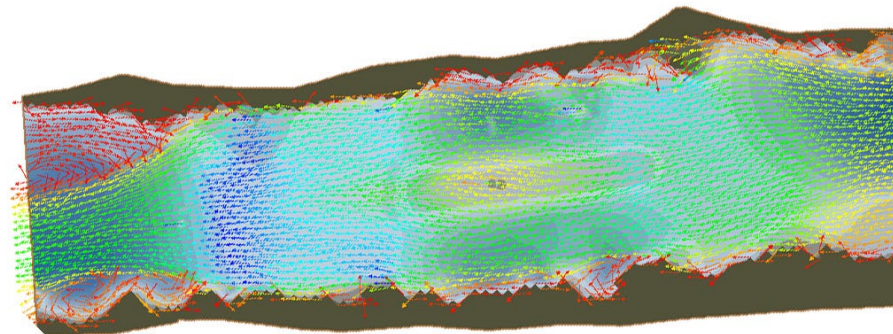
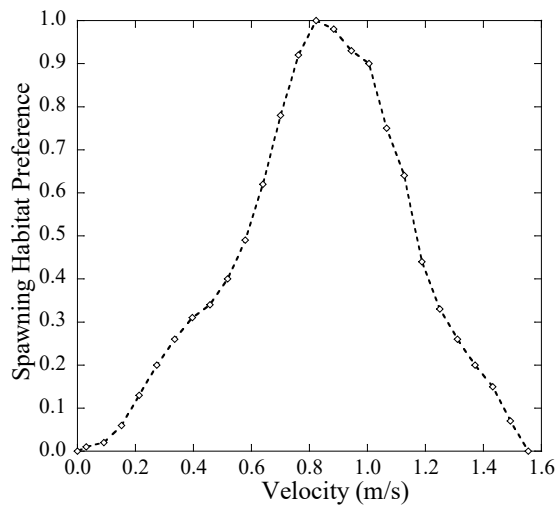
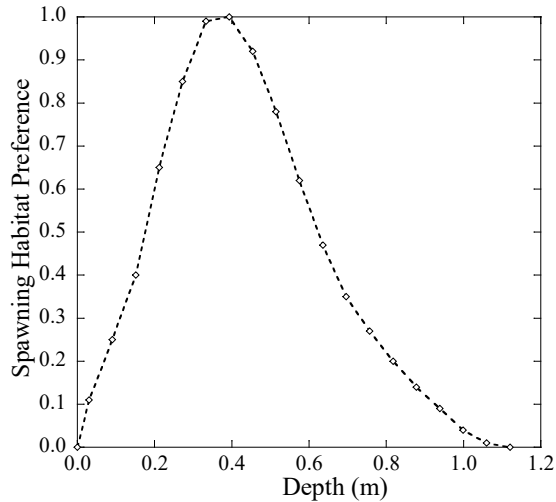
- 1D is not realistic





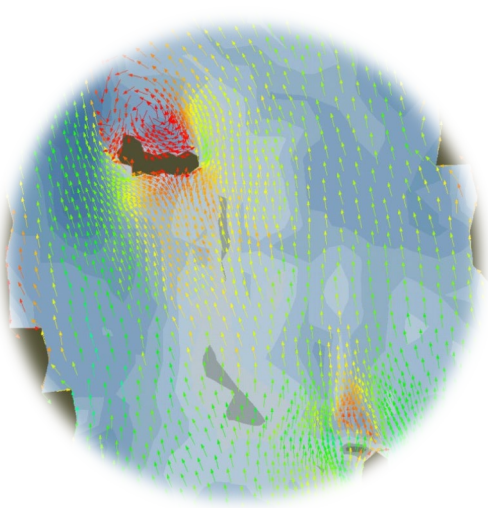
# Ecohydraulic Models Driven by 2D CFD

- What if we use same ecohydraulic model, but drive it by a multidimensional hydraulic simulation?



