

NATIONAL CHIMNEY SWEEP GUILD

CERTIFICATION CANDIDATE HANDBOOK



- BENJAMIN FRANKLIN

NATIONAL CHIMNEY SWEEP GUILD

CERTIFICATION CANDIDATE HANDBOOK PILOT PROGRAM

The National Chimney Sweep Guild reserves the right to make changes to this Handbook.

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INTRODUCTION

BACKGROUND

Congratulations! You've decided to pursue certification through the National Chimney Sweep Guild (NCSG). This handbook is the primary source of information for the NCSG Certification program. It provides individuals with everything they need to know to participate in the certification process. It serves as the official guide to all certification policies and procedures.

This version of the handbook corresponds to the National Chimney Sweep Guild Certification Pilot Program in Winter 2022/2023. Please view the Pilot Program Addendum in the handbook Appendix A for related information, including discounted application fees, program timeline, and important dates.

The NCSG Chimney Professional Certifications Program was created by and for the chimney sweeping industry with over 15 months of input and direction from Subject Matter Experts across the United States. These experts participated in the Job Task Analyses (JTAs) for the Certified Chimney Professional (CCP), Certified Chimney Journeyman (CCJ), and Certified Chimney Reliner (CCR) certification programs. The resulting job role content outlines were then distributed via survey to chimney sweep professionals across the United States, providing valid evidence for the inclusion of the different Subdomains and Knowledge/Task statements for each role. The Programs also undergo a rigorous beta-testing phase (during the initial pilot program), where the exam content and delivery are piloted. Feedback from dozens of chimney sweeps across North America helped fine-tune the examination process.

ABOUT

The National Chimney Sweep Guild (NCSG) is a 501 (c)(6) non-profit trade association that promotes the success of chimney and venting businesses. Together our member businesses celebrate the legacy of the US chimney and hearth trade and advance the interests of our industry.

Members in each of NCSG's six regions elect board members to represent their geographical region. In addition to regional directors, the membership elects two At-Large Directors from among its voting members and one Supplier Representative from among its Supplier members. Additionally, two At-Large Directors are nominated by the Governance Committee and confirmed by the board.

MISSION

The National Chimney Sweep Guild (NCSG) is a community of professionals that promotes safety, education, business development, and advocacy for the chimney and venting industry.

VISION

NCSG is the leading resource for the chimney and venting industry.

CERTIFICATION GOVERNANCE

The NCSG Certification Committee provides oversight and governance, as well as establishes policies related to all NCSG certification programs. The Certification Committee is solely responsible for all essential decisions related to developing, administering, and maintaining all ongoing National Chimney Sweep Guild certifications.

NCSG reserves the right, at its discretion, to change the standards, policies, and procedures for the certification process at any time. It is important that applicants and certificants refer to this handbook for current information and updates. All changes and updates will be posted on the certification website **certifiedchimneyprofessionals.com** and/or communicated directly to certificants via e-mail. Participants in the certification process are strongly encouraged to check the website often and be attentive to e-mails sent from NCSG.

CONTACT INFORMATION

To learn more about the NCSG, the value of certification, the requirements to earn and maintain certification, and to file a complaint or appeal, visit certifiedchimneyprofessionals.com or contact us at 317-837-1500. Most of your questions can be answered by the NCSG staff; however, we partner with two companies that support the technology behind our certification programs.

EXAM REGISTRATION AND TESTING

Prolydian supports the certification process through its candidate management system and continuing education manager. Many technical questions can be answered by visiting: prolydian.com/test-taker-faqs. Here you'll find information and videos on remote proctored exams, technical requirements, an overview of the exam-day experience and more. In the unlikely event that you'll need technical support with your Prolydian account, you can contact prolydian.ladesk.com

Online Proctor

Examity provides the online proctoring system and personnel for the NCSG certification that enables candidates to take the exam conveniently and securely from their own environment. Should you need support during your exam, you can call 855-EXAMITY (select option 1), e-mail support@examity.com or chat directly through the Live Chat feature on the Examity dashboard.

TYPES OF CREDENTIALS

NCSG offers three certifications Certified Chimney Professional (CCP), Certified Chimney Reliner (CCR) and Certified Chimney Journeyman (CCJ).

EXAMINATION CONTENT OUTLINE

The NCSG certifications utilize computer-based exams composed of multiple-choice questions administered in one session, with a single, predefined break. All questions will be included in calculating the candidates' scores. The exam questions cover the topic areas detailed in the examination content outlines included below. The exams are currently offered in English only.

Certifications offered by NCSG are developed using nationally recognized industry standards. The NCSG certification examinations conform to content outlines based on a job task analysis of professionals working in the chimney industry. The initial practice analysis study was conducted in 2021 with participation from a diverse panel of Subject Matter Experts (SMEs). The practice analysis study focused on identifying what those working in the chimney industry need to know and be able to do. Exam questions are based on this analysis, and it is an essential process in identifying required expertise and performance standards.

The NCSG Certification Committee provides oversight for the development and ongoing maintenance of the certification examinations. The Certification Committee and NCSG staff work in partnership with testing specialists to ensure the examination is developed and maintained in a manner consistent with generally accepted principles for professional certification programs.

STUDY PLANS

Impartiality Related to Education and Training Leading to Certification

The NCSG Certification Committee does not require, provide, approve, accredit, recommend, or endorse any specific educational programs, courses, study guides, review materials, or other examination preparation products.

Candidates are encouraged to develop a study plan based on a review of the content outline available above. For a description and more details on each category, please see the **Study Guides** available online.



Overview: The Certified Chimney Professional (CCP) is designed to provide an independent assessment of early-to-mid career chimney sweeps through a knowledge-based exam. Passing the CCP exam demonstrates that a candidate has thoughtfully prepared, understands pertinent codes and standards and has the competency to perform skilled and safe maintenance of chimneys.

