# Resilient Design for Functional Recovery using SP3 and FEMA P-58 (+ ATC-138)

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#### Acknowledgement of Many Collaborators on Resilient Design:

Many visionary Structural Engineers in our profession, SP3 Team, NIST project by Liel/Cook, ATC-138 Project Team (FEMA-funded), Building Seismic Safety Council Functional Recovery Task Committee, and many more....

SP3 | where research meets practice

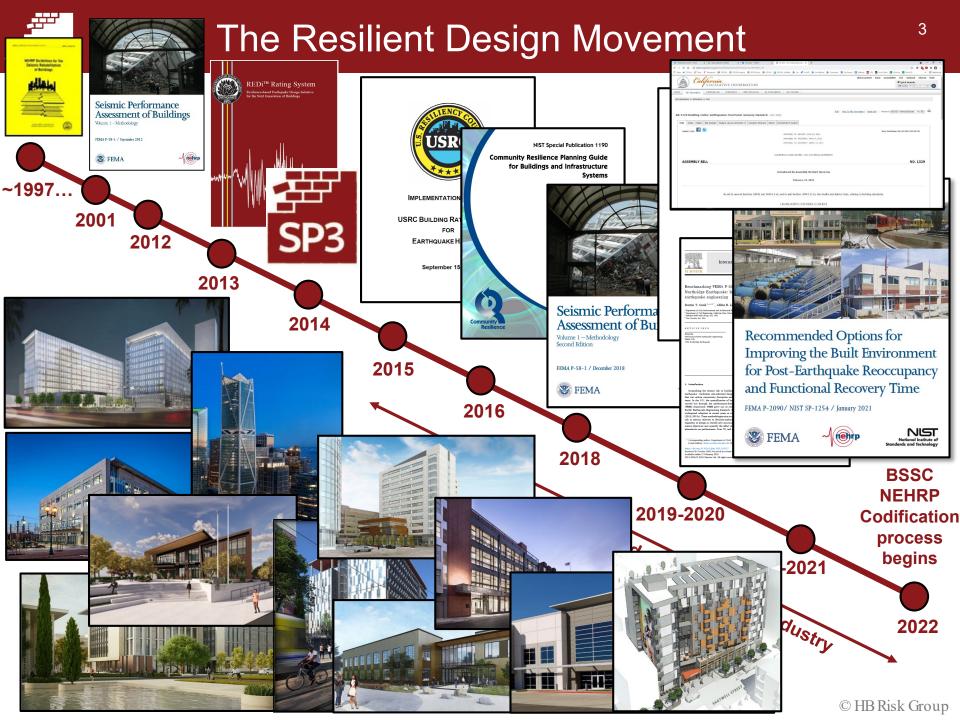
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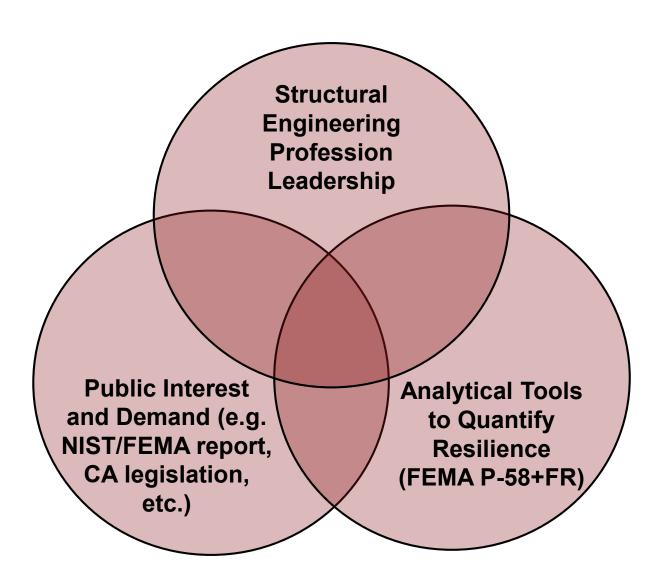


#### Outline

- The Resilient Design Movement
- FEMA P-58 Method and Extensions for Functional Recovery
- Illustrative Resilient Design Example
- Summary and Next Steps
- Time for Q&A (10-15 minutes)









#### **Bottom-Up Push for Resilient Design:**

- Visionary structural engineers are leading by doing this electively on projects to better serve their clients.
- Typical goals of resilient design projects:
  - **Time:** Reduce time for building to regain function (business disruption); aiming for function in "days to weeks".
  - Cost: Reduce damage and needed repair costs; aiming for less than 5% repair cost.



## Top-Down Push for Resilient Design:

 Federal: NEHRP Reauthorization with mandate to look at building function, NIST Immediate Occupancy report, NIST/FEMA Functional Recovery report.

"Functional recovery is a post-earthquake performance state in which a building or lifeline infrastructure system is maintained, or restored, to safely and adequately support the basic intended functions associated with the pre-earthquake use or occupancy of a building..."



