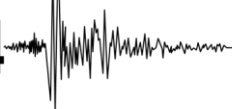




**NORTHRIDGE** ***30***  
1994  2024

# Resilient Design for Function Recovery using FEMA P-58

Curt B. Haselton, PhD, PE

# Resilient Design for Functional Recovery using FEMA P-58/SP3 (with FR Module)

**Presented by: Dr. Curt B. Haselton, PhD, PE**

*Co-Founder and CEO @ Haselton Baker Risk Group (SP3)*

*Professor @ California State University, Chico*

## **Acknowledgement of Many Collaborators on Resilient Design:**

*Many visionary Structural Engineers in our profession, SP3 Team,  
ATC-138 Project Team (FEMA-funded), NIST project by Liel/Cook,  
Building Seismic Safety Council Functional Recovery Task Committee,  
and many more....!*

**SP3 | where research meets practice**

**[www.sp3risk.com](http://www.sp3risk.com)**

*SEAOSC Northridge Event | January 17, 2024*

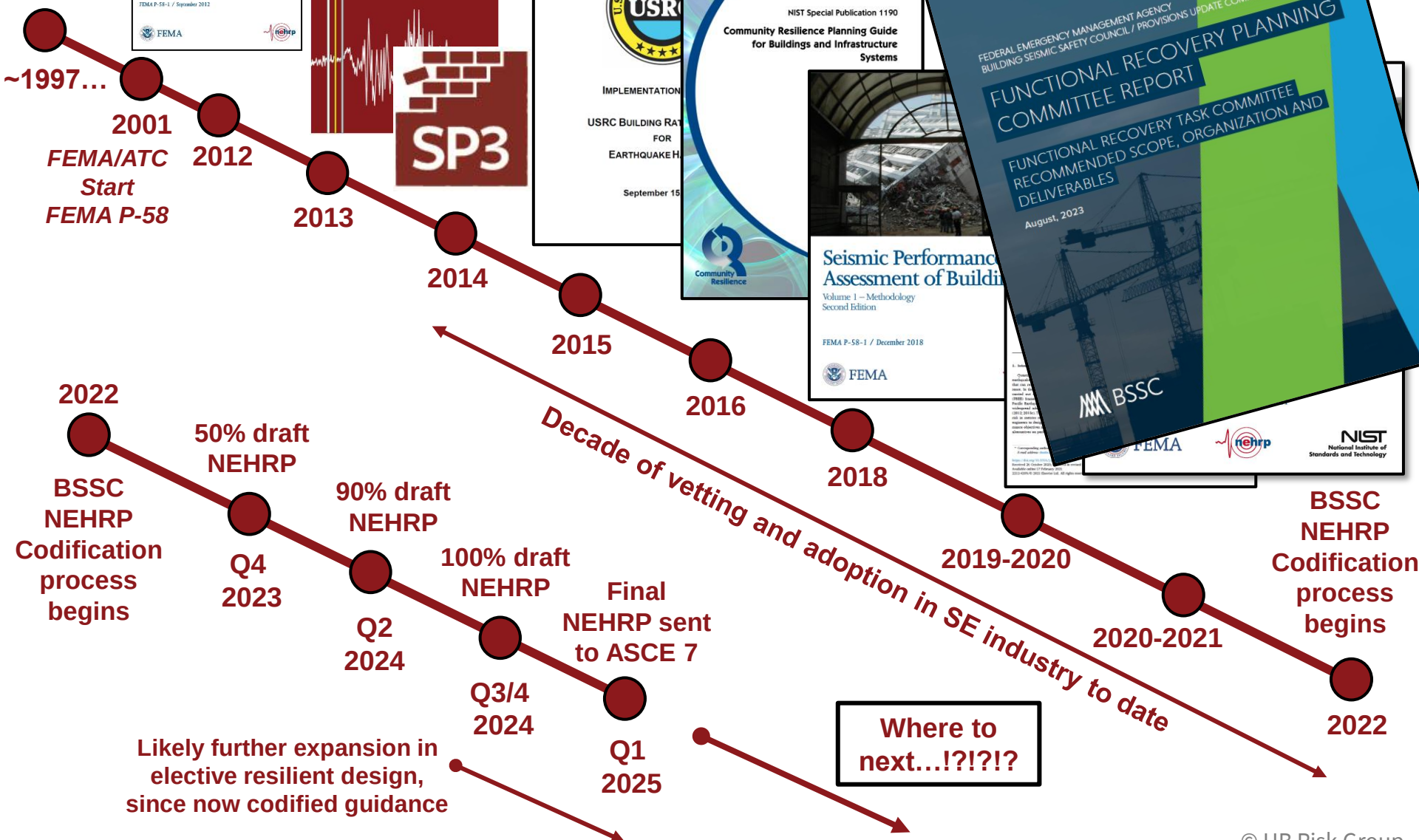
- Overview of the Resilient Design Movement (focused more on the nerdy technical developments)
- FEMA P-58 and the Functional Recovery Module
- Codification of Resilient Design (BSSC FRTC Work)
- Summary and Next Steps
- Q&A