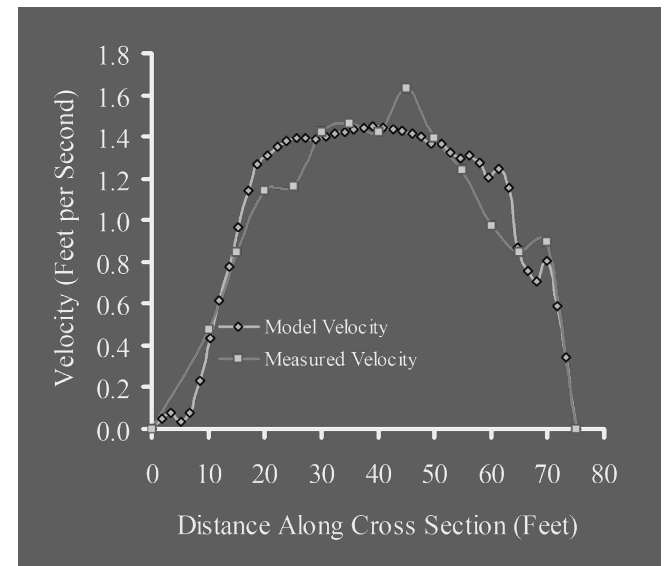
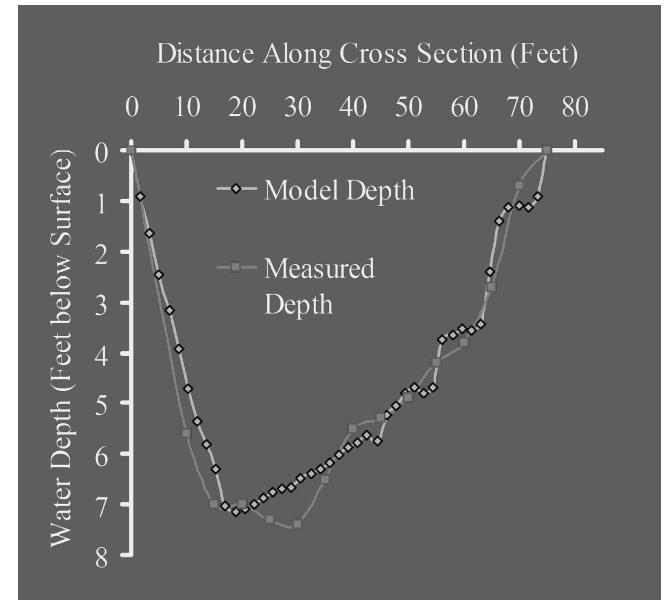
# Crowder & Diplas (2000) Argument

## 2D Models are Appropriate for Capturing Ecologically Significant Patterns



But they should be validated  
and/or verified?

How do you do that?



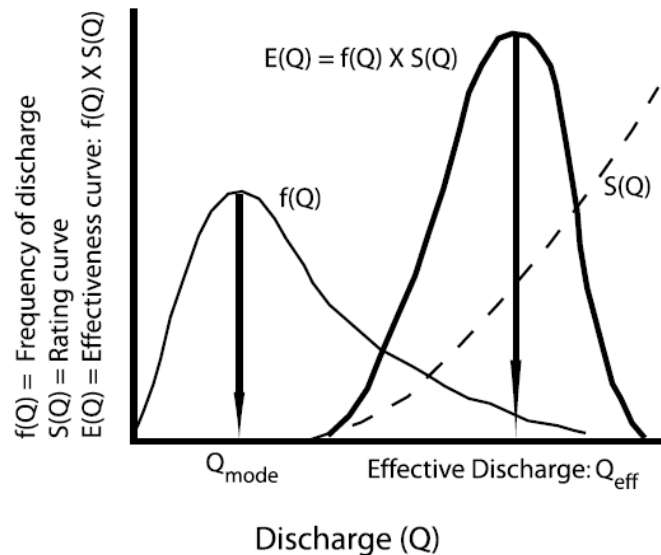
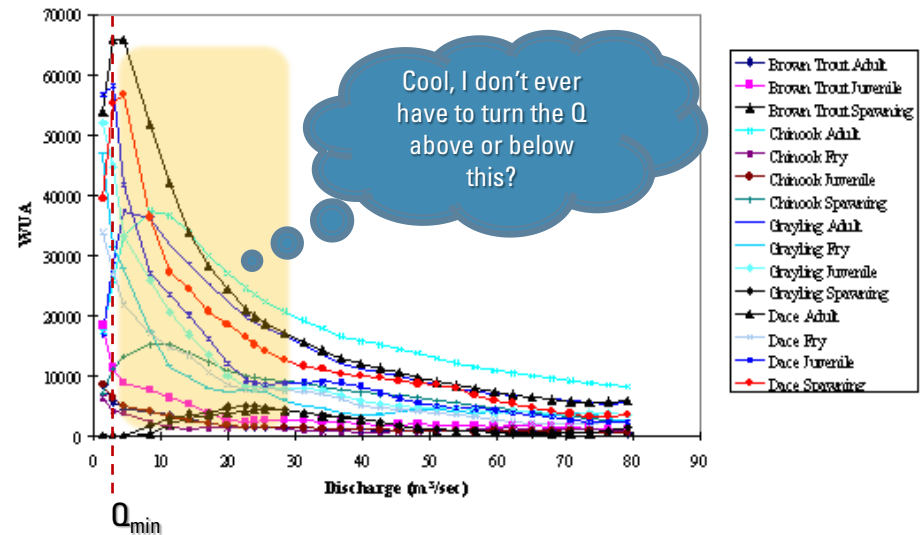
# Traditional Habitat Suitability Models

- 
- I. Intro
  - II. Terminology – curves vs. indices; suitability vs. utilization
  - III. PHABSIM & IFIM
  - IV. 1D to 2D Driver
  - V. Best Practices / Reasonable Uses**
  - VI. Limitations