Recommended Options for Improving the Built Environment for Post-Earthquake Reoccupancy and Functional Recovery Time

FEMA P-2090/ NIST SP-1254 / January 2021



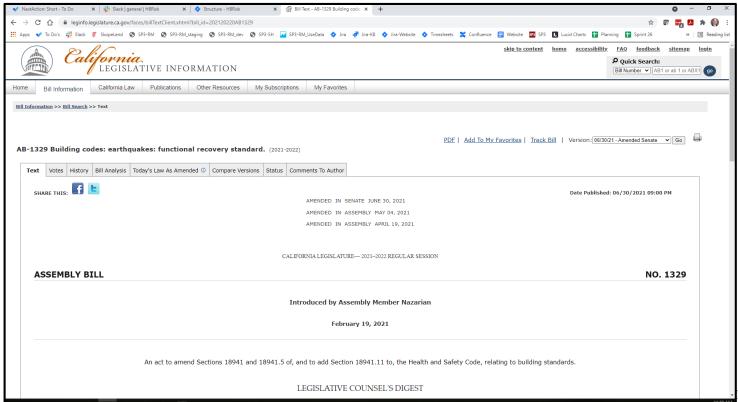






## Top-Down Push for Resilient Design:

 State: California Assembly Bill AB-1329, entitled "Functional Recovery Standard". "(b) (1) During the 2024 triennial code adoption cycle, the California Building Standards Commission and the Department of Housing and Community Development, acting in accordance with Section 17921, shall develop, adopt, approve, codify, and publish building standards that require buildings not already under the authority of a different state agency to be designed and built to a functional recovery standard for earthquake loads."



) HB Risk Group





## Seismic Performance Assessment of Buildings

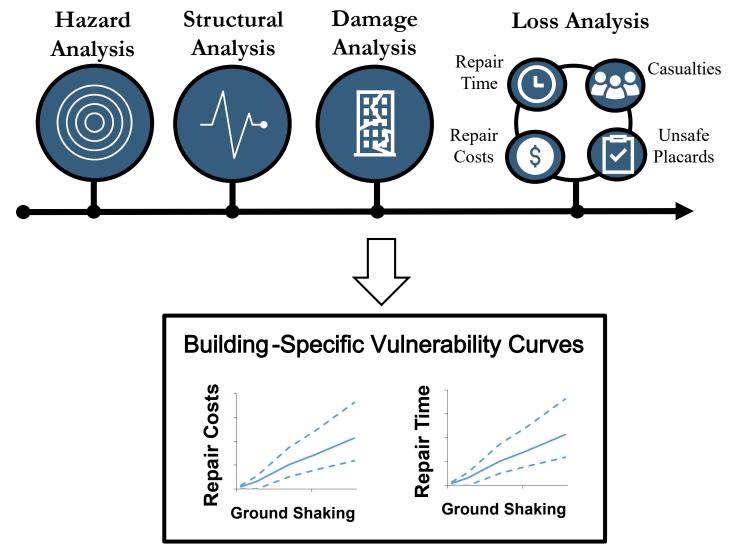
Volume 1 – Methodology

FEMA P-58-1 / September 2012













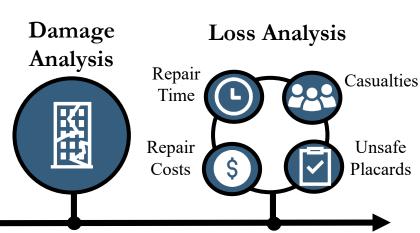
Recommended Options for Improving the Built Environment for Post-Earthquake Reoccupancy and Functional Recovery Time

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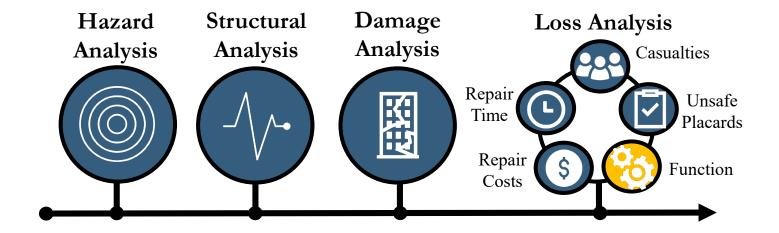








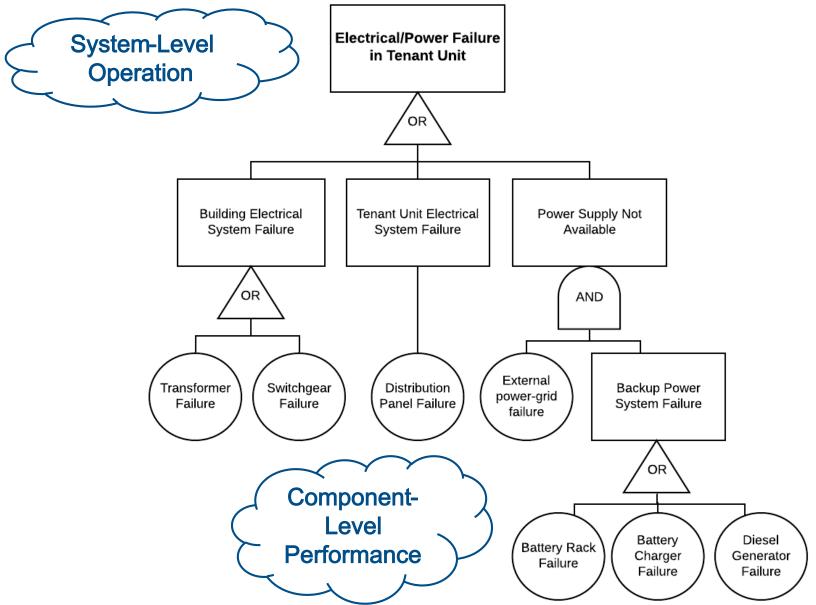




The FEMA P-58 method extensions now assesses:

- (a) Reoccupancy time, and
- (b) Functional Recovery time.