# The Resilient Design Movement



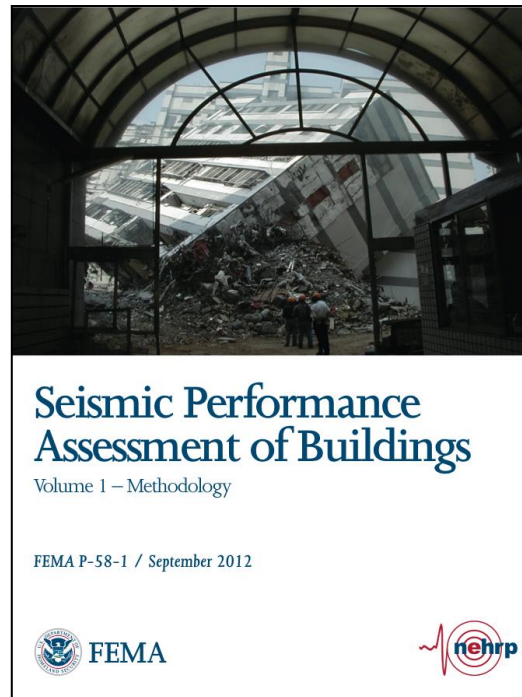
# The Resilient Design Movement

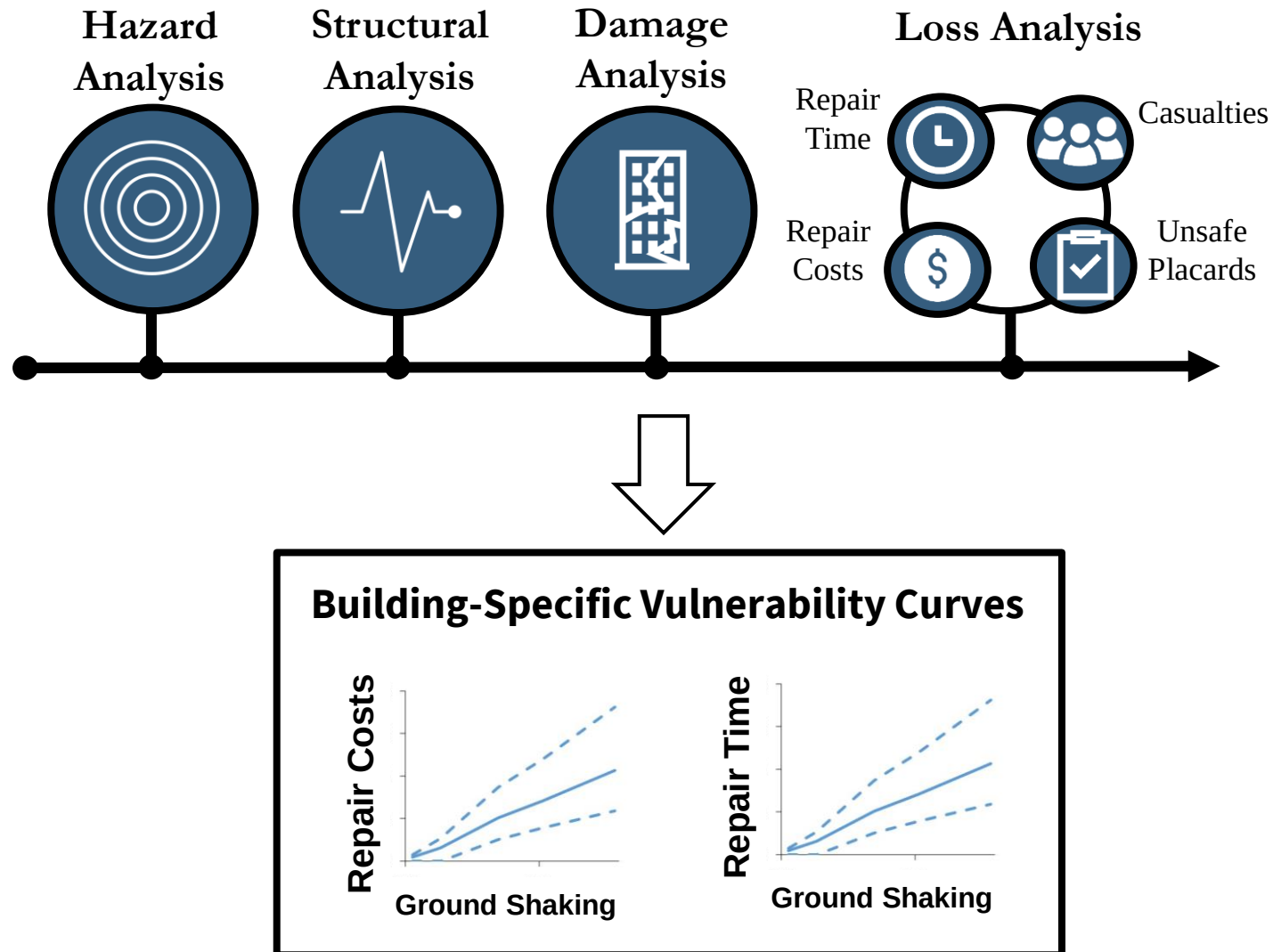


- These elective/visionary projects have been a critical component of the Resilient Design Movement, showing us that:
  - ✓ Resilient design is feasible.
  - ✓ Resilient design is cost effective, ~0-1% cost.
  - ✓ Resilient design can be done at the rapid pace of a design office.
  - ✓ Overall, we have learned that we need to just need to target quick functional recovery in design (beyond safety-only design), and let a creative engineer do it at minimal extra cost!
- Without these ground-breaking early-adopter elective resilient design projects (and the learning and confidence built by them), I doubt we would be codifying resilient design at present!).
- Structural engineering leaders keep leading the way!



- Overview of the Resilient Design Movement
- **FEMA P-58 and the Functional Recovery Module**
- Codification of Resilient Design (BSSC FRTC Work)
- Summary and Next Steps
- Q&A