Prerequisites: None

Candidate Profile: Entry-level and mid-level chimney professionals that are seeking to demonstrate competency in chimney service, safety, and maintenance. One to three years of experience is recommended.

Competencies:

- Systems Knowledge and Installation
- · Inspection, Cleaning and Tools
- Safety
- · Science of Combustion

CCP Exam Fee:

NCSG Members: \$750

· Individuals not members of NCSG: \$900

CCP Exam Blueprint

The table below illustrates the major topics presented on each exam with the percentage of total questions that will appear in each category.

Systems Knowledge and Installation (Heating Systems, Connectors, Venting, Chimneys, Chases and Clearance Reduction)	
2. Inspection Sweeping and Tools (Inspections, Sweeping Methods and Tools, and Appliances)	26%
3. Safety (Ladder and Scaffolding Safety, Roof Safety and Fall Protection, and Health Hazards and Guidelines)	25%
4. Science of Combustion (Basic Science of Combustion)	12%
TOTAL	100%

See Appendix A for pilot program exam fees

Term Valid: 3 Years



100 questions
Approximately
3 hours

Overview: The Certified Chimney Reliner (CCR) is designed to provide an independent assessment of early-to-mid career chimney sweeps through a knowledge-based exam. Passing the CCR exam demonstrates that a candidate has thoughtfully prepared, understands pertinent codes and standards and has the competency to perform skilled and safe chimney relining and repairs.

Prerequisites: None

Candidate Profile: Entry-level and mid-level chimney professionals who specialize in relining chimneys that are seeking to demonstrate more advanced/specialized knowledge and experience. One to two years of experience is recommended.

Competencies:

- · Chimney Construction and Chimney/Flue Liner Knowledge
- Inspection and Codes
- · Chimney Relining and Repair Processes
- Safety
- Math

CCR Exam Fee:

NCSG Members: \$750

Individuals not members of NCSG: \$900

CCR Exam Blueprint

The table below illustrates the major topics presented on each exam with the percentage of total questions that will appear in each category.

1. Chimney Construction and Chimney/Flue Liner Knowledge (Chimney Construction and Chimney/Flue Liners)	21%
2. Inspection and Codes (Codes and Standards)	20%
3. Chimney Relining and Repair Processes (General Knowledge, Tile Removal, Fire Clay Tiles, Flue Liner Resurfacing Products, Metal Liners, Cast-In-Place, Factory Built Fireplace Systems, Gas and Oil Relining, Connectors, and Other Chimney/Venting Applications and Modifications)	35%
4. Safety (Fall Protection, Scaffolding Safety, Tool and Equipment Safety, Personal Protective Equipment and Product Safety, and Confined Space Entry)	16%
5. Math (Math Calculation for Chimney Relining)	8%
TOTAL	100%

See Appendix A for pilot program exam fees

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Term Valid: 3 Years



Overview: The Certified Chimney Journeyman (CCJ) is designed to provide an independent assessment of seasoned chimney sweeps through a knowledge-based exam. Passing the CCJ exam demonstrates that the candidate has thoughtfully prepared, has an advanced understanding of pertinent codes and standards, has a depth of knowledge of chimney systems and the competency to perform skilled and safe chimney repairs and replacements. Additionally, CCJ candidates are assessed on administrative tasks related to reporting, documentation and customer service.

Prerequisites: CCP as issued by NCSG; NFI certification as issued by the National Fireplace Institute (NFI); or Certified Chimney Sweep as issued by the Chimney Safety Institute of America (CSIA). The relevant credential must be active within the last three years at the time of application.

Candidate Profile: Advanced-level chimney professionals dedicated to the trade who demonstrate leadership in the field. Three to five years of experience is recommended.

Competencies:

- Systems Knowledge and Installation
- · Inspection, Sweeping, and Tools
- Safety
- Advanced Chimney Physics
- Administrative
- · Repair and Replacement Options

CCJ Exam Fee:

NCSG Members: \$950

· Individuals not members of NCSG: \$1100

CCJ Exam Blueprint

The table below illustrates the major topics presented on each exam with the percentage of total questions that will appear in each category.

1. Systems Knowledge and Installation (Heating Systems, Connectors, Venting, Chimneys, and Chases)	
2. Inspection Sweeping and Tools (Inspections, Sweeping Methods and Tools, and Appliances)	
3. Safety (Ladder and Scaffolding Safety, Roof Safety and Fall Protection, Health Hazards and Guidelines, and Home and Homeowner Safety)	15%
4. Advanced Chimney Physics (Combustion, Pressurization, Sizing and Design, and Floor Protectors and R/K Values)	
5. Administrative (Documentation and Reporting, and Service and Communication)	9%
6. Repair and Replacement Options (Masonry Repairs, Factory Built Repairs and Exterior Repairs)	
TOTAL	100%

APPLYING FOR CERTIFICATION

APPLICATION PROCESS AND TIMELINE

Applicants for NCSG certifications are required to complete and submit the online application within NCSG's certification management system operated by NCSG's partner, Prolydian, at app.prolydian.com/organizations/NCSG. If you do not already have a profile in the system, you will be prompted to create one. Applicants with a disability who require assistance completing the application should contact jessica@ncsg.org.

Candidates must complete the application in full, using their name exactly as it appears on a current approved government-issued photo ID. Approved government-issued photo identification includes:

- · Government-issued driver's license
- Passport
- · Non-US Military issued identification card
- Any Physical Government-Issued Identification Card (must include photo)

Review of Application and Notice of Status

(Note: For the Pilot Program in Winter 2022-2023, please view Appendix A: Pilot Program Addendum of this document for the specific application status notification schedule).

The NCSG will review applications and provide notice of application status within seven days. If approved, you will receive a verification e-mail with instructions on how to register for the examination. If you are not approved, you will receive a notification of the next steps. If you have not received a confirmation e-mail within seven business days following online application submission, you should contact jessica@ncsg.org to confirm the status of the application.