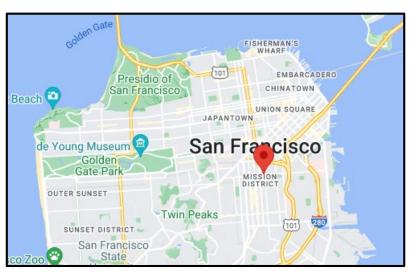






#### Illustrative Example

- New 9-story multi-family housing in San Francisco (Mission District)
- Patterned after Casa Adelante by Mar Structural Design, but generalized.
- Example resilient design goals:
  - ✓ DE: Expected functional recovery in < 1 week for DE (50/50 chance).</p>
  - ✓ DE: Low probability of functional recovery loss for > 1 mo. (<20%).</p>
  - ✓ MCE: Low probability of being nonrepairable at MCE (<10%), rather than just <10% collapse probability.
    </p>
  - ✓ Include all building systems functioning (even heating/HVAC).
  - ✓ Allow temporary repairs to regain function.
- Note that the goal is not no damage; it is no damage that impedes function and is not quickly repairable.







#### Process of Resilient Design using P-58/SP3

- Design is obviously iterative, but there are some rough steps and considerations in the resilient design process.
  - ✓ Step #1: Select Structural System Select trial system, assess code-minimum performance, iterate as needed.
  - ✓ Step #2: Identify Problem Components Identify systems/components with functionality issues in the trial design.
  - ✓ Step #3: Design Components for Function Design all problem components to remain functional, using componentlevel design targets (related to building-level goals).
    - Including structural and non-structural.
    - Including drift-sensitive and acceleration-sensitive.
  - ✓ Step #4: Confirm Design Goals are Met Run the full building performance model again to ensure that building-level goals are met.



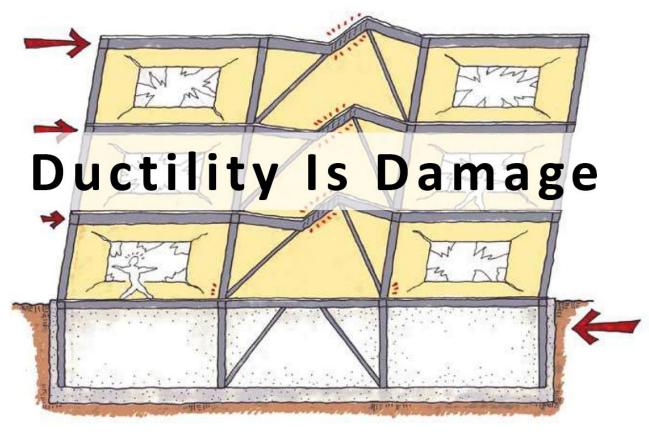


Figure courtesy of David Mar



- Not necessarily design for no ductility/damage.
- Design such that there is no damage that inhibits function.
- Basic approaches:
  - ✓ Essentially-elastic low-damage.
  - ✓ Allow ductility, but in a fuse that is easy to repair or doesn't need repair.
- Selection considerations for structural performance:
  - ✓ Need to have low chance of red tag.
  - ✓ Control damage to gravity system.
  - ✓ Control residual drifts.
- Selection considerations for non-structural performance:
  - ✓ Drifts (reduce, or design components for them)
  - ✓ Floor accelerations (reduce, which is harder, or design components for them)

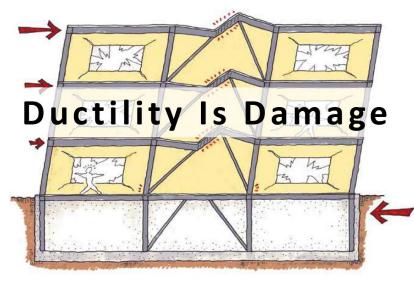
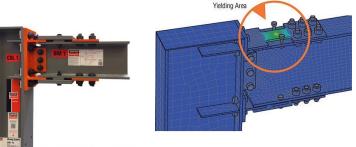


Figure courtesy of David Mar



- In this example, we will use rocking wall solution from David Mar (performance-based design).
- Note that performance-based design is not necessary for resilience (it's actually the exception).
- Can use low-damage or easy-to-repair codecompliant systems (typically proprietary, since designed specifically to be resilient).
- Can also use conventional code-compliant systems with higher strength and lower drifts (e.g. conventional RC wall).