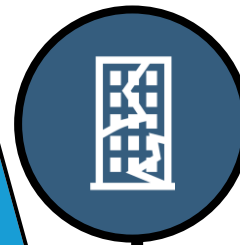






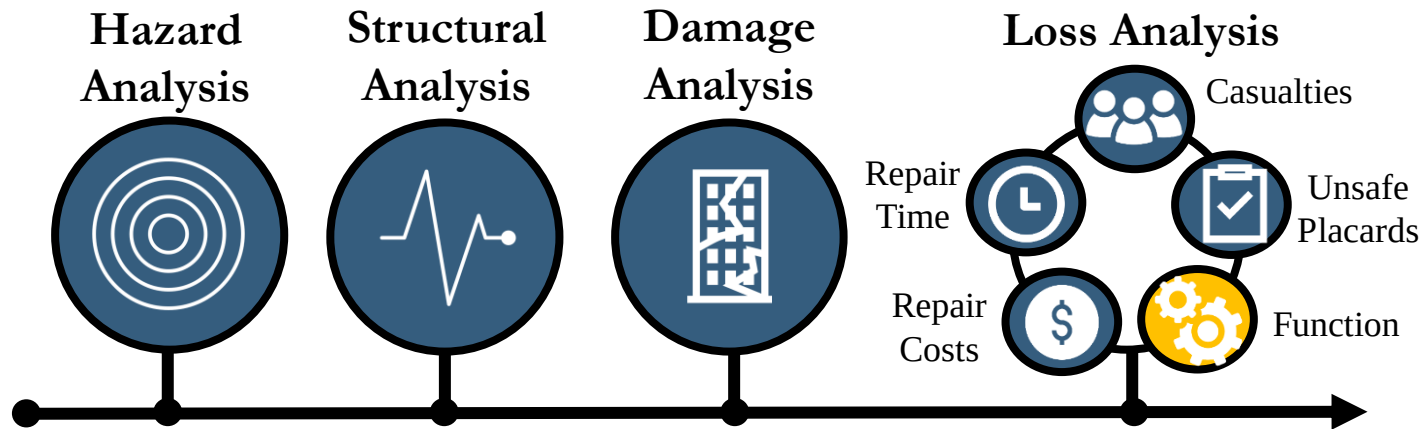


## Damage Analysis



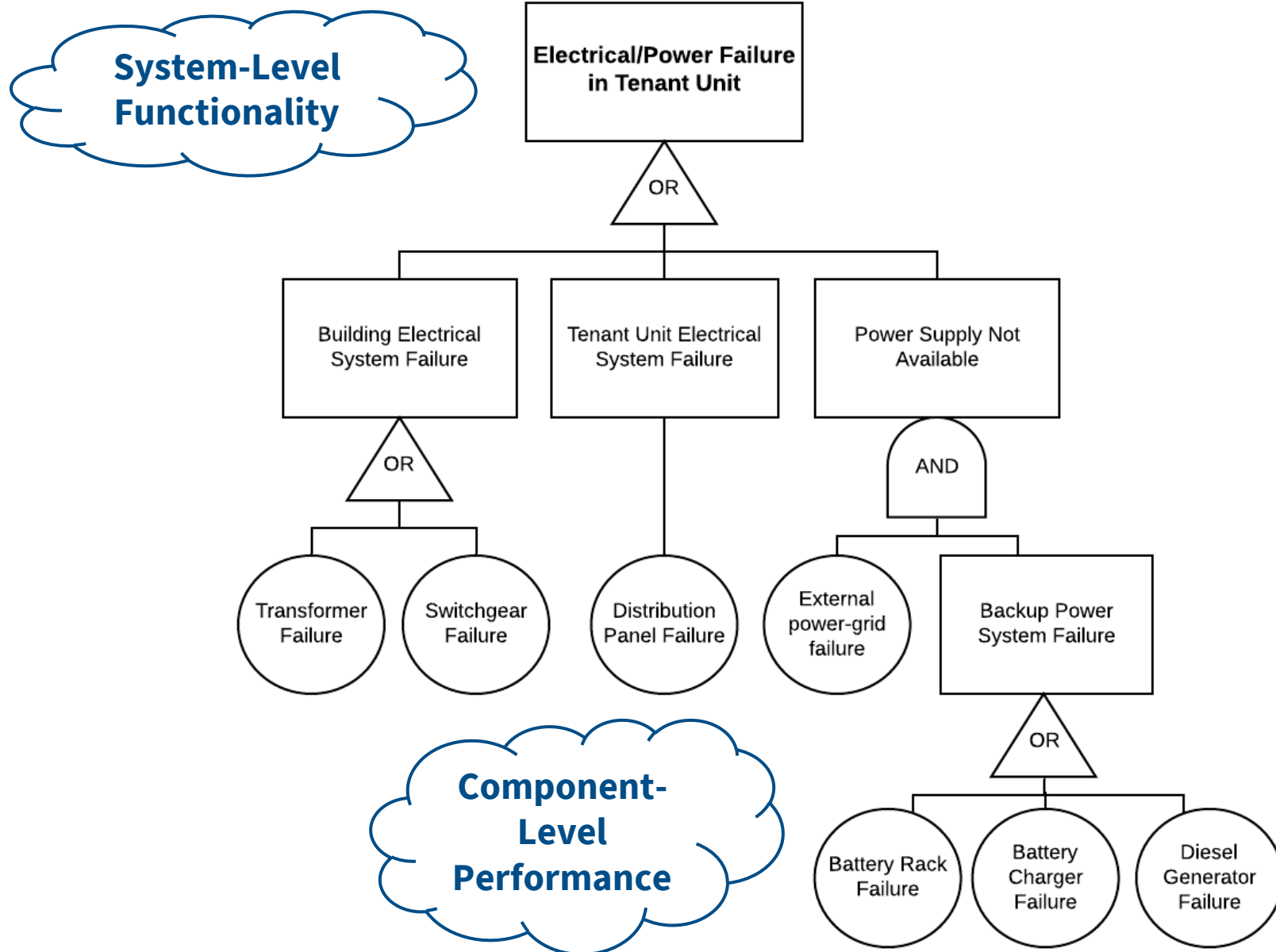
## Loss Analysis

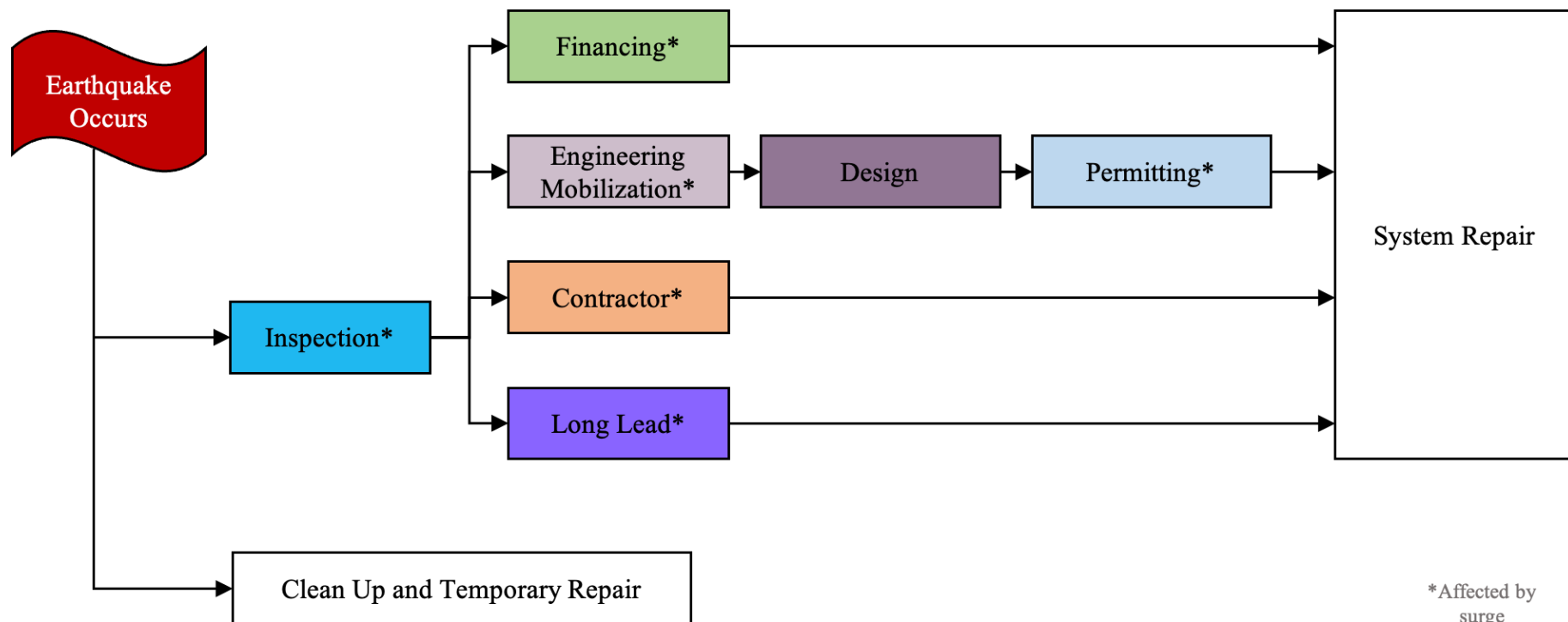




The **FEMA P-58 Functional Recovery Module**  
now directly assesses:

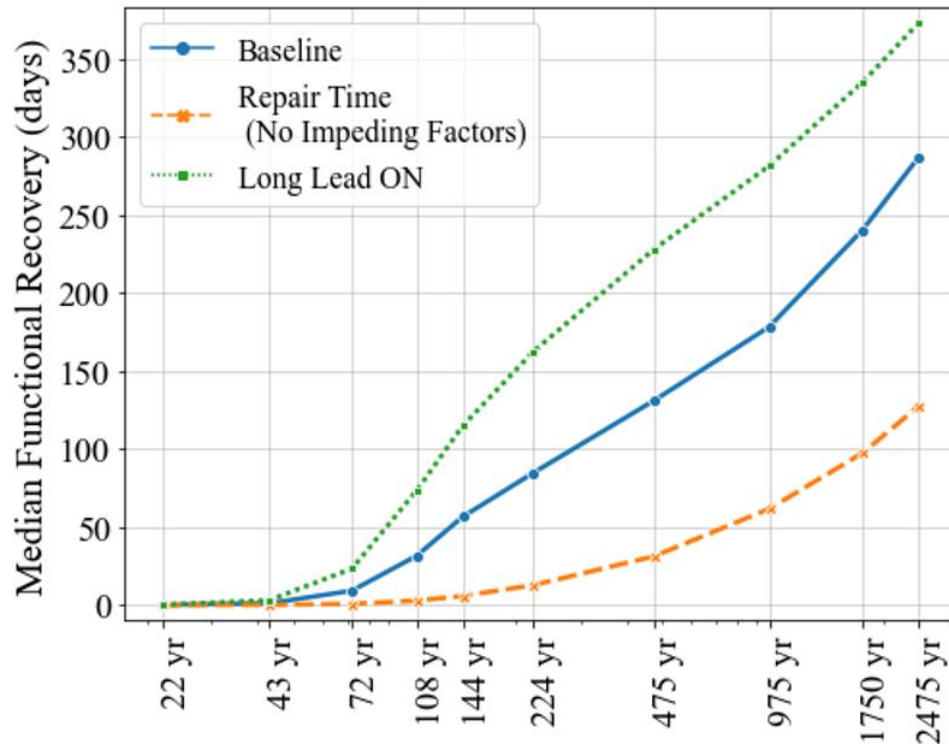
- (a) Reoccupancy time, and
- (b) Functional Recovery time.







## Risk Category II New Buildings in High Seismic Sites



- FEMA P-58 runs of ~700 code-designed bldgs. shows:
  - ✓ Most RC II new buildings do not meet quick FR goals.
  - ✓ RC IV better, but doesn't address everything needed (but close!).
  - ✓ There is a lot of variability (codes do not control function, and some structural systems do much better than others).
- Resilient design requires:
  - ✓ No structural damage requiring repair before function.
  - ✓ Otherwise also safe to occupy.
  - ✓ Important non-structural systems operational (anchorage, equip).



FEMA P-58 (with FR Module) provides the **comprehensive** and **standardized** approach to evaluate functional recovery times (with ~\$20M to develop).



SP3 provides a user-friendly software to integrate all steps of FEMA P-58 (with Functional Recovery Module), ~\$10M to develop.

The initial assessment should take a couple **hours** and **not weeks**.

- Overview of the Resilient Design Movement
- FEMA P-58 and the Functional Recovery Module
- **Codification of Resilient Design (BSSC FRTC Work)**
- Summary and Next Steps
- Q&A

- 1) Our current **early-adopter projects** only help the building being designed to be resilient (kind of).
- 2) Community resilience requires a **majority of buildings** to remain functional.
- 3) Building **code requirements are necessary** for broad resilient design for most/all buildings!