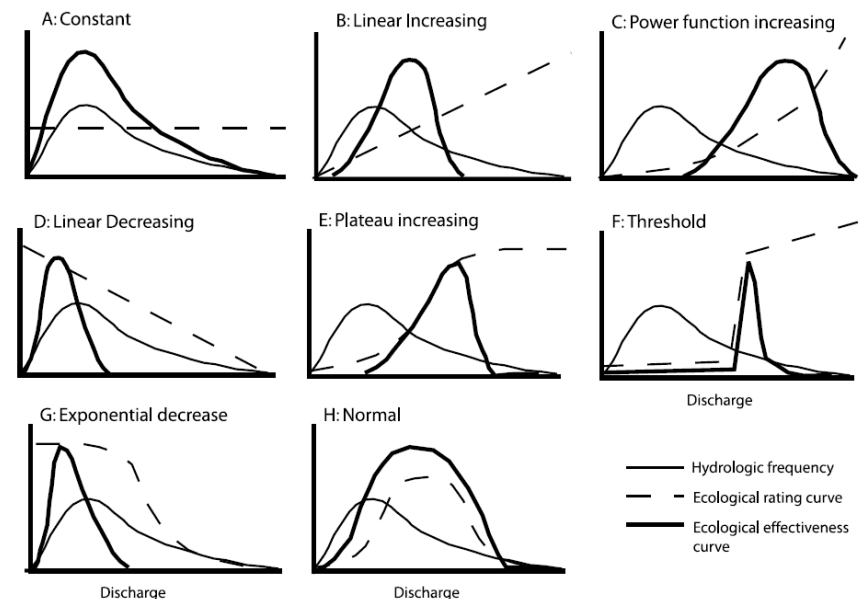


# WUA for a range of species & LS

- You can look at this and if you're a flow manager what would you do?
- Is this right?



**Figure 1.** Components of effective discharge ( $Q_{eff}$ ). Adapted from *Wolman and Miller [1960]*.

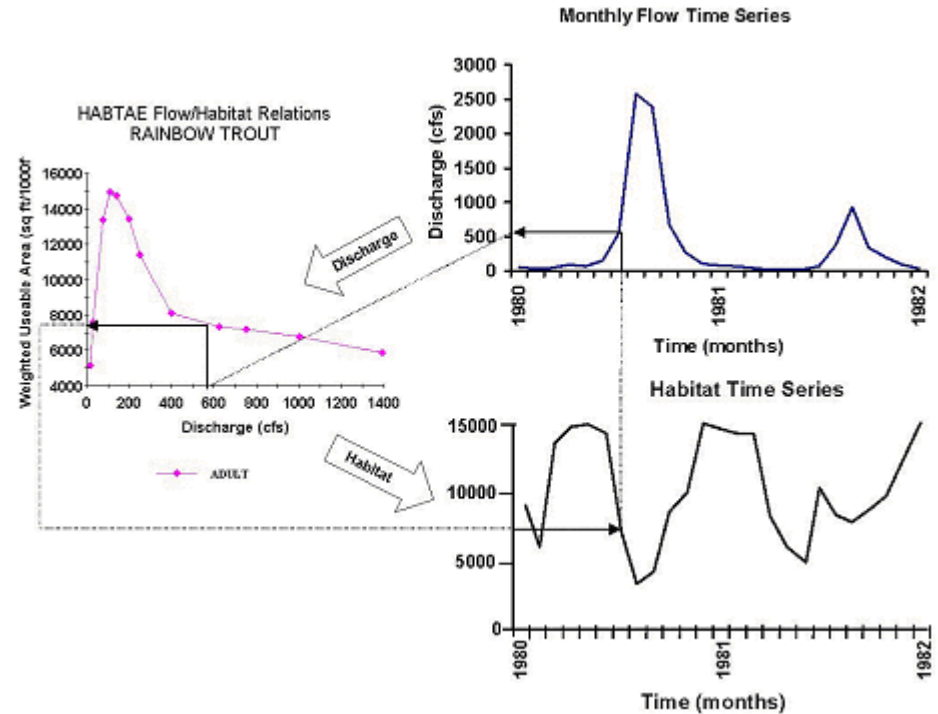
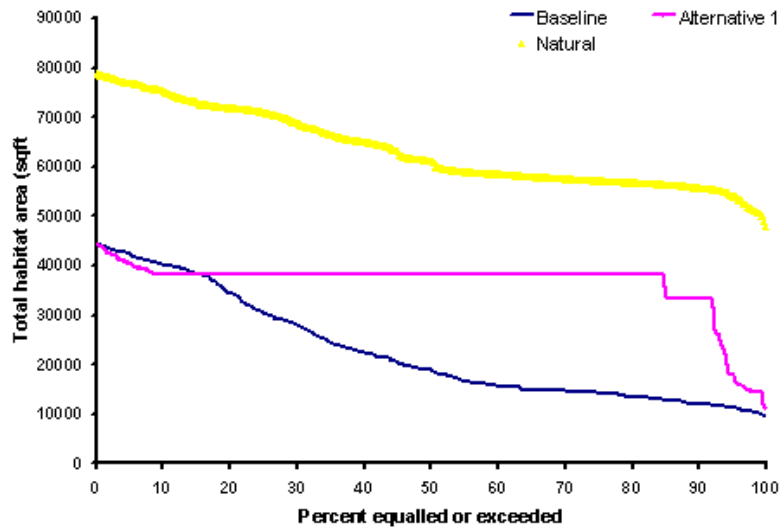


**Figure 13.** Hypothetical response curves for a variety of ecological variables. For potential ecological variables, see Table 2 and discussion in text.