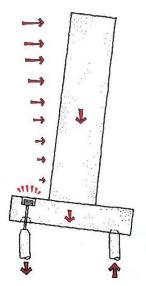




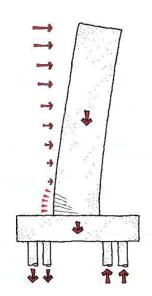


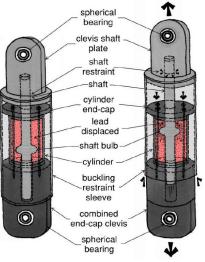


#### Performance-Based Rocking Wall



#### **Conventional Wall**



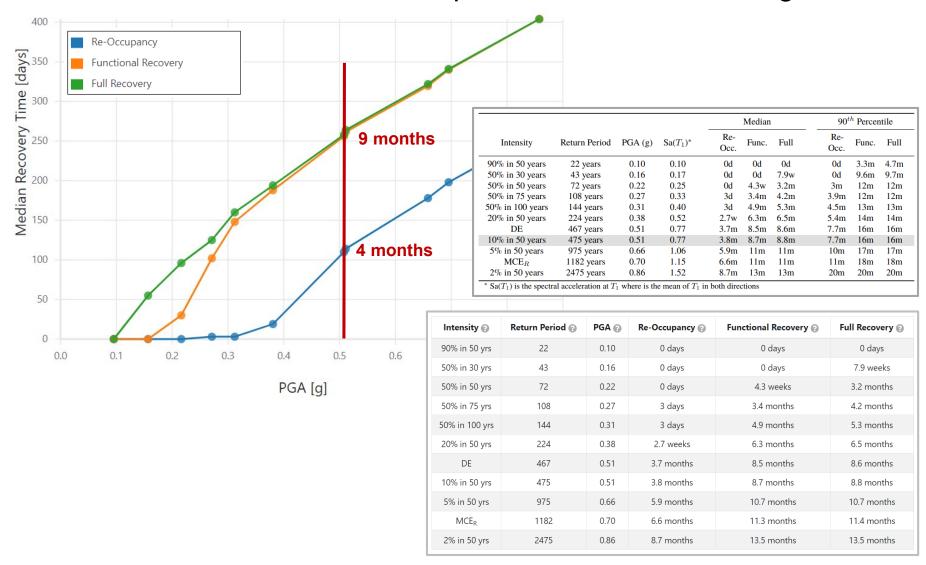


Damper at rest

Damper engaged
© HB Risk Group

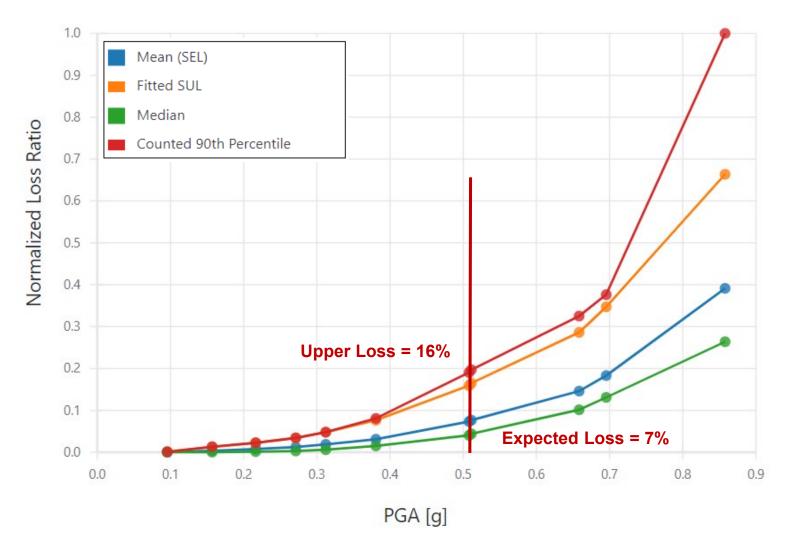


#### Now run P-58/SP3 to see current performance for trial design...





Now run P-58/SP3 to see current performance for trial design...





## Step #2: Identify Problem Components

- Check the current code-minimum performance:
  - DE: Median functional recovery time = 9 mo. (goal is 1 week) [FAIL]
  - DE: "Worst case" (90th) functional recovery time = 16 mo. (goal is 1 mo.) [FAIL]
  - MCE: Reparability = 95% (goal of 90%) [PASS]
    - ✓ Controlled residual drifts
    - ✓ Low probability of collapse
    - ✓ Controlled overall level of damage (not a total loss at the MCE)



## Step #2: Identify Problem Components

 $Table \ 3.4. \ Percent \ of \ realizations \ affecting \ building \ reoccupancy/function \ per \ system \ check \ - \ DE$ 

	Immediate	>3 days	>7 days	>14 days	>1 month	>6 months	>12 months
Building Reoccupancy (als	so affects func	tion)					
Red Tag (Structural)	14	14	14	14	14	13	12
Shoring	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Hazardous Material	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Fire Suppression	5.0	5.0	5.0	5.0	4.8	1.5	1.3
Entry Door Access	48	32	21	5.7	2.9	1.2	1.2
Exterior Falling Hazar	d 34	32	21	5.7	2.9	1.2	1.2
Entry Door Racking	26	0.0	0.0	0.0	0.0	0.0	0.0
Stairs	61	61	61	61	61	17	12
Stairway Doors	96	0.0	0.0	0.0	0.0	0.0	0.0
Exterior Enclosure	36	36	36	35	25	11	10
Interior Falling Hazards	13	13	13	13	13	13	12
All Loss of Reoccupancy 97 69		69	68	68	66	19	13
Building Function affects	function only,	not reoccuj	ancy)				
Elevators	69	69	69	69	69	55	26
Exterior Enclosure	7.3	7.3	7.3	7.3	7.3	3.2	2.5
Interior Space	52	17	13	13	13	13	13
Electrical	56	56	56	56	56	34	15
Potable Water	32	32	32	32	31	7.2	6.7
Sanitary Plumbing	33	33	33	33	31	7.2	6.7
<b>HVAC Ventilation</b>	54	54	54	54	53	30	14
HVAC Heating	54	54	54	54	53	30	14
<b>HVAC</b> Cooling	54	54	54	54	53	30	14
HVAC Exhaust	0.0	0.0	0.0	0.0	0.0	0.0	0.0
All Loss of Function	99	90	90	90	89	67	33