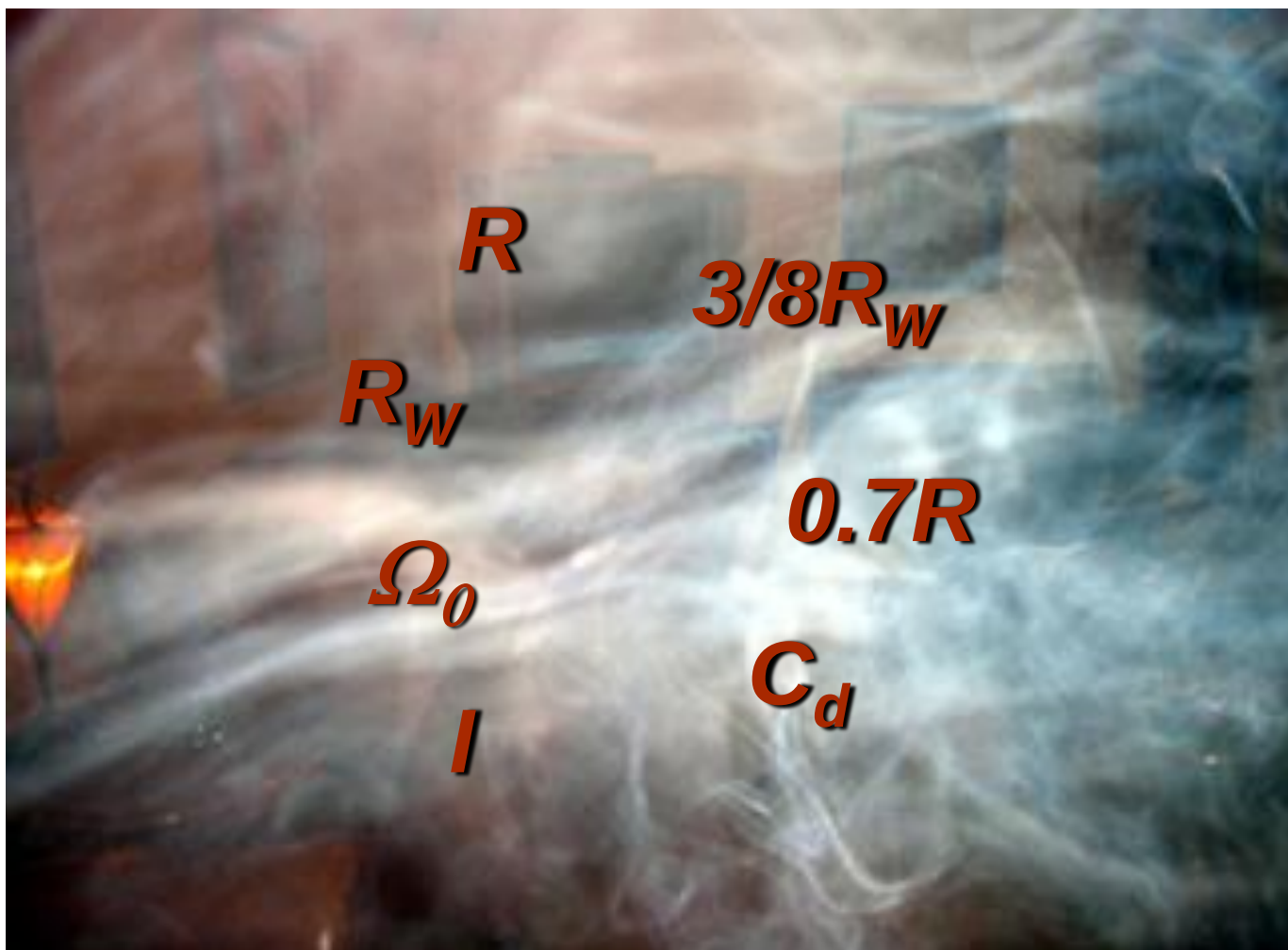


Hurricane Ike, Houston 2008, Reuters Pictures, Dunya News



Lahaina Maui Fire,  
2023, Courtesy  
CBS News

## Review of Code Development Process for Safety (historical):





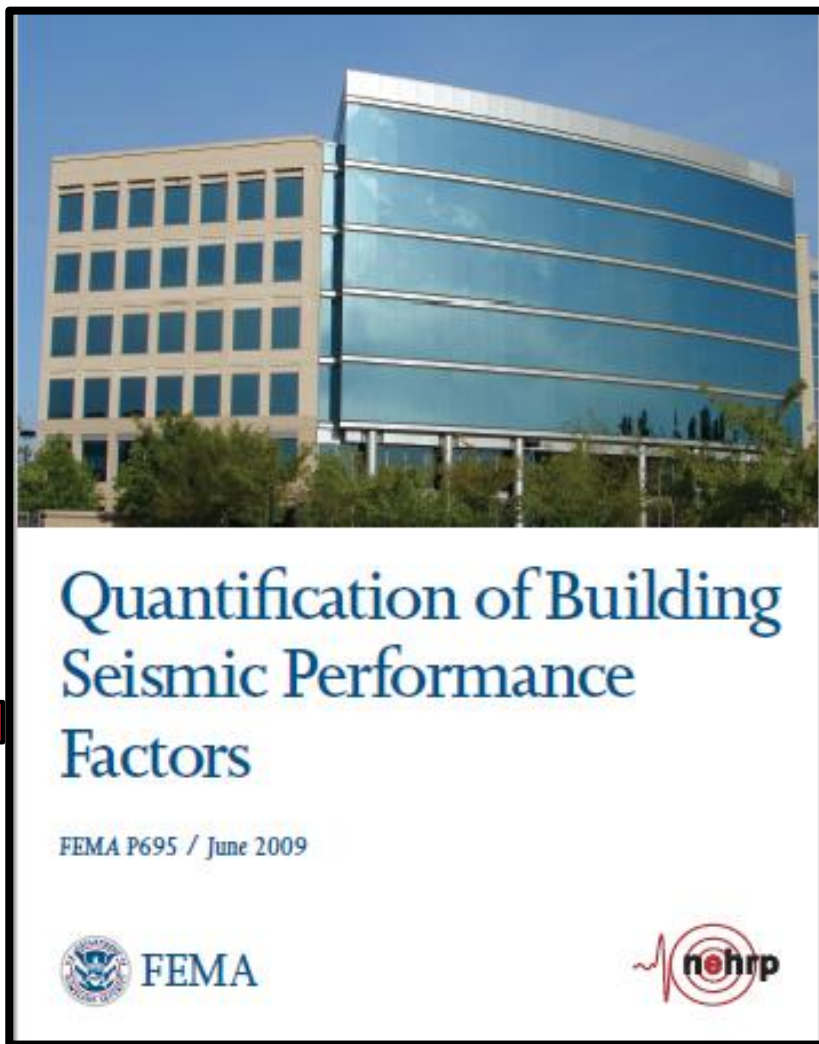
## Review of Code Development Process for Safety (current):

Table 1.3-2 Target Reliability (Conditional Probability of Failure) for Structural Stability Caused by Earthquake

| Risk Category | Conditional Probability of Failure Caused by the $MCE_R$ Shaking Hazard (%) |
|---------------|-----------------------------------------------------------------------------|
| I & II        | 10                                                                          |
| III           | 5                                                                           |
| IV            | 2.5                                                                         |