Application and Testing Deadlines

Upcoming exam registration deadlines and dates will be noted on NCSG's website. Candidates must register and take the examination within six months of submitting their application.

OTHER FEES

(Note: For the Pilot Program in Fall 2022, fees are discounted. Please view Appendix A: Pilot Program Addendum of this document for information on the discounted application and retake fees).

Note that the fees reflected below are the only fees associated with earning the certification.

CCJ Only Application Fee

· \$50

Should an individual's application be denied, a \$50 administrative fee will be non-refundable, but the remainder of the fee paid by the individual will be refunded. These fees are not transferable. This fee does not include the exam fee, retake fees, reschedule/cancellation fees, or optional preparatory programs.

Recertification:

- NCSG Members: \$500
- · Individuals not members of NCSG: \$700

Each certification is valid for three years. Recertification occurs after current certifications expire (every three years). At the three-year anniversary of one's certification, certified individuals are eligible to apply for recertification. To recertify, candidates must complete 36 CEUs and pay the recertification fee. For more information on the recertification process, please see the section titled "After the Examination" of this Handbook.

Fees are non-transferable from one candidate to another.

Retest Fee (All Candidates)

• \$100 (after 14 days, but before 6 months)

The retest fee is only applicable to candidates who have previously taken an NCSG (CCP, CCR or CCJ) exam but did not receive a passing score. Eligible candidates must contact NCSG for an applicable discount code. The test given during the retest session will be a different, but equivalent, form than that offered during the first testing session.

Fees are non-transferable from one candidate to another.

MAKING PAYMENT

Payments are made online via the Prolydian portal at the time of the initial application and recertification application. All major credit cards are accepted, including Visa, MasterCard, American Express, Discover, JCB, Diners Club, China UnionPay, and debit cards. For questions related to the payment processes, contact NCSG at 317-837-1500 or office@ncsq.org.

CREATING YOUR EXAMITY PROFILE

In 2023, NCSG plans to offer NCSG certification testing via in-person proctored events (see NCSG events) as well as through an online, remote proctoring process. Exams are administered through Prolydian and proctored by either Examity (remote proctoring) or an individual at a scheduled event (in-person proctoring). Prior to beginning the remote proctored exam, candidates must complete an Examity profile setup, including uploading a government-issued Photo ID.

Candidates MUST complete the profile setup, and computer requirements check prior to beginning an exam.

Follow the steps below to complete your profile setup:

- 1. Log into your Prolydian account at app.prolydian.com (provided by NCSG in the application approval e-mail)
- 2. Click the "Access my Examity account" button from your Prolydian exams dashboard
- 3. Click the "My profile" button
- 4. Click the "Edit" button in the Account Information section, add your phone number, and then click Save.
- 5. Upload a photo of your government-issued ID in the examiSHOW section and then click the Upload button.
- 6. Click the "Edit" button in the examiKNOW section. Select your security questions, provide your responses, and then click Save
- 7. Click the "Edit" button in the examiKEY section. Provide the required typed input and then click Save.
- 8. Check your computer readiness by clicking on "computer requirements check," or by clicking on the following link when you are on the computer you will be testing with: prod.examity.com/systemcheck/check.aspx

IMPORTANT NOTE

Be sure that your computer and the location where you intend to take the examination meet the requirements specified in your confirmation email BEFORE examination day. If they do not meet the requirements, you will not be able to complete the test, and you will not receive a refund.

SCHEDULING A REMOTE PROCTORED EXAM (ONLINE)

Once an individual has submitted an application and has been approved to take the exam, NCSG will send instructions for exam scheduling via e-mail. Candidates must follow the instructions in this e-mail to schedule their exam(s). Once the exam(s) has been scheduled, candidates will receive a confirmation e-mail listing the date and time of the exam. This e-mail will also contain instructions on how to prepare for a live, remote proctored exam session (if applicable). If you don't receive an e-mail, please check your "junk" or "spam" folders before contacting us.

Testing Accommodations for Candidates with Disabilities

NCSG complies with the Americans with Disabilities Act (ADA) and strives to ensure that no individual with a documented disability is deprived of the opportunity to take the certification exam solely by reason of that disability, provided that reasonable special accommodations can be made. Applicants requesting accommodations must notify NCSG prior to scheduling the exam using this form. Please see the **Policies** section towards the end of this document for more information.

Rescheduling

You may reschedule the exam as long as you do so at least four hours in advance of the scheduled test time and if it is within your allowed six-month exam window. If, however, you cancel your appointment with less than four hours' notice, or if you miss your appointment, show up late or provide inadequate identification, you will not be able to make a new appointment without paying an additional testing fee of \$100. Specific instructions for rescheduling will be included in your exam scheduling confirmation e-mail.

Cancellation Policy

Cancellation or rescheduling is allowed up to four hours before the appointment time. If an appointment is missed, a new registration is required, and a fee of \$100 will be assessed.

No fees will be refunded in the event a candidate fails to report at the scheduled time or fails to register for an exam within the allowed six-month exam window.

EXAM HOW-TO RESOURCES

- Online Test Taker FAQ
- 5 Tips to Boost Test Taker Success

- Online Proctoring Troubleshooting Guide
- Examity Check-In Process

REMOTE PROCTORED EXAM RULES

To provide a fair and consistent environment for all candidates, exams are delivered using standardized procedures following strict security protocols. Candidates are required to follow all testing rules at all times. Failure to follow these rules may result in the termination of a candidate's testing session, invalidation of the candidate's exam score and/or disciplinary action. The rules below ensure the security of the NCSG certification examinations.