## Step #2: Identify Problem Components

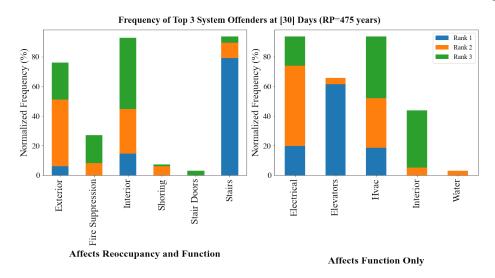
Table 3.6. Percent of realizations affecting building reoccupancy/functionality per component - DE

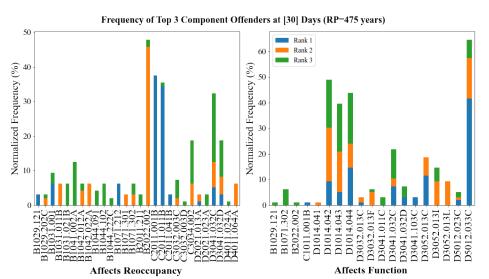
	Immediate	>3 days	>7 days	>14 days	>1 month	>6 months	>12 months
Concrete							
B1044.091	1.8 / 1.8 Tag	1.8 / 1.8	1.8 / 1.8	1.8 / 1.8	1.8 / 1.8	1.8 / 1.8	1.6 / 1.6
B1049.011	13 / 13 Tag – Interior F	13 / 13 alling Hazard	13 / 13 ls – Interior Spa	13 / 13 ace	13 / 13	13 / 13	12 / 12
Envelope							
B2011.201a	16 / 17 rior Falling Haz		11 / 13 or Enclosure (fu		2.0 / 6.6	1.0 / 3.1	1.0 / 2.5
B2011.201b	3.4 / 3.5 rior Falling Haz	3.3 / 3.3 ard – Exterio	3.3 / 3.3 or Enclosure (fu	3.3 / 3.3 inction) – Inte	2.8 / 2.8 rior Space – E	1.3 / 1.3 xterior Enclos	1.2 / 1.2 ure (safety)
B2022.002	36 / 36 rior Falling Haz	<b>36 / 36</b> ard – Exterio	36 / 36 or Enclosure (fu	35 / 35 inction) – Inte	25 / 26 rior Space – E	11 / 11 xterior Enclos	10 / 10 ure (safety)
Interior							
C1011.001b	0.0 / 51 ior Space	0.0 / 16	0.0 / 13	0.0 / 13	0.0 / 13	0.0 / 13	0.0 / 12
C3011.001b	0.0 / 0.0 Reoccupancy/Fu	0.0 / 0.0 nctionality C	0.0 / 0.0 onsequences (F	<b>0.0 / 0.0</b> Repair Time O	0.0 / 0.0 nly)	0.0 / 0.0	0.0 / 0.0
Stairs							
C2011.011b	61 /61	61 /61	61 / 61	61 /61	61 / 61	17 / 17	12 / 12
Conveying							
†All component	ts in this group:	Elevators					
D1014.041	0.0 / 16	0.0 / 16	0.0 / 16	0.0 / 16	0.0 / 16	0.0 / 11	0.0 / 5.6
D1014.042	0.0 / 42	0.0 / 42	0.0 / 42	0.0 / 42	0.0 / 42	0.0 / 40	0.0 / 19
D1014.043	0.0 / 40	0.0 / 40	0.0 / 40	0.0 / 40	0.0 / 40	0.0 / 37	0.0 / 17
D1014.044	0.0 / 41	0.0 /41	0.0 /41	0.0 /41	0.0 /41	0.0 / 38	0.0 / 17
Plumbing							
D2021.013a	0.2 / 1.0 ble Water – Inte	0.2 / 1.0 rior Falling H	0.2 / 1.0 Hazards – Interi	0.2 / 1.0 or Space	0.2 / 1.0	0.2 / 0.3	0.2 / 0.3
D2021.013b	0.0 / 28 ble Water	0.0 / 28	0.0 / 28	0.0 / 28	0.0 / 26	0.0 / 6.5	0.0 / 6.1



#### Step #2: "Common Offender" Components

 To generalize this, the ATC-138 project also ran ~600 building cases and assembled a list of "common offender" components.





#### Reoccupancy offenders (ordered):

- Structural lateral/gravity elements (red tag)
- Stairs when no seismic joint (C2011)
- Curtain/exterior walls (falling) (B2022/B1071)
- HVAC components (falling) (C3041)
- Pendant lighting (C3034.002)
- Suspended ceilings (C3032.003c)

#### Functional Recovery (ordered):

- Electrical distribution panel (D5012)
- Elevators (D1014)
- HVAC components ducting, drops, VAV boxes (D3041)
- Air handling units (D3052)
- Cooling tower (D3031)
- Exterior walls (B1071)



- We now have a list of components that we need to redesign to meet building function requirements.
- We can iteratively run FEMA P-58/SP3 to make these design changes, but it is much easier to "uncouple" the design.
- We really just need to now design the problematic components to have a low probability damage at DE shaking (for damage that causes function loss).