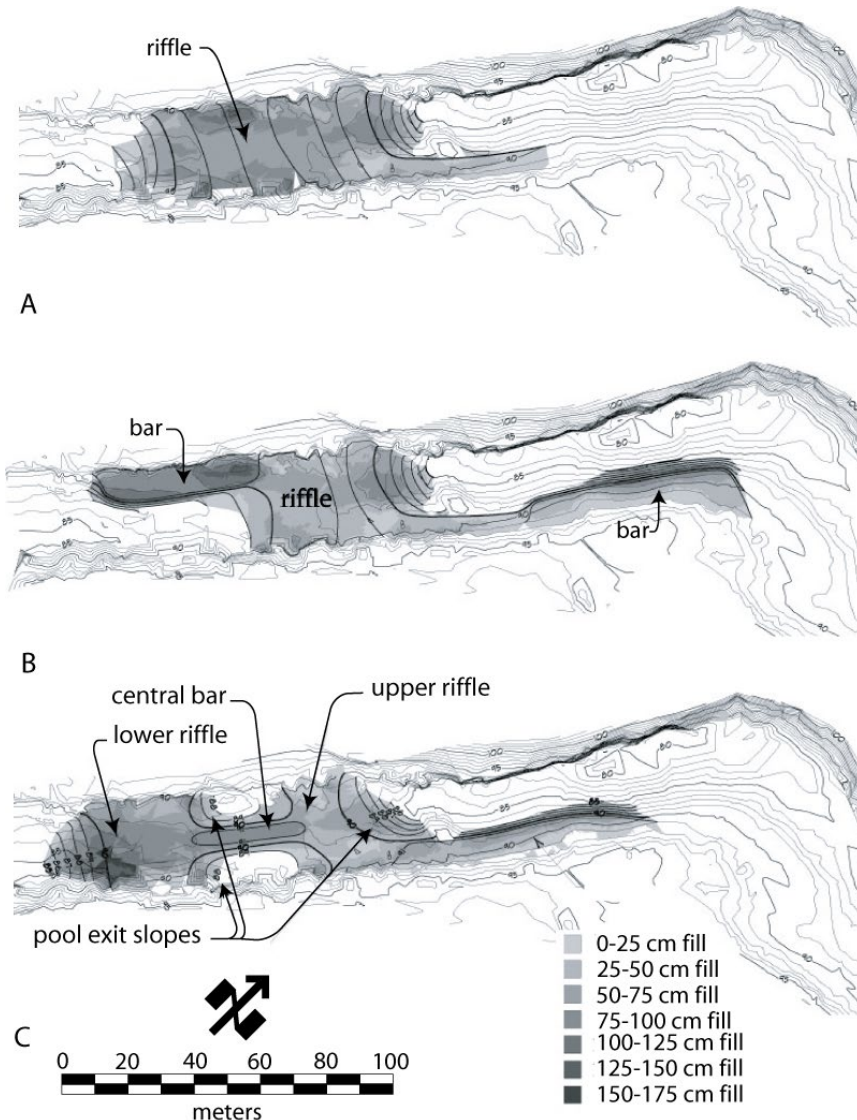
# Habitat Time Series

- If you've got actual hydrograph, you can run a habitat time series (i.e. hydrodynamics)