- Candidates arriving more than 15 minutes after their scheduled exam start time will be required to reschedule the examination
- Candidates are permitted a beverage only if in a clear, spill-proof container
- No other individuals are permitted in the testing location with the candidate
- No watches, cell phones, or other electronic devices are permitted
- No resources, notes, books, or references of any type are allowed
- Exam room lighting should be adequate for the proctor to view the candidate and the surrounding area
- The candidate must remain in view of the proctor, except during the pre-scheduled break
- Reading out loud or any attempts to capture exam content (e.g., taking photos, copying questions, etc.) are prohibited
- · Attempts to remotely control the computer, resize browsers, or print the screen are prohibited

The only materials candidates should have within reach as they check in are their ID and phone or a hand-held mirror (to show their monitor). These must be placed out of reach once the check-in process is over. A beverage, as described above, may be kept on the desk.

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VIOLATING RULES AND DISMISSAL

Proctors are authorized to take immediate, appropriate measures against candidates who are caught violating testing rules. Any candidate who is observed engaging in any misconduct will be subject to dismissal from the examination, may be barred from future examinations for a period ranging from one year to permanent dismissal, and may be required to forfeit their current examination fee and/or period of eligibility. The candidate is entitled to appeal the dismissal determination.

TECHNICAL REQUIREMENTS

Be sure that the computer and the location where you intend to take the examination meet the requirements specified in your confirmation e-mail BEFORE examination day. If they do not meet the requirements, you will not be able to complete the test and will not receive a refund. Additional instructions will be provided to applicants in their confirmation e-mail.

- Browser: Google Chrome or Mozilla Firefox with popup blocker disabled. Other browsers are not supported and may not work as expected.
- · A working built-in or external webcam and microphone
- Desktop computer or laptop (tablets, Chromebook and cell phones do not meet the proctoring requirements)
- · Laptops must remain connected to a power source for the duration of the exam.
- macOS X 10.5 or higher, Windows Vista or higher. Examity does not support Linux or Chrome OS
- · Internet speed must be at least 2 Mbps download and 2 Mbps upload. Hot spots are not recommended.

How to Disable Pop-Up Blockers

How to Disable Pop-Up Blocker if you are using Chrome (Desktop)

- 1. Open your Chrome browser
- 2. In the upper right-hand corner, click the three vertical dots, then select Settings
- 3. At the bottom of the menu, click Advanced
- 4. Scroll down to "Privacy and security," and select Site Settings
- 5. Select Pop-ups and redirects
- 6. At the top of the menu, toggle the setting to Allowed to disable the pop-up blocker

How to Disable Pop-Up Blocker if you are using Firefox (Desktop)

- 1. Open your Firefox browser
- 2. Click the Menu button, then select Options
- 3. Select Privacy & Security
- 4. Under Permissions, uncheck Block pop-up windows to disable the pop-up blocker

POWER FAILURE OR LOSS OF INTERNET

Computer-based tests are delivered via secure Internet connections. Internet connections are subject to local Internet providers. While it is not the norm, Internet connections can occasionally be lost momentarily, requiring the proctor to log you back into your exam. If you lose your Internet connection, inform the proctor, who will assist you in logging back into your exam. Upon logging back into your exam, you will resume at the first unanswered question. Your exam time remaining will be the same as when the Internet connection was lost.

ON EXAM DAY

Log in at least 10 to 15 minutes prior to the exam to do the secure verification process.

To access your exam for a live, proctored event:

- 1. Log into your Prolydian account at app.prolydian.com
- 2. Click the "Start exam" button

To access your exam for a remote proctored event:

- 1. Log into your Prolydian account at app.prolydian.com
- 2. Click the "Access my Examity account" button from your Prolydian exams dashboard
- 3. Click the "Start exam" button
- 4. Install the Examity extension by following the instructions on the exam start page
- 5. Click the "Connect to proctor" button. A proctor will:
 - · Confirm candidate identification (see Identification Requirements below)
 - Direct the candidate to pan the examination room with their webcam
 - · Release the exam for the candidate to start testing

IDENTIFICATION REQUIREMENTS

Candidates are required to present a valid government-issued photo identification to the proctor to gain access to the exam. Acceptable forms of identification (photograph and signature required) include:

- · Government-issued driver's license
- Passport
- · Non-US Military issued identification card
- Any Physical Government-Issued Identification Card (must include photo)

The name and photo on the identification presented must match the examinee as identified at the time of registration. Candidates will not be permitted to test without proper identification, and all fees will be forfeited.

Applicant Statement of Understanding

During the online application process, each applicant will see the Applicant Statement of Understanding. Applications will not be approved without verification of reading and agreeing to the statement containing the following items. A link to that document will be provided where other documents are referenced. These documents are also included in this handbook and the exam rules are included in the next section:

- 1. I agree that all information provided in my application is complete and accurate.
- 2. I agree to comply with all rules, policies and provisions of the certification program.
- 3. I agree to provide any information needed to determine my eligibility for initial and/or continued certification and to cooperate fully with any disciplinary investigations.
- 4. I agree to make claims regarding certification only with respect to the scope for which certification has been granted. I further agree not to misuse the credential, certification mark, and or certificates or to use them in a misleading manner.
- 5. I agree to immediately discontinue all claims to certification, discontinue use of the credential/ certification mark, and destroy any certificates issued, if certification is suspended, revoked or expired.
- 6. I agree that the National Chimney Sweep Guild examinations are highly confidential and that the examination questions are the property of the National Chimney Sweep Guild. I further agree that unauthorized disclosure of the examination questions is prohibited under copyright laws.
- 7. I agree to comply with all rules of the National Chimney Sweep Guild certification exams, which are included in this Candidate Handbook and/or will be read to me by the proctor.
- 8. I agree not to copy, release, share, or otherwise disclose confidential examination materials or participate in fraudulent test-taking practices.
- 9. I further understand that:
 - ° Candidates will be observed by a proctor, either virtually through a webcam or in person, at all times while taking the examination. Proctors may not necessarily inform candidates of their observations, but they are required to report behavior that may violate the terms and regulations of the National Chimney Sweep Guild (NCSG) or other forms of irregular behavior.