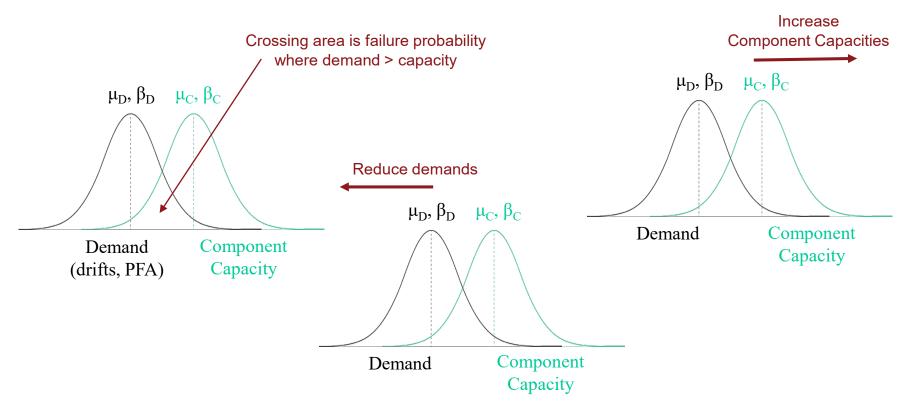


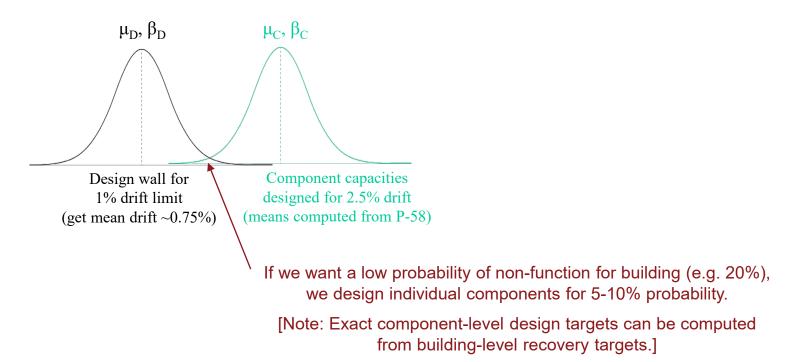


Table 3.4. Percent of realizations affecting building reoccupancy/function per system check - DE

	Immediate	>3 days	>7 days	>14 days	>1 month	>6 months	>12 month	s
Building Reoccupancy (also								_
Red Tag (Structural)	14	14	14	14	14	13	12	RC slab damage (drift)
Shoring	0.0	0.0	0.0	0.0	0.0	0.0	0.0	J ( , ,
Hazardous Material	0.0	0.0	0.0	0.0	0.0	0.0	0.0	
Fire Suppression	5.0	5.0	5.0	5.0	4.8	1.5	1.3	
Entry Door Access	48	32	21	5.7	2.9	1.2	1.2	
Exterior Falling Hazard	34	32	21	5.7	2.9	1.2	1.2	
Entry Door Racking	26	0.0	0.0	0.0	0.0	0.0	0.0	
Stairs	61	61	61	61	61	17	12	Stairs (drift)
Stairway Doors	96	0.0	0.0	0.0	0.0	0.0	0.0	
Exterior Enclosure	36	36	36	35	25	11	10	Curtain walls, precast
Interior Falling Hazards	13	13	13	13	13	13	12	(drift)
All Loss of Reoccupancy	97	69	68	68	66	19	13	RC slab damage (drift)
Building Function (affects for	unction only	, not reoccu	ipancy)					
Elevators	69	69	69	69	69	55	26	
Exterior Enclosure	7.3	7.3	7.3	7.3	7.3	3.2	2.5	
Interior Space	52	17	13	13	13	13	13	
Electrical	56	56	56	56	56	34	15	
Potable Water	32	32	32	32	31	7.2	6.7	
Sanitary Plumbing	33	33	33	33	31	7.2	6.7	
<b>HVAC</b> Ventilation	54	54	54	54	53	30	14	
HVAC Heating	54	54	54	54	53	30	14	
HVAC Cooling	54	54	54	54	53	30	14	
HVAC Exhaust	0.0	0.0	0.0	0.0	0.0	0.0	0.0	
All Loss of Function	99	90	90	90	89	67	33	_

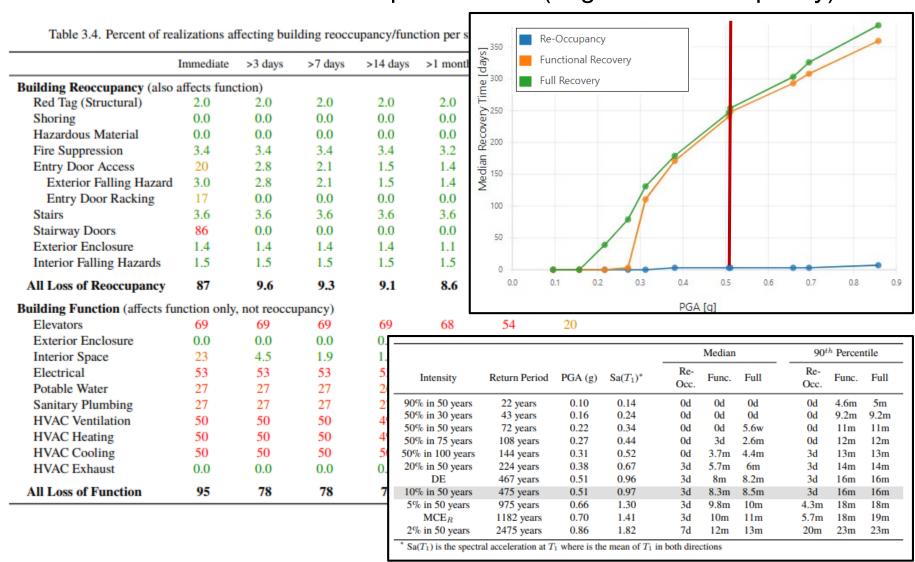


- Let's fix the drift-sensitive components first (to get fast reoccupancy)
  - ✓ Stiffen the wall slightly (1% drift limit)
  - ✓ Lower drifts takes care of the RC slab issue (the low chance of red tagging and shoring)
  - ✓ Design stairs with 2.0-2.5% seismic gap (may be overkill)
  - ✓ Design cladding for 2.0-2.5% drift capacity (may be overkill)