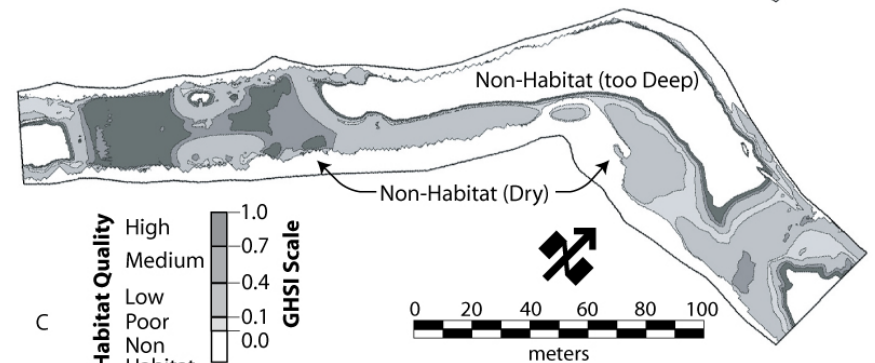
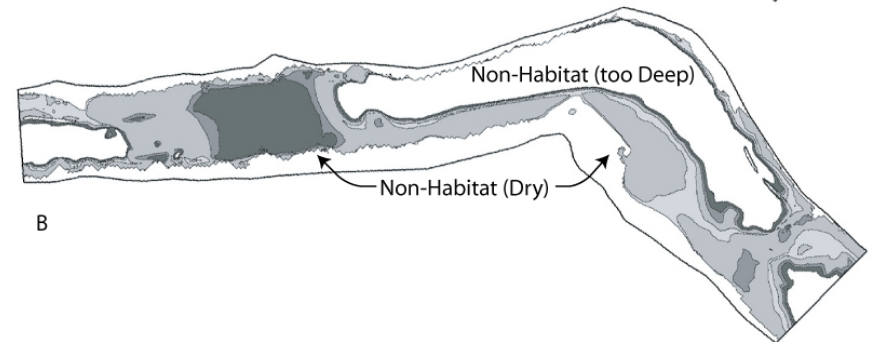
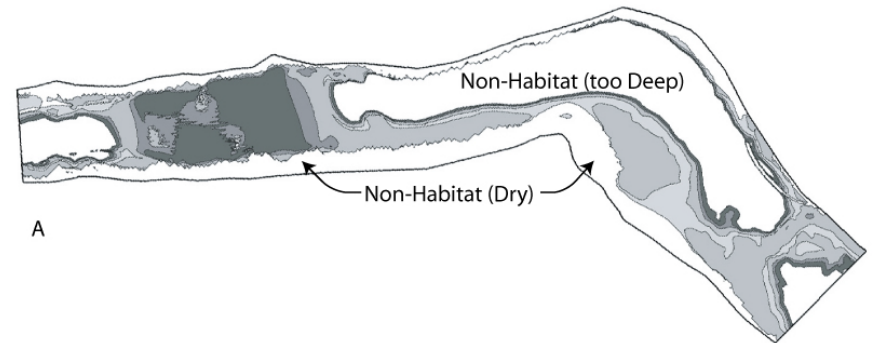
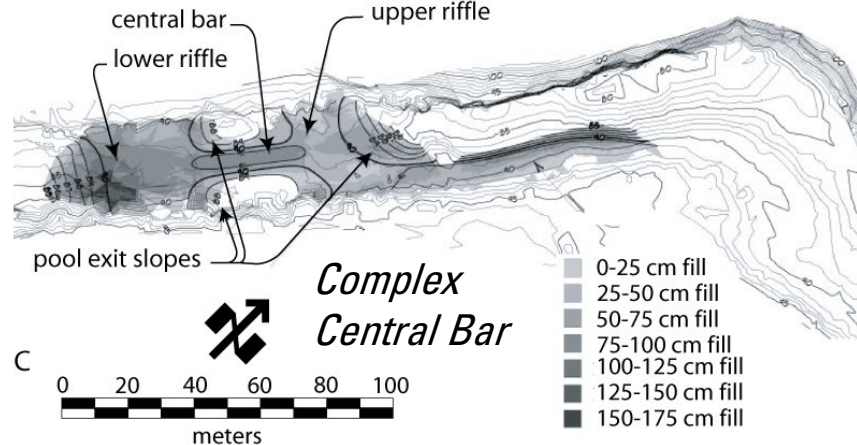
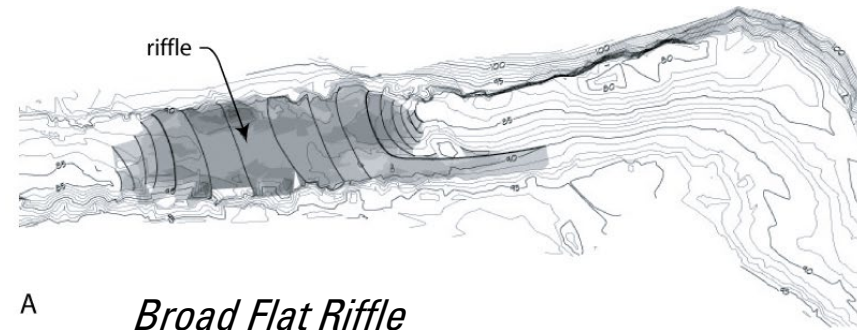
- With a habitat time series, you can derive a habitat duration curve..

# Same Place... Different Configurations



- Use range of different design scenarios to test design hypotheses under a range of conditions
  - Physically different designs
  - Different scenarios of forcing conditions
- Project 'outcome' IS NOT likely to be static
- Design for dynamic outcome relative to magnitude of dynamics (i.e. variability) of system

# Different Designs – Different Hypotheses



# Model Outcome – Is It Right?

**MODEL STATE MATRIX** → **OUTCOMES**

ID	Discretisation	Process laws	Parameterisation
1	Y	Y	Y
2	N	N	N
3	Y	Y	N
4	Y	N	N
5	Y	N	Y
6	N	Y	N
7	N	Y	Y
8	N	N	Y

Replicates  
Reality?