- Any cheating and/or breach of confidentiality/security or any attempt to subvert the examination process by any candidate violates the purpose and principles of the examination. Any candidate, who carries out, takes part in, or witnesses such behavior must report it to the proctor and/or the National Chimney Sweep Guild as soon as possible.
- NCSG reserves the right to cancel or withhold any examination results when, in the sole opinion of the NCSG, a testing irregularity occurs; cheating has occurred; there is an apparent discrepancy in or falsification of a candidate's identification; a candidate engages in misconduct or plagiarism; when aberrancies in performance are detected for which there is no reasonable and satisfactory explanation; or the results are believed to be invalid for any other reason.
- Conduct before, during or after testing that violates principles detailed in this Statement of Understanding may result
 in the invalidation of examination results and/or other penalties and will be reported to NCSG.

AFTER THE EXAMINATION

Candidates will receive e-mail notification of their exam results within 30 (thirty) calendar days of completing a certification exam. That e-mail will contain notification of whether the candidate passed or did not pass as well as feedback regarding the candidate's exam performance in each of the domain areas (See certifiedchimneyprofessionals.com for domains. This feedback is intended to support new Certificants in selecting professional development and continuing education activities. For candidates who do not pass the exam, this feedback is also intended to support them in preparing to retake it.

RETESTING

Retesting Candidates who fail the exam must wait 14 days from the initial exam date before retaking the exam. A retest fee must be submitted during the rescheduling process. If the candidate fails the second attempt, they must wait at least nine months before retaking the exam for a third time.

APPEALS

All candidates have the right to file an appeal of an adverse decision resulting in a denial of certification or recertification based on a failure to satisfy application requirements, payment of fees required by NCSG, or failure of the certification examination caused by conditions beyond the candidate's control. See the Appeals Policy later in this Candidate Handbook.

CERTIFICATES

A certificate will be available for download for candidates who successfully pass their examination. Log into Prolydian and click on your "My Achievements" section to download. Successful candidates will also receive information on maintaining certified status.

The certificate may only be displayed during the period of time for which the credential is valid.

MAINTAINING CERTIFICATION

PURPOSE

Those who hold an NCSG certification demonstrate their commitment to staying abreast of best practices by ensuring their knowledge and expertise remain current via recertification.

RECERTIFICATION PROCESS

Certificants must recertify **every three years** to maintain active certification status (CCP, CCR and CCJ).

Recertification requires:

- Submission of 36 hours of continuing education (CE) **OR** retaking the exam
 - ° If 36 hours of CE is submitted, the exam **DOES NOT** need to be retaken
- Payment of recertification fee of \$500 (for NCSG members) or \$700 (for non-NCSG members)

All continuing education activities must take place following the date of certification to be eligible for credit.

CONTINUING EDUCATION HOURS

Credit will be given for continuing education (CE) on an hour-for-hour basis. Credits may also be given for other activities, such as instruction and reading approved texts provided; they can be directly linked to one of the knowledge domains covered by the exams.

All CE must be entered online via the Prolydian portal. A listing of pre-approved CE credits is available via the Prolydian online portal, but other courses may be submitted for approval.

OWNERSHIP & USE OF NCSG CREDENTIALS (CCP, CCR AND CCJ)

The certification marks and logos are the property of the National Chimney Sweep Guild (NCSG). Permission to use the certification marks or logos is granted to certified persons at the discretion of NCSG for permissible uses only.

Persons Authorized to Use the Marks

Use of any NCSG certification marks and logos is limited to those persons who have been granted the certification by NCSG and who satisfy all maintenance and recertification requirements established by NCSG and NOT by a company as a whole. Use of the mark and logo by individuals who have not been granted and maintained the certification is expressly prohibited.

Non-Assignability and Non-Transferability

Permission to use the certification marks and logos is limited to the certified person. It shall not be transferred to, assigned to, or otherwise used by any other individual, organization, or entity.

Mark and Logo Use

Those persons who have been granted permission to use the certification mark and logo shall do so according to the rules and guidelines established by NCSG. Persons granted permission to use the certification mark and logo must familiarize themselves with the established rules and guidelines for use and must execute approved agreements setting forth such regulations and guidelines for use.

The marks and logos may not be revised or altered in any way. They must be displayed in the same form as produced by NCSG and cannot be reproduced unless such reproduction is identical to the mark provided by NCSG.

The initials "CCP," "CCR," and/or "CCJ" may be used on materials, such as business cards, stationery, letterhead, and similar documents on which the name of the individual certified is prominently displayed to promote themselves personally as an individual certified in the maintenance and reliability profession.

The mark or logo may not be used in any manner that could bring NCSG into disrepute or in any way considered misleading or unauthorized. The mark or logo may not be used in any manner that would tend to imply a connection between any business and the certification, which may, in fact, not exist. This includes any use of the mark or logo that the public might construe as an endorsement, approval, or sponsorship by NCSG of a certificate holder's business or any product or service thereof.

Suspension or Revocation of Permission to Use Mark or Logo

NCSG retains the right, at its sole discretion, to suspend or revoke any person's permission to use its certification mark or logo. In most circumstances, when NCSG is informed that a person is misusing the certification mark or logo, NCSG will provide the person notice of the misuse and a reasonable opportunity to comply with NCSG's rules and guidelines. However, NCSG retains the right to suspend or revoke privileges without notice and an opportunity to correct, particularly when the violation is of a gross nature, and more immediate action is necessary to stop misuse.

Actions by NCSG to suspend or revoke the use of the certification mark shall be communicated in writing to the person whose privileges are being suspended or revoked and to all other persons affected by the decision. NCSG may also publicize its actions on its website and/or any other of its publications. Should any person continue the use of NCSG's certification marks or logos after notice of suspension or revocation, NCSG shall seek full equitable and/or legal remedies through a court of competent jurisdiction.

Proper Use of the Credential

After meeting all eligibility requirements and passing the examination, individuals may use their credential(s) in all correspondence, on resumes/CVs, and promotional materials, such as stationery, websites, business cards, etc. The mark or logo may be used only with the certified individual's name.