#### Let's fix the drift-sensitive components first (to get fast reoccupancy)





 Let's fix the components needed for function (which are mostly acceleration-sensitive)

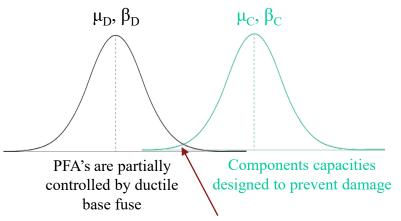
Table 3.4. Percent of realizations affecting building reoccupancy/function per system check - DE

	Immediate	>3 days	>7 days	>14 days	>1 month	>6 months	>12 months
Building Reoccupancy (also	affects func	tion)					
Red Tag (Structural)	2.0	2.0	2.0	2.0	2.0	1.8	1.4
Shoring	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Hazardous Material	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Fire Suppression	3.4	3.4	3.4	3.4	3.2	0.4	0.2
Entry Door Access	20	2.8	2.1	1.5	1.4	0.2	0.2
Exterior Falling Hazard	3.0	2.8	2.1	1.5	1.4	0.2	0.2
Entry Door Racking	17	0.0	0.0	0.0	0.0	0.0	0.0
Stairs	3.6	3.6	3.6	3.6	3.6	0.9	0.6
Stairway Doors	86	0.0	0.0	0.0	0.0	0.0	0.0
Exterior Enclosure	1.4	1.4	1.4	1.4	1.1	0.5	0.5
Interior Falling Hazards	1.5	1.5	1.5	1.5	1.5	1.5	1.4
All Loss of Reoccupancy	87	9.6	9.3	9.1	8.6	2.2	1.5
Building Function (affects fu	inction only	, not reoccu	ipancy)				
Elevators	69	69	69	69	68	54	20
Exterior Enclosure	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Interior Space	23	4.5	1.9	1.9	1.9	1.8	1.5
Electrical	53	53	53	53	53	29	7.2
Potable Water	27	27	27	26	24	1.3	0.7
Sanitary Plumbing	27	27	27	27	24	1.3	0.7
HVAC Ventilation	50	50	50	49	47	23	5.9
HVAC Heating	50	50	50	49	47	23	5.9
HVAC Cooling	50	50	50	50	48	24	5.9
HVAC Exhaust	0.0	0.0	0.0	0.0	0.0	0.0	0.0
All Loss of Function	95	78	78	78	76	61	24

The damage to elevators and lots of MEP systems is impeding function.



- Let's fix the components needed for function (which are typically acceleration-sensitive)
  - ✓ Elevators design for 2x strength required in current ASME (more precise design resilient design requirements for elevators in development)
  - ✓ Specify pre-qualified equipment, per ASCE7 Chapter 16.
  - ✓ Design anchorages to not need repair, by either:
    - \*\*Designing to be reliably elastic (typical, and done here)
       [used Ip/Rp = Ip/Rpo = 1.5 in this example]
    - Designing with reliable ductility that does not require repair (since ductility reduces component accelerations substantially)



$$F_p = \frac{0.4a_p S_{DS} W_p}{\left(\frac{R_p}{I_p}\right)} \left(1 + 2\frac{z}{h}\right)$$

$$F_p = 0.4 S_{DS} I_p W_p \left[ \frac{H_f}{R_{\mu}} \right] \left[ \frac{C_{AR}}{R_{po}} \right]$$

Design for ~<5% probability of damage (that impeded function)



■ All done with designing each individual "problem" component to have a low probability (~5%) of losing function in the DE.