Lots of Analysis Runs  
for Lots of Design Cases

Prescriptive Design  
Requirements for the Code



## Similar use of FEMA P-58 for Functional Recovery Provisions:

Table B-1 Conceptual Functional Recovery Categories for a Design Hazard Level

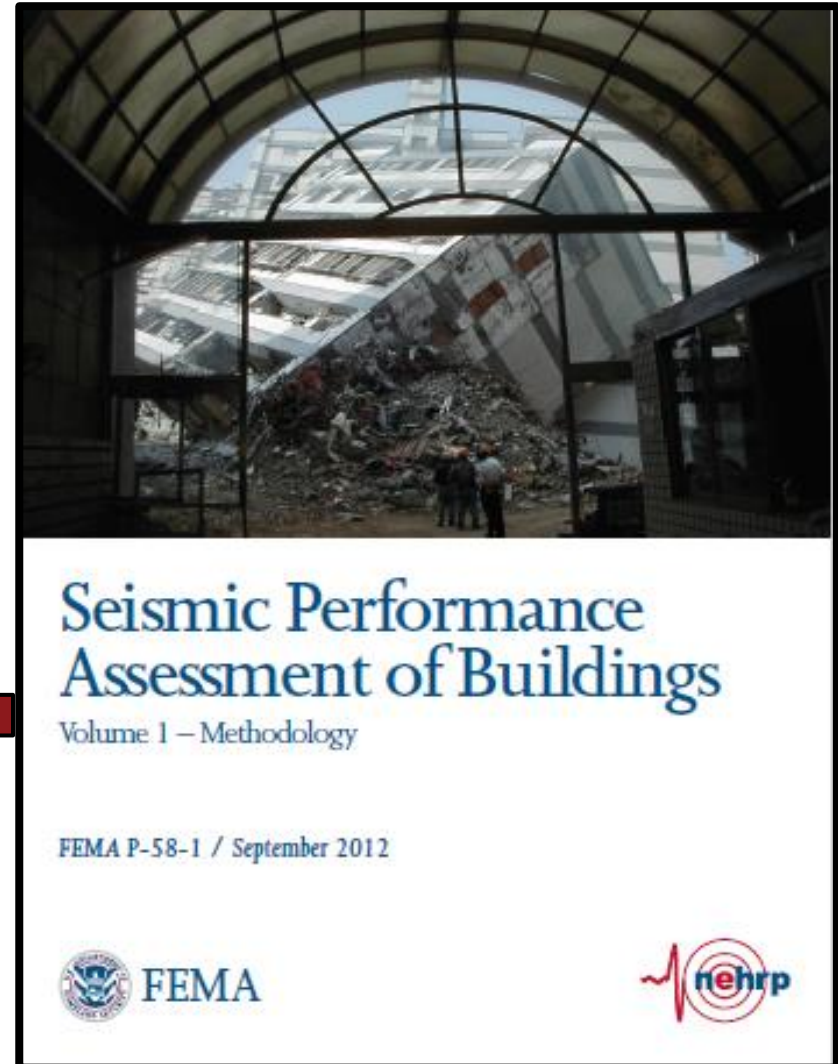
| Functional Recovery Category           | Target Functional Recovery Time | Recovery Phase and Associated Functions and Services <sup>(1)</sup>                                     | Examples of Buildings and Lifeline Infrastructure Systems                                                                                                                                                                                                        |
|----------------------------------------|---------------------------------|---------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Functional Recovery Category A (FRC-A) | Hours (or Less)                 | Near-Term (Nearly Immediate) and Emergency Response – rescue, safety, security, and event stabilization | Emergency and first-responder facilities (e.g., hospitals, fire and police stations), designated shelters, emergency operations centers, and lifeline infrastructure systems supporting emergency response (e.g., power, communication, critical transportation) |
| Functional Recovery Category B (FRC-B) | Days to Weeks                   | Short-Term – shelter, governance, daily necessities, and care for vulnerable populations                | Single- and multi-family residential, local government, schools, outpatient medical facilities, nursing homes, critical retail (e.g., food distribution, pharmacy, home improvement), and lifeline infrastructure systems supporting short-term activities       |
| Functional Recovery Category C (FRC-C) | Weeks to Months                 | Intermediate-Term – restoration of neighborhood activities and economic vitality                        | Critical business enterprises, possibly exceeding a certain size threshold, and lifeline infrastructure system services supporting intermediate-term activities                                                                                                  |
| Functional Recovery Category D (FRC-D) | Months to Years                 | Long-Term – cultural, quality of life, and leisure activities                                           | Buildings not assigned to other categories, possibly including less critical business enterprises, less-critical retail, entertainment, leisure, and cultural facilities, and lifeline infrastructure system services supporting long-term activities            |

<sup>(1)</sup> Recovery phases refer to the FEMA National Disaster Recovery Framework, Second Edition (FEMA, 2016)

AWS

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Prescriptive Design  
Requirements for the Code



## Similar use of FEMA P-58 for Functional Recovery Provisions:

Table B-1 Conceptual Functional Recovery Categories for a Design Hazard Level

| Functional Recovery Category           | Target Functional Recovery Time | Recovery Phase and Associated Functions and Services <sup>(1)</sup>                                     | Examples of Buildings and Lifeline Infrastructure Systems                                                                                                                                                                                                        |
|----------------------------------------|---------------------------------|---------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Functional Recovery Category A (FRC-A) | Hours (or Less)                 | Near-Term (Nearly Immediate) and Emergency Response – rescue, safety, security, and event stabilization | Emergency and first-responder facilities (e.g., hospitals, fire and police stations), designated shelters, emergency operations centers, and lifeline infrastructure systems supporting emergency response (e.g., power, communication, critical transportation) |
| Functional Recovery Category B (FRC-B) | Days to Weeks                   | Short-Term – shelter, governance, daily necessities, and care for vulnerable populations                | Single- and multi-family residential, local government, schools, outpatient medical facilities, nursing homes, critical retail (e.g., food distribution, pharmacy, home improvement), and lifeline infrastructure systems supporting short-term activities       |
| Functional Recovery Category C (FRC-C) | Weeks to Months                 | Intermediate-Term – restoration of neighborhood activities and economic vitality                        | Critical business enterprises, possibly exceeding a certain size threshold, and lifeline infrastructure system services supporting intermediate-term activities                                                                                                  |
| Functional Recovery Category D (FRC-D) | Months to Years                 | Long-Term – cultural, quality of life, and leisure activities                                           | Buildings not assigned to other categories, possibly including less critical business enterprises, less-critical retail, entertainment, leisure, and cultural facilities, and lifeline infrastructure system services supporting long-term activities            |

<sup>(1)</sup> Recovery phases refer to the FEMA National Disaster Recovery Framework, Second Edition (FEMA, 2016)



AWS

Lots of Analysis Runs  
for Lots of Design Cases

Prescriptive Design  
Requirements for the Code

### Functional Recovery Requirement Overview:

- BSSC FRTC Issue Team 4 leading prescriptive design requirements (led by Reid Zimmerman & Carlos Molina-Hutt, SP3 runs).
- Includes structural - R, Cd, drift limits; non-structural – anchorage, pre-qual.; QA/QC.
- Non-prescriptive design guidance/allowance is also being included.