**TYPE I**

→ Y → Can only replicate reality

OR

**TYPE II**

Replicates reality  
incorrectly

OR

**TYPE III**

Correctly does not  
replicate reality  
(but it might approximate it)

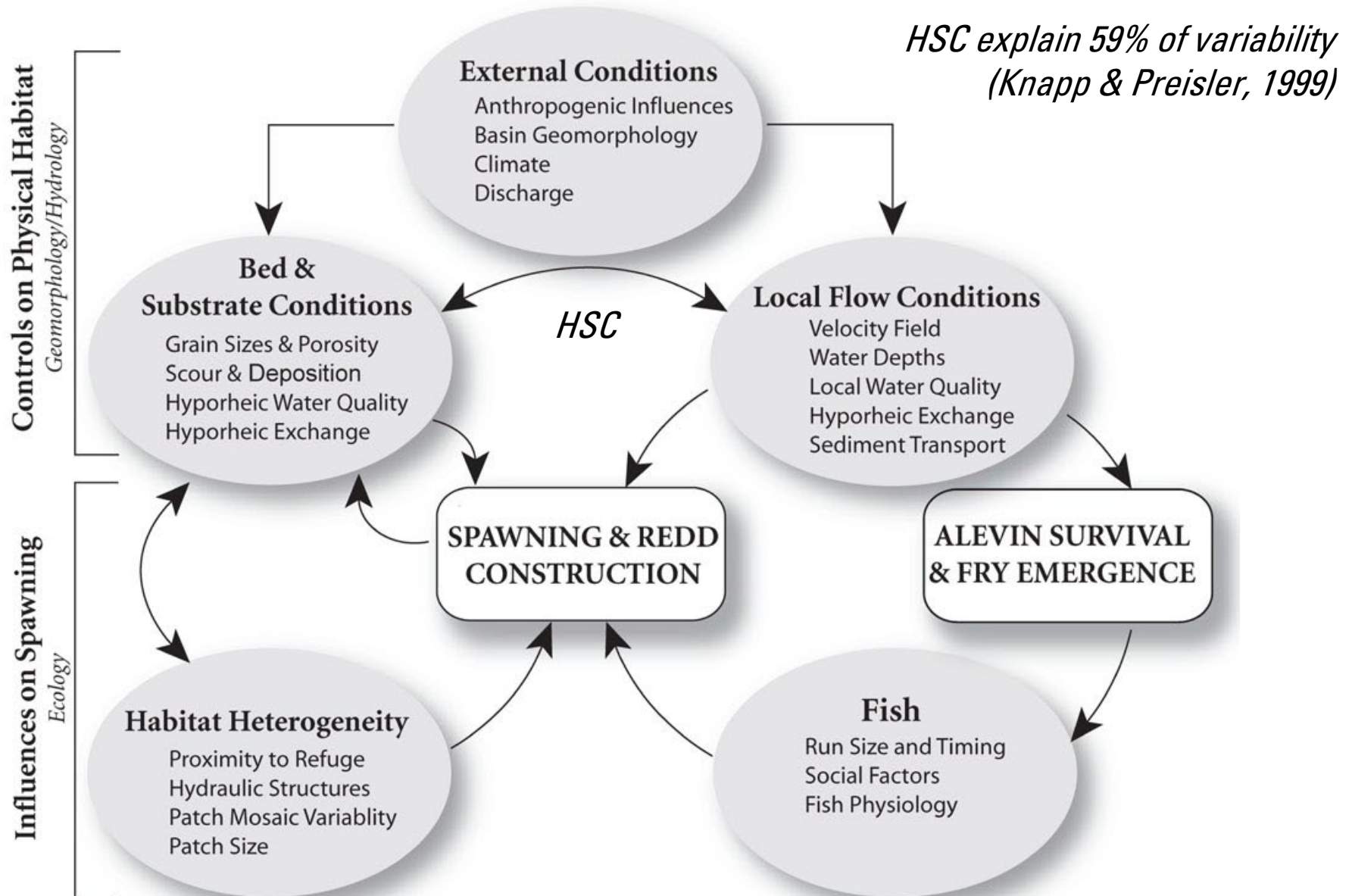
OR

**TYPE IV**

Incorrectly does not replicate reality.