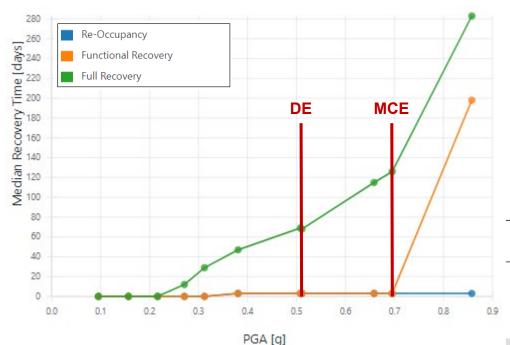


## Step #4: Confirm Design Goals are Met

	Immediate	>3 days	>7 days	>14 days	>1 month	>6 months	>12 months
Building Reoccupancy (also	affects func	tion)					
Red Tag (Structural)	1.4	1.4	1.4	1.4	1.4	1.2	1.0
Shoring	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Hazardous Material	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Fire Suppression	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Entry Door Access	18	1.6	1.0	0.3	0.2	0.1	0.0
Exterior Falling Hazard	1.8	1.6	1.0	0.3	0.2	0.1	0.0
Entry Door Racking	17	0.0	0.0	0.0	0.0	0.0	0.0
Stairs	2.8	2.8	2.8	2.8	2.8	0.6	0.4
Stairway Doors	85	0.0	0.0	0.0	0.0	0.0	0.0
Exterior Enclosure	1.4	1.4	1.4	1.3	0.9	0.3	0.2
Interior Falling Hazards	0.9	0.9	0.9	0.9	0.9	0.8	0.6
All Loss of Reoccupancy	87	5.2	4.9	4.6	4.2	1.4	1.1
Building Function (affects fu	inction only	, not reocci	ipancy)				
Elevators	13	13	13	13	13	10	3.1
Exterior Enclosure	0.1	0.1	0.1	0.1	0.1	0.0	0.0
Interior Space	23	3.7	1.4	1.4	1.4	1.3	1.1
Electrical	3.4	3.4	3.4	3.4	3.2	1.4	0.3
Potable Water	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Sanitary Plumbing	0.0	0.0	0.0	0.0	0.0	0.0	0.0
HVAC Ventilation	1.4	1.4	1.4	1.4	1.2	0.2	0.0
HVAC Heating	3.3	3.3	3.3	3.3	3.0	0.2	0.1
HVAC Cooling	3.3	3.3	3.3	3.3	3.0	0.2	0.1
HVAC Exhaust	0.0	0.0	0.0	0.0	0.0	0.0	0.0
All Loss of Function	88	20	18	18	17	11	4.0



#### Step #4: Confirm Design Goals are Met



Intensity 🚱	Return Period 🚱	PGA 🕝	Re-Occupancy 🚱	Functional Recovery ②	Full Recovery 🚱
90% in 50 yrs	22	0.10	0 days	0 days	0 days
50% in 30 yrs	43	0.16	0 days	0 days	0 days
50% in 50 yrs	72	0.22	0 days	0 days	0 days
50% in 75 yrs	108	0.27	0 days	0 days	12 days
50% in 100 yrs	144	0.31	0 days	0 days	4.1 weeks
20% in 50 yrs	224	0.38	3 days	3 days	6.7 weeks
DE	467	0.51	3 days	3 days	2.3 months
10% in 50 yrs	475	0.51	3 days	3 days	2.3 months
5% in 50 yrs	975	0.66	3 days	3 days	3.8 months
MCE <sub>R</sub>	1182	0.70	3 days	3 days	4.2 months
2% in 50 yrs	2475	0.86	3 days	6.6 months	9.4 months

			•		Median			th Percentile			
Intensity	Return Period	PGA (g)	$Sa(T_1)^*$	Re- Occ.	Func.	Full	Re- Occ.	Func.	Full		
90% in 50 years	22 years	0.10	0.14	0d	0d	0d	0d	0d	0d		
50% in 30 years	43 years	0.16	0.24	0d	0d	0d	0d	0d	13d		
50% in 50 years	72 years	0.22	0.34	0d	0d	0d	0d	0d	2.3m		
50% in 75 years	108 years	0.27	0.44	0d	0d	0d	0d	2d	3.2m		
50% in 100 years	144 years	0.31	0.52	0d	0d	4w	3d	3d	3.5m		
20% in 50 years	224 years	0.38	0.67	3d	3d	6.6w	3d	3d	4.6m		
DE	467 years	0.51	0.96	3d	3d	2.2m	3d	6.9m	8.6m		
10% in 50 years	475 years	0.51	0.97	3d	3d	2.2m	3d	7.4m	9m		
5% in 50 years	975 years	0.66	1.30	3d	3d	3.4m	3.9m	13m	15m		
$MCE_R$	1182 years	0.70	1.41	3d	3d	3.8m	4.6m	14m	16m		
2% in 50 wears	2475 veers	0.86	1.82	3.4	5.2m	8 8m	20m	21m	22m		

#### Resilient Design Goals Achieved (for DE):

- Expected <u>3 day</u> functional recovery (clean-up and temp repairs) [vs. <u>9 months</u> for code-minimum]
- Probability of losing function for > 1 month is less than 20% (computed as 17%) [vs. 90% for code-min]

#### Notes on Precision:

- I don't believed for a minute that these exact numbers are right (lots of uncertainty).
- I do believe this resilient design will perform much better than code-minimum (we designed for function).
- FEMA P-58 provides a reliable and repeatable tool for resilient design for functional recovery (let's you design each individual component and see it's impact on overall building performance).

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#### Resilient Design is Feasible and Cost Effective

#### Similar Cost

to the conventional design

\$42M Project Cost

Cost Delta

\$100K for Resilience - 0.24%

Figure courtesy of David Mar



#### Looking Ahead in Resilient Design Movement

- Leaders keep leading! Structural engineering leaders continue to expand doing this electively on current projects.
- BSSC building code language this year (50% draft by August, 90% draft by December), with support of ATC-138 studies.
  - ✓ Benchmark current code-minimum performance for every common structural system (ATC-138).
  - ✓ Develop functional recover time targets (e.g. average functional recovery time < 1 weeks, 90% confidence in < 1 month, reparability).
  - ✓ Identify "common offender" components needing better design for function.
  - ✓ Create <u>component-level</u> design methods for all problem components.
  - ✓ Calibrate component-level design targets (e.g. < 10% damaged) using building-level functional recovery goals (e.g. function < 1mo), confirm with P-58 that component-focused design process meets building-level goals.
- Overall BSSC building code goals this year (90% by December):
  - ✓ Prescriptive FR design requirements for all structural systems.
  - ✓ I would also like to see clear alternative means provisions for how engineers can continue doing creative design like is being done now in practice.



#### **Questions and Discussion!**

- Thank you for your time.
- I am really excited about where we are and what is to come in the resilient design movement.
- Our goal is to support adoption of resilience-based design for functional recovery, and we welcome feedback and suggestions.
- Time for questions and discussion!

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