Individuals who have met the certification requirements are authorized to use the following certification mark according to the following guidelines:

- · The credential may be used as:
 - ° CCP or Certified Chimney Professional
 - ° CCR or Certified Chimney Reliner
 - ° CCJ or Certified Chimney Journeyman
- · The credential is used after the certificant's name and following any academic degrees or licenses
 - ° E.g., Juan Delgado, RN, CCP; or Kris Bowman, MBA, CCJ
- The credential must be clearly associated with the individual certified or be referenced in general terms:
 - Examples of correct use:
 - · Fred Chang is a Certified Chimney Reliner
 - · Wanda Swanson, CCJ
 - · Three XYZ employees hold the CCP certification
 - ° Example of incorrect use:
 - XYZ organization employs three Reliners (when referring to a CCR credential)
 - XYZ organization is a CCP business (certification is to the individual only)
 - Joel Ortiz has their Certification for Certified Chimney Journeyman

Verifying Certification Status

An online directory of the National Chimney Sweep Guild Certified Credentialing Professionals will be available at certifiedchimneyprofessionals.com and app.prolydian.com/organizations/NCSG You can choose whether you'd like to be listed on your Prolydian account at app.prolydian.com/organizations/NCSG.

POLICIES

CONTACT INFORMATION CHANGES

NCSG online portal (Prolydian) is the primary method used for communication regarding exam confirmations, exam results and recertification. As a candidate and certificant, you have 24/7 access to exam-profile details and are responsible for ensuring that your contact information in your profile is kept up to date. NCSG recommends using a personal e-mail address and phone number in the event of changes in employment. This information will be handled according to NCSG's Confidentiality and Privacy of Information Policy (see below).

NON-DISCRIMINATION

NCSG does not and shall not discriminate based on age, ethnic origin, color, religion, race, gender, gender identity, gender expression, sexual orientation, pregnancy, childbirth or related medical conditions, disability, marital status, or military status in any of its activities or operations. These include, but are not limited to, approval of certification/recertification applicants, staff decisions (including but not limited to hiring, discipline, promotion and termination), selection of volunteers and vendors and provision of services. NCSG is committed to providing an inclusive and welcoming environment for certification candidates, certificants, volunteers, staff members, clients, subcontractors, vendors and clients.

STATEMENT OF IMPARTIALITY AND FAIRNESS

NCSG's leadership and management, including its Certification Committee, endorse the principles of impartiality and fairness and commit to:

- 1. Implement its policies and procedures impartially and fairly,
- 2. Not restrict certification based on undue financial or other limiting conditions, and
- 3. Not allow commercial, financial, or other pressures to compromise impartiality in certification activities.

CONFIDENTIALITY AND PRIVACY OF INFORMATION

NCSG shall hold in confidence and a secure manner the information obtained in the course of certification program activities at all levels of the organization, including the activities of all personnel (paid, contracted, or volunteer) acting on its behalf. Except as required in this Policies & Procedures Manual, information about a particular individual is considered confidential information and shall not be disclosed to a third party by the NCSG staff, volunteers, or contractors without the prior written consent of the individual. Where the law requires information to be disclosed to a third party, and unless the law restricts it, the individual shall be notified in writing beforehand of the information disclosed.

TESTING ACCOMMODATIONS FOR CANDIDATES WITH DISABILITIES

NCSG complies with the Americans with Disabilities Act (ADA) and is committed to providing necessary testing accommodations for examinees with documented disabilities, consistent with the requirements of the law. Accommodations may also be approved for examinees with documented qualifying medical conditions that may be temporary or are not otherwise covered by the ADA, such as pregnancy or a temporary impairment following surgery, including conditions that require the use of medical devices or medication during the examination. NCSG will also provide testing accommodations for candidates testing in other jurisdictions to the extent required by applicable laws in those jurisdictions.

An individual is not considered to have a disability requiring accommodation if the limitations arising from the individual's impairment do not significantly restrict the individual's major life activities compared to the abilities of an average person. Non-specific diagnoses such as individual learning styles, learning differences, academic problems, computer phobias, slow reading, and test difficulty or anxiety in and of themselves do not constitute a disability or impairment.

The decision as to whether a medical condition not covered by the ADA is a "qualifying medical condition" for purposes of the CCP, CCR and CCJ exams, accommodation is at the sole discretion of the NCSG.

A range of available accommodations is considered to assist examinees with disabilities or qualifying medical conditions. Accommodations are provided on an individual basis and depend on the nature of the disability or medical condition and the documentation provided. NCSG will make reasonable efforts to provide the requested accommodations to examinees provided the functional impairment has been demonstrated through adequate documentation. The accommodations do not fundamentally alter the measurement of the skills or knowledge the examination is intended to test, do not jeopardize examination integrity and security, are compatible with the nature and purpose of the examination or assessment program, and do not result in an undue burden to the NCSG. Accommodations cannot be made to the actual content of the examination.

Examination Accommodations

CCP, CCR and CCJ examination applicants may request accommodations by completing a Request for Accommodation form upon application submission prior to scheduling their examination. In order to fulfill any accommodations, NCSG requires at least 30 days between the approved accommodation and the testing date. The Request for Testing Accommodations Agreement Form is available online.

All requests for examination accommodations are strictly confidential, and documentation submitted in support of the request is used solely for the purpose of evaluating the request. Failure to provide NCSG with adequate supporting documentation in a timely manner will cause a delay in the review process and the applicant's ability to schedule and take the examination.

An applicant may request more than one accommodation; however, supporting documentation is required for each requested accommodation.

Examinees cannot schedule their CCP, CCR, or CCJ examination, nor can the examination be administered until the process described above has been completed.

Common Accommodations

NCSG will base the accommodations on the requests and required documentation received. Common accommodations include extended time for testing (1.5 time or double time), frequent or additional breaks, access to auxiliary items (food, medication, or medical devices), or provision of a reader and/or scribe. Candidates will not be charged any additional fees for approved accommodations.