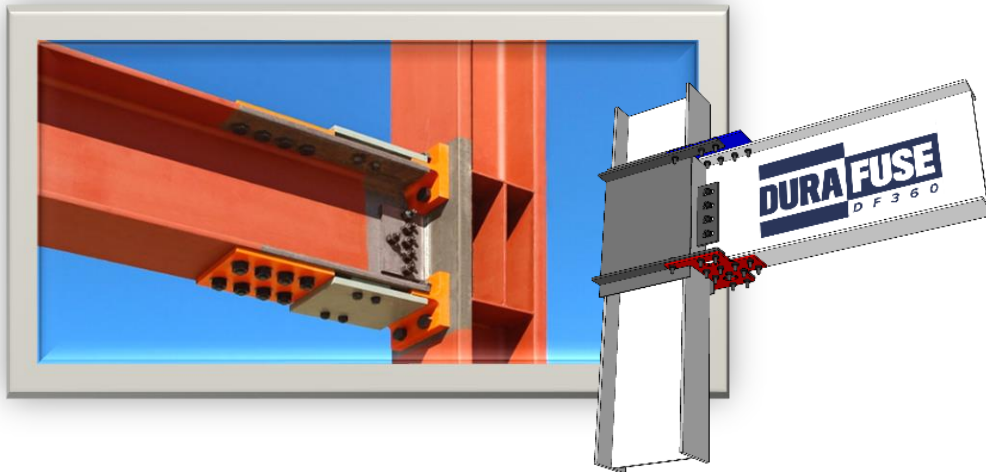


## Current ASCE7 Safety Design

$R = 8$   
Drift Limit  
= 2%

## New Design for Quick Functional Recovery

$R = ??$   
Drift Limit  
= ??



$R = 8$   
Drift Limit  
= 2%

$R = ??$   
Drift Limit  
= ??

- We are in a **pivotal moment** in our SE profession, as we are starting to change the design paradigm from **safe-only** to **safe+functional**.
- The Resilient Design Movement has now moved to the **codification phase**.
- Codification is key to move us from the early-adopter voluntary phase (few buildings) to the mainstream phase (most/all buildings), to achieve **community resilience**.
- Resilient design code requirements are drafted now, will be completed in 2024, and sent from NEHRP/BSSC to ASCE 7 in early 2025.
- I see this movement having a huge impact on our societal recovery after a large earthquake.
- We can continue to work together to move resilient design forward:
  - ✓ **P-58/SP3:** Methods/software to enable resilient design for functional recovery.
  - ✓ **Structural Engineers:** Leaders keep leading! More SEs doing visionary projects to vet resilient design methods and lead the way!
- Enabling resilient design has been my focus for the past 10+ years, so please reach out to further collaborate and/or provide any constructive feedback. Thank you!



- Thank you for your time.
- Time for questions and discussion!

Curt Haselton: *curt@hbrisk.com*, Direct: (530) 514-8980

Kendall Anderson (HB-Risk admin): *kendall@hbrisk.com*

*[www.sp3risk.com](http://www.sp3risk.com)*





## Seismic Performance Assessment of Buildings

Volume 1 – Methodology

FEMA P-58-1 / September 2012



FEMA

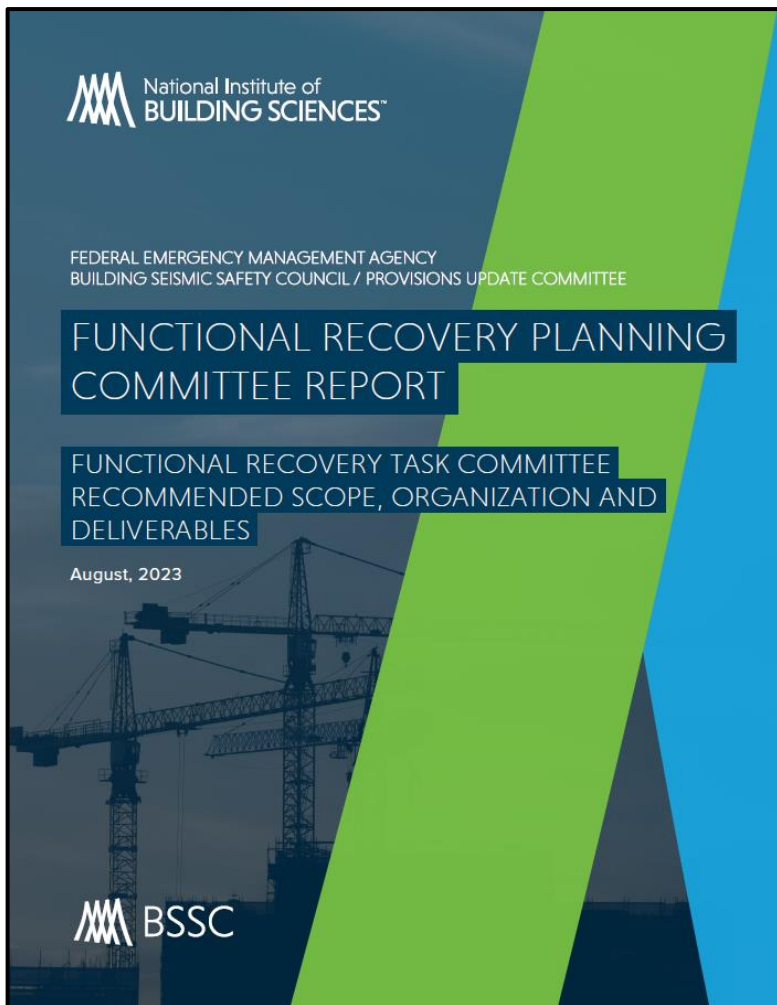


Simplify, and hopefully add some on IT4 and P695 vs. P58 for creating requirements

## Building Seismic Safety Council (BSSC) Functional Recovery Task Committee (FRTC), FEMA-funded



| nibs.org/bssc   |                                             |               |
|-----------------|---------------------------------------------|---------------|
| Name            | Affiliation                                 | Role          |
| Ryan Kersting   | Buehler Engineering                         | Chair         |
| Abbie Liel      | Univ. of Colorado - Boulder                 | Vice Chair    |
| Bob Pekelnicky  | Degenkolb Engineers                         | PUC Liaison   |
| Lucy Arendt     | St. Norbert's College - School of Business  | Voting Member |
| David Bonowitz  | Private Consulting                          | Voting Member |
| Phil Caldwell   | Schneider Electric (ret.)                   | Voting Member |
| Emily Guglielmo | Martin/Martin                               | Voting Member |
| Ron Hamburger   | Simpson Gumpertz & Heger                    | Voting Member |
| Curt Haselton   | CSU-Chico & Haselton Baker Risk Group       | Voting Member |
| Jon Heintz      | Applied Technology Council                  | Voting Member |
| Bret Lizundia   | Rutherford + Chekene                        | Voting Member |
| Kevin Moore     | Simpson Gumpertz & Heger                    | Voting Member |
| Jon Siu         | Private Consulting (ret. building official) | Voting Member |