# Model Might Perform Poorly...



# IS Heterogeneity Important To A Spawning Female Salmon?

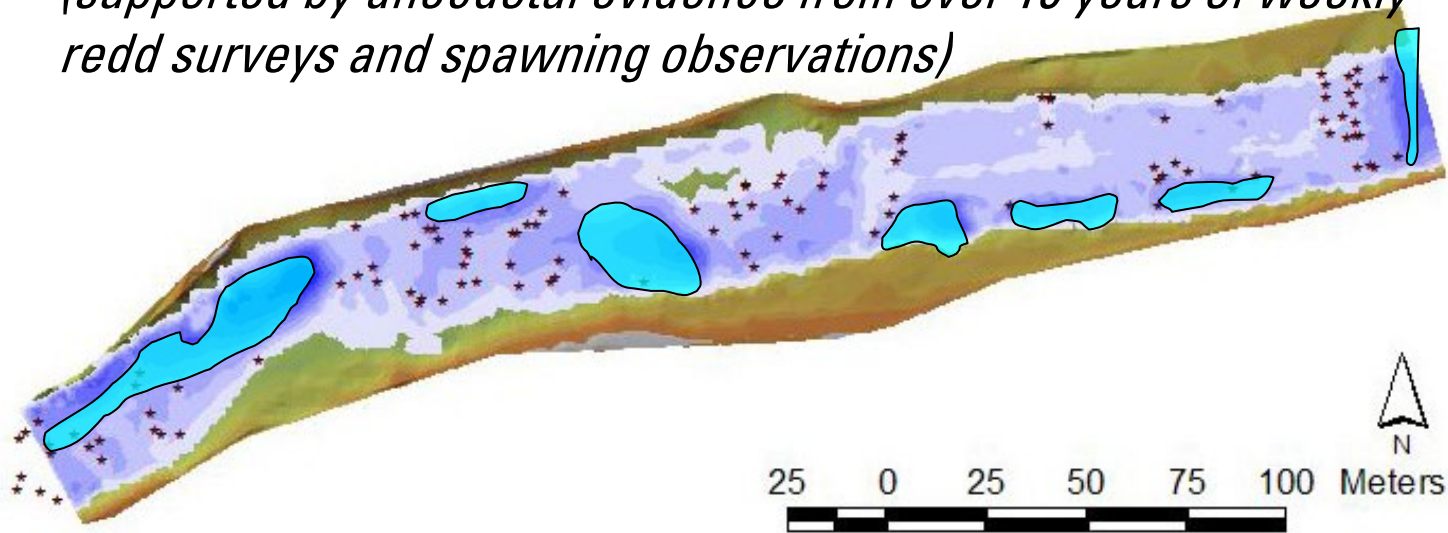
Habitat Heterogeneity is usually assumed to support species diversity (assumed to be good).



What are specific ecological benefits of habitat heterogeneity to spawning salmonids?

# Habitat Utilization Evidence

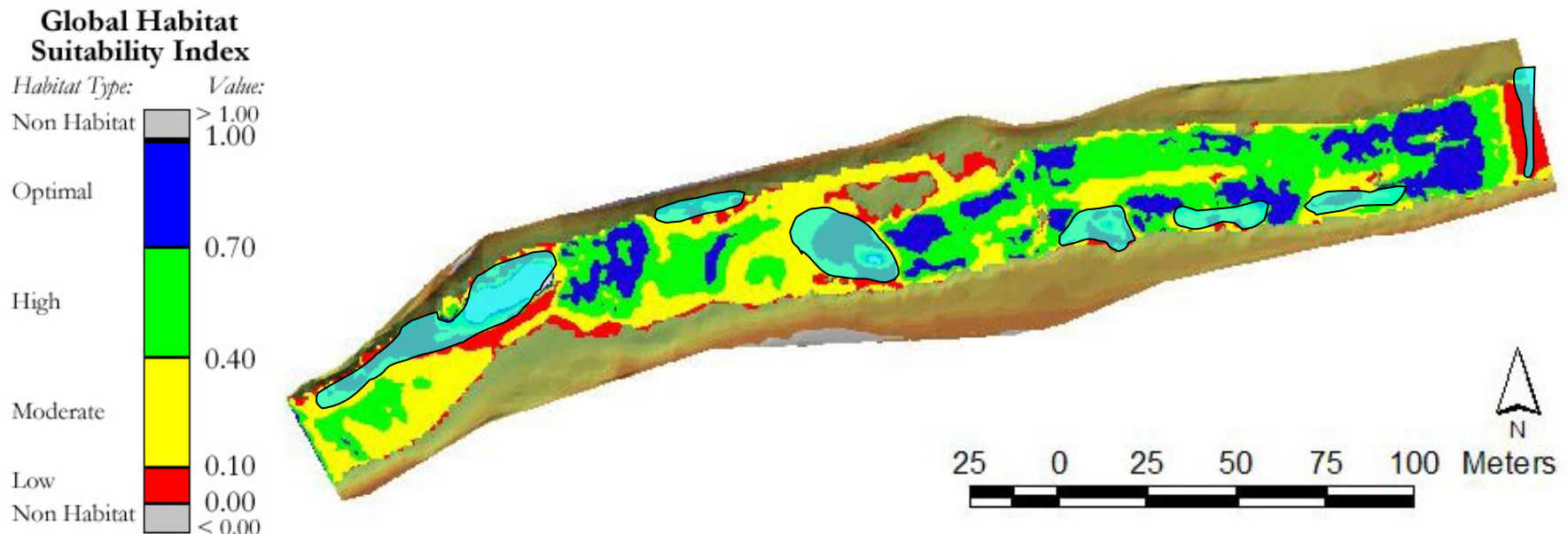
- How many spawners actually utilize these features? (i.e. are individual redds in close proximity to distinct units?)
- Assume individual redds in *close* proximity equals utilization
- *(supported by anecdotal evidence from over 10 years of weekly redd surveys and spawning observations)*





# Availability MATTERS

1. How many distinct units (counts) and what size are they (area)?
  - Too small? → Not usable, or too patchy.
  - Too big? → Homogenous
2. Are distinct units in close (1-10 m) proximity to “good” spawning habitat?