Required Supporting Documentation

Adequate supporting documentation from a qualified medical professional certifying the applicant's disability or qualifying medical condition, with specific identification of the requested accommodation and the medical basis for the request, must be submitted to NCSG, either directly from the medical professional or by the applicant. NCSG will verify the authenticity of any submissions sent by the applicant. A qualified professional is someone with the credentials, training, and expertise to diagnose the reported disability or qualifying medical condition. The primary relationship of the attesting professional to the individual must be that of a treating medical professional to a patient; there must be no familial, intimate, supervisory or other close relationship between the qualified professional and the individual requesting the accommodation(s).

The documentation must:

- Be on the Disability-Related Needs by Qualified Provider Form, typed in English, dated and signed and include the name, title, and professional credentials of the qualified medical professional.
- Contain contact information, including the address, telephone number, and/or e-mail address of each professional providing documentation.
- 3. Include the name of the specific disability or medical condition.

NCSG reserves the right to request further verification, if necessary, of the evaluating professional's credentials and expertise relevant to the diagnosis, to verify the authenticity of the supporting documentation, and to seek clarification of the information provided by the evaluating professional.

Review of Requested Accommodations

NCSG will consider requests for examination accommodations following receipt of an examination application and all required documentation supporting the request. The applicant will be sent a notification of the NCSG's decision regarding the requested accommodation(s).

If accommodations have been approved, the notification will be in the form of a Testing Accommodations
Agreement Form
indicating the accommodation(s) that has/have been approved. The applicant must sign the agreement and return it to NCSG by the date indicated within the agreement. The application for an applicant requesting examination accommodations will not be complete until the NCSG receives the signed agreement.

If accommodations have been denied or the applicant disagrees with the Testing Accommodations Agreement, they may appeal NCSG's decision.

APPEALS

An appeal is a formal request for special consideration regarding a decision made by the Certification Committee or its representatives related to an individual's achievement or retention of certification.

Appeal Submission

An appeal must be submitted electronically on the NCSG website <u>Appeal & Complaints Form</u>. The appeal must be submitted no later than 30 days after notification by the NCSG of the adverse decision. All relevant supporting documentation must be included or referenced in the appeal submission.

Initial Appeal Review and Determination

The NCSG Certification Manager or their designee will serve as the first level of appeals review. The review will occur within 10 business days of receipt, and a response will be sent to the appellant via e-mail. Staff members will recuse themselves from the appeal consideration if there is any reason for which impartiality might reasonably be questioned or if there is an actual or apparent conflict of interest.

The NCSG Certification Manager or their designee will review the appeal to determine whether it meets the definition of an appeal and whether appeal submission requirements are met. If it does, the NCSG Certification Manager or their designee will investigate and consider the appeal, including but not limited to taking into account the results of previous similar appeals and making a determination on appeal action.

The initial response will be one of the following:

 Appeal denied - The response will be accompanied by an explanation for the denial and/or information on an alternate course of action where applicable. The denial will include information on the appellant's further recourse if the appellant wishes to pursue the appeal.

- · Appeal approved
- Appeal forwarded to the Appeals & Complaints Panel
- Appeal was delayed to provide time to gather further information. Within 30 calendar days, the appellant will be sent either an appeal-denied or an appeal-forwarded notification.

Written notice of the Appeals Panel determination (appeal denied or appeal approved) or a progress notice (appeal forwarded or appeal delayed) will be provided to the appellant within 10 business days of the determination.

Procedure: Second Level Appeal Review and Determination

If applicable, appeals are sent to a three-member team pulled from the Appeals Panel for consideration. The appeal will be considered no later than 90 days after the appeal receipt.

Members of the Appeals Panel will recuse themselves from the appeal consideration if there is any reason for which impartiality might reasonably be questioned or if there is an actual or apparent conflict of interest.

The appeal will not include a hearing or any similar trial-type proceeding.

The function of the Appeals Panel in a given case is to review the decision being appealed based on the record that was presented at the time of the determination.

The Appeals Panel will review the appeal, including but not limited to taking into account the results of previous similar appeals, and make a determination on appeal action.

The second level response will be one of the following:

- Appeal denied
- · Appeal approved
- · Appeal was delayed to provide time to gather further information

Written notice of the Appeals Panel determination (appeal denied or appeal approved) or a progress notice (of appeal delayed) will be provided to the appellant within 10 business days of the determination.

Procedural Appeal Review and Determination

If an appellant feels their appeal was handled improperly by the staff or Appeals Panel, a procedural appeal may be filed with the Certification Committee. The appeal will be considered no later than 90 days after the appeal receipt.

Members of the Certification Committee will recuse themselves from the appeal consideration if there is any reason for which impartiality might reasonably be questioned or if there is an actual or apparent conflict of interest.

The appeal will not include a hearing or any similar trial-type proceeding. The function of the Certification Committee in a given case is to review the handling of the appeal to determine if The NCSG policies and procedures were followed.

The procedural appeal response will be one of the following:

- · Appeal denied
- · Appeal approved In such cases, a new Appeals Panel will be assigned to consider the full appeal again.
- · Appeal was delayed to provide time to gather further information

Written notice of the Certification Committee determination (appeal denied or appeal approved) or a progress notice (of appeal delayed) will be provided to the appellant within 30 business days of the determination.

PROGRAM COMPLAINTS

Individuals with concerns regarding the certification program materials, personnel or activities are encouraged to discuss these with the individuals involved to try to resolve the matter informally. In some cases, however, informal resolution is not possible, and individuals may wish to file a formal complaint.

Submission of Formal Program Complaints

A formal complaint will be submitted electronically on the NCSG website via this link: APPEAL & COMPLAINTS FORM within 90 days of the incident's occurrence. In the case of complaints related to exam administration, those must be submitted within two weeks after the applicable exam administration. The submission will include sufficient objective evidence to substantiate the claim(s) and appropriate action. Dissatisfaction based on hearsay will not be considered as a complaint. Anonymous complaints will not be considered.