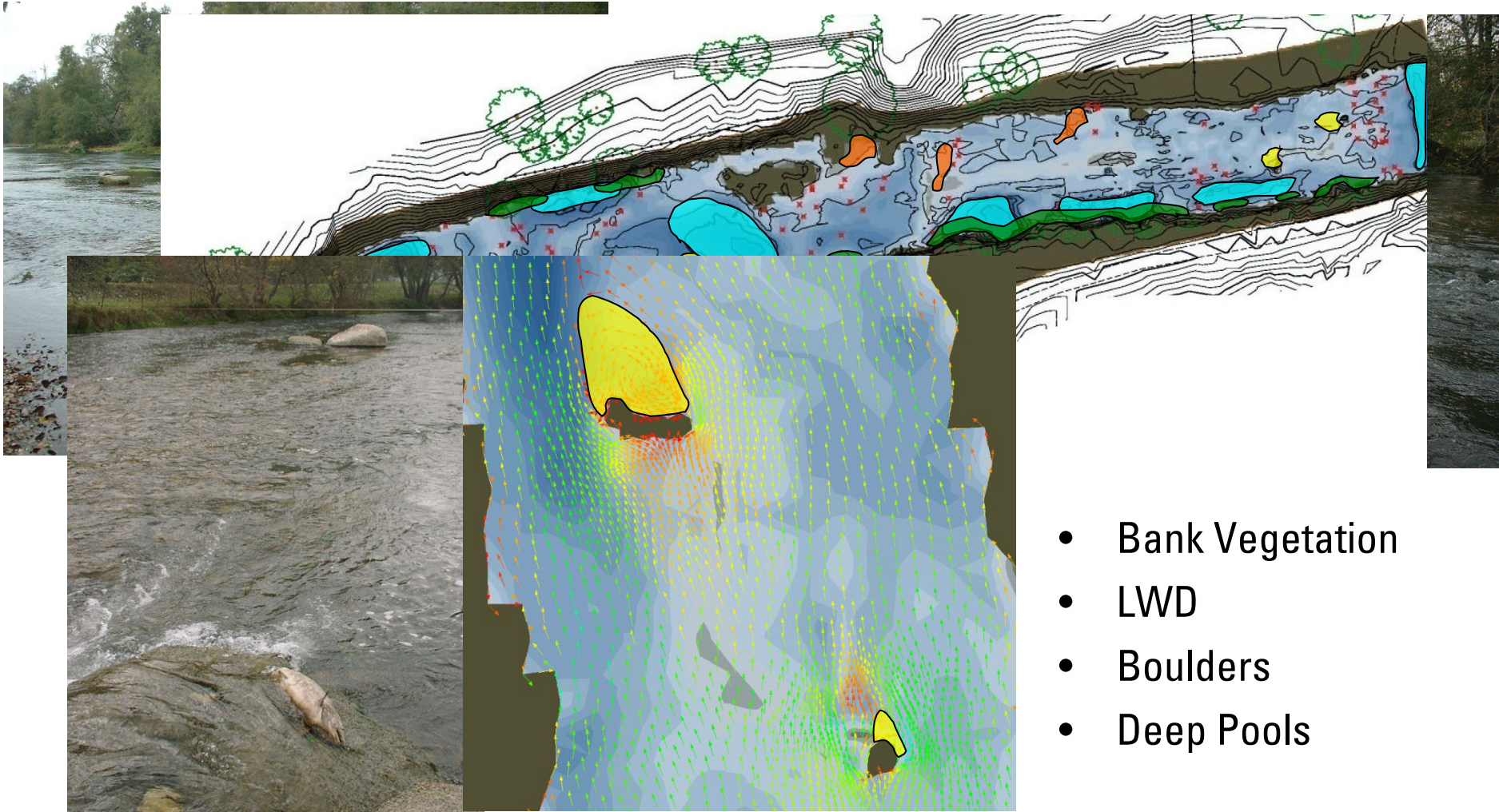


# Defining Habitat Heterogeneity Elements

Data Feature:

Data Source:

Attributed to:





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- VI. Limitations**



# Weaknesses of HSI Based Models

- Habitat requirements described by precise functions (even though observations are rather imprecise)
- Independence of habitat parameters is assumed
- New parameters difficult to incorporate (i.e. other than velocity, depth substrate)
- Lots of field data needed (i.e. HSC from HUC & HAC)
- HSC are site specific....