Staff Level Review

The NCSG Associate Director or assignee will serve as the first level of complaint consideration to determine its validity. They will investigate the circumstances of any valid complaint and take one of the following actions:

- Determine and implement corrective action
- · Refer the complaint to a different suitable staff member to determine and implement corrective action
- Escalate the complaint to the Certification Committee for review

If the complaint is not immediately resolvable, written notice of progress (of a referral or escalation) will be provided to the complainant within 10 business days of the complaint receipt. In all cases, The NCSG Associate Director or assignee will communicate the proposed resolution to the complainant within a period of no longer than 30 days.

If the target deadlines in this policy cannot be met for any reason, a progress notice will be sent to the complainant, outlining when the findings will be communicated.

Procedure: Certification Committee Review

If a complaint is found to warrant escalation, the Certification Committee Chair will be notified, and the complaint will be reviewed by the Certification Committee or its designees. A decision will be communicated to the complainant within a period of no longer than 30 days.

If the target deadlines in this policy cannot be met for any reason, a progress notice will be sent to the complainant, outlining when the findings will be communicated.

Procedure: Appeal of Complaint Determinations

The determination of staff or the Certification Committee is appealable, and the Appeals policy will apply.

COMPLAINTS AGAINST CANDIDATES OR CERTIFICANTS

National Chimney Sweep Guild candidates and certificants commit to adhering to the standards set out in The NCSG code of conduct. NCSG shall have a process for handling complaints against certificants in a constructive, impartial, and timely manner.

Complaints

A complaint against a candidate or certificant must be submitted electronically on the NCSG website, and a complaint must meet four criteria:

- · Specific to a section of certification rules
- · In writing by the individual lodging the complaint
- · Supported by specific evidence of the such accusation
- · Made against a current candidate or holder of an NCSG certification

Complaint Handling and Communications

- The complaint and all related documentation will be dealt with in a strictly confidential manner.
- The complaint form on The NCSG website will include a statement that the complainant must agree to hold in strict confidence the filing of the complaint. They will not announce or promote in any manner or use personal or institutional communication vehicles to announce the filing of a complaint.
- If a preliminary investigation of the information/evidence reveals a valid complaint, the individual(s) named in the written complaint will be sent a "Notice of Complaint." The Certification Committee will also request any additional information needed and a specific time frame for providing such information. If this additional information is not received, a decision shall be rendered based on the information initially provided.
- If it is determined that no further action is warranted, the complainant will be advised in writing of the outcome
 of the initial assessment. The target date for this communication is within 30 days after receipt of the
 complaint.
- If the initial investigation supports the complaint, an independent investigation will commence, and the information shall be handed over to the Certification Committee.
- The Certification Committee will issue a written report of its findings within 60 working days of receiving all further requested supporting information.
- The final disposition will be communicated to the complainant. The target date for this communication is within 10 working days of the written report findings being accepted.
- If, for any reason, the target deadlines in this procedure cannot be met, a progress notice will be sent to the complainant, outlining when the findings will be communicated.

Potential Disciplinary Actions

If a complaint is found to have valid grounds, the Certification Committee may recommend one of the following disciplinary actions depending on the severity of the infraction:

- Warning. A written warning could be issued outlining the consequences if the situation occurs again or another violation occurs.
- Suspension. CCP, CCR and/or CCJ certification or eligibility to become certified could be suspended.
- Revocation of Certification. CCP, CCR and/or CCJ certification could be revoked.

Appeals of Certification Committee Findings

The subject of the complaint may appeal the disciplinary actions. The Appeals policy will apply.

APPENDIX A: PILOT PROGRAM ADDENDUM

The following addendum to the Candidate Handbook applies to the National Chimney Sweep Guild Certification Pilot Program taking place in the Winter of 2022/2023. Participation in the pilot program is offered at a significantly reduced application rate and will increase to regular rates after the completion of the Pilot.

Any policies, procedures, or terms not specifically amended by this addendum will apply as written in the Candidate Handbook.

PILOT PROGRAM FEES

(Amending "Applying for Certification" section "Fees" in the Candidate Handbook)

Certification Application and Exam Fees (Due at the time of application):

Certified Chimney Professional (CCP) Exam Fee

- NCSG Members: \$149 (regular price after Pilot: \$750)
- Individuals not members of NCSG: \$149 (regular price after Pilot: \$900)

Certified Chimney Reliner (CCR) Exam Fee

- NCSG Members: \$149 (regular price after Pilot: \$750)
- Individuals not members of NCSG: \$149 (regular price after Pilot: \$900)

Certified Chimney Journeyman (CCJ) Exam Fee

- NCSG Members: \$149 (regular price after Pilot: \$950)
- Individuals not members of NCSG: \$149 (regular price after Pilot: \$1100)

CCJ Only Administrative Fee

• \$50

Should an individual's application be denied, a \$50 administrative fee will be non-refundable, but the remainder of the fee paid by the individual will be refunded. These fees are not transferable. This fee does not include retake fees, reschedule/cancellation fees, or optional preparatory programs.

Retest fee (Pilot, All Candidates)

\$0 (after 14 days, but before 60 days)

Standard exam retake fees apply if a candidate needs to retake an exam more than one time.

Recertification Fee (Three-Year Interval)

- NCSG Members: \$500
- Individuals not members of NCSG: \$700

Recertification occurs after current certifications expire (every three years). To recertify, candidates must complete 36 CEUs and pay the recertification fee.

Fees are non-transferable from one candidate to another.

Please note: Until the NCSG Certification Program is officially accredited by the American National Standards Institute (ANSI), individuals who pass the certification exams will receive a "Preliminary Certification." Once NCSG has become accredited, the "Preliminary Certification" will become an "Accredited Certification."



CONTACT

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