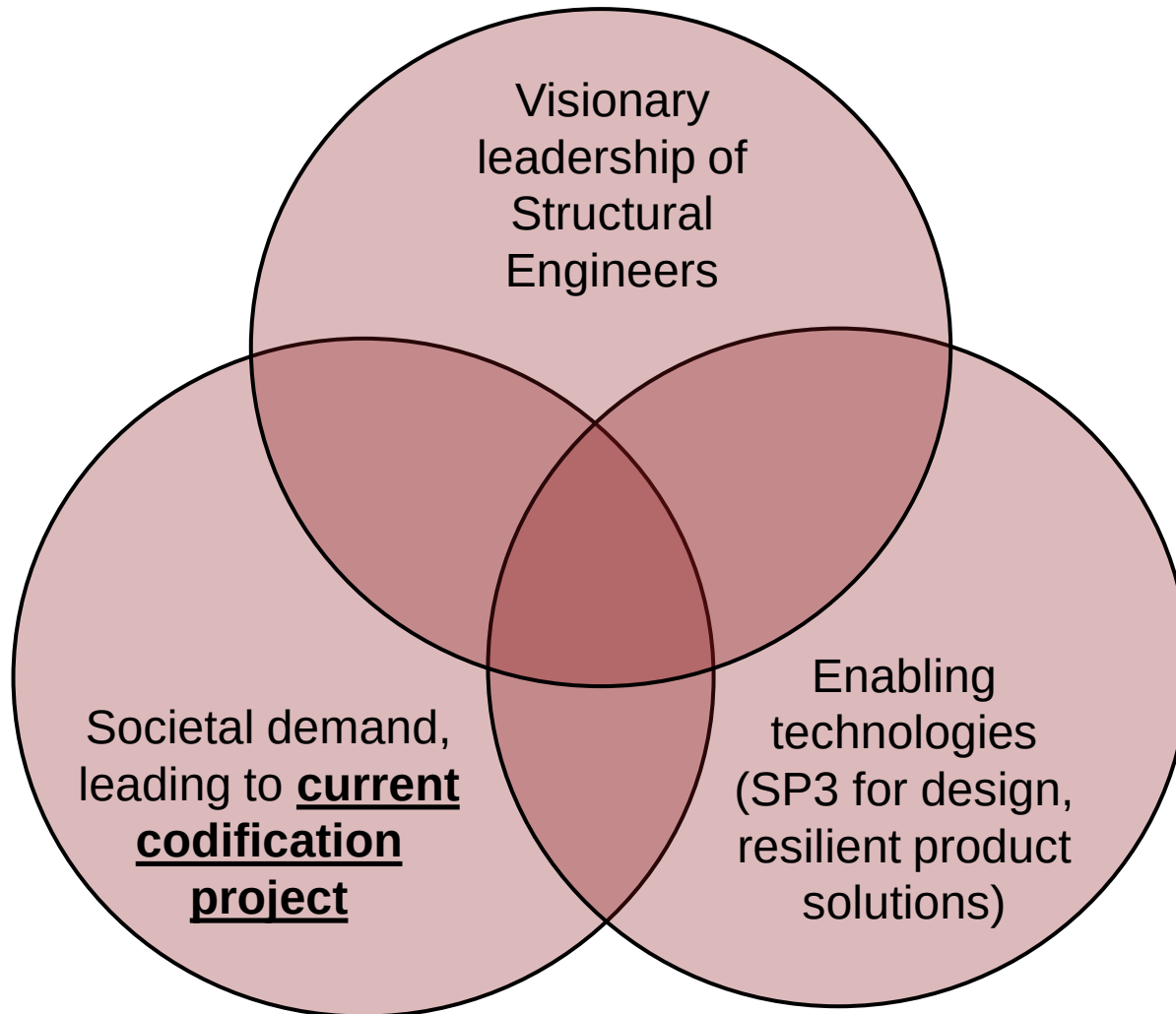
- Large BSSC FRTC building code effort underway to codify resilient design for functional recovery:
  - ✓ Planned in spring 2022, launched in fall 2022.
  - ✓ Composed of ~75-100 people in 7 teams.
  - ✓ Draft requirements are done, completed requirements expected ~Q2 of 2024, final to ASCE7 in 2025, published NEHRP 2025/6.
  - ✓ FEMA P-58/SP3 resilience assessment studies are being run now, for all structural systems, to determine necessary strength factors (R) and drift limits for each structural system.
- This is also being supported through analytical work funded by FEMA through the ATC-138 (FEMA P-58) project.
- The overall idea is to extend building code goals to design for both safety & quick function.
- More mainstream use expected after code adoption (and some during); in the meantime, early-adopter use continues to expand.



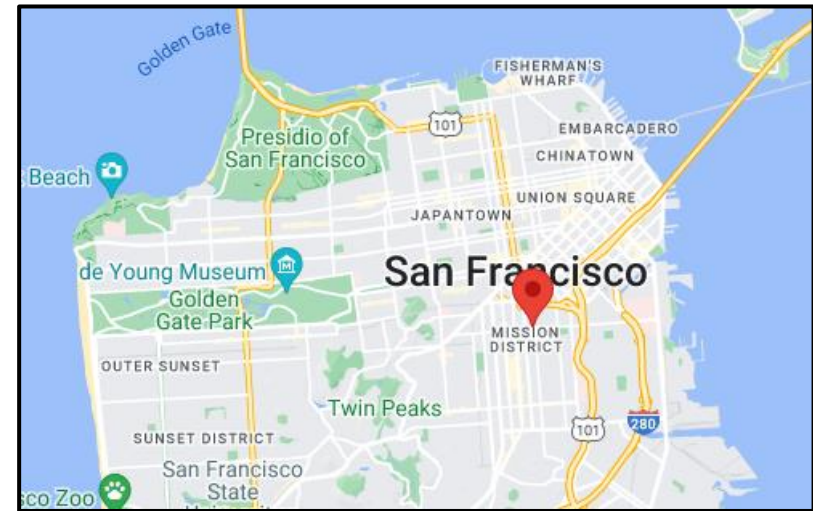
- Overview of the Resilient Design Movement
- FEMA P-58 Method and Extensions for Functional Recovery
- **Illustrative Resilient Design Example (quick)**
- Codification of Resilient Design
- Summary and Next Steps
- Time for Q&A (10-15 minutes)

Maybe do some stuff here on  
“Needs for resilient design”

Probably delete slide



- New 12-story multi-unit residential building in San Francisco (Mission District)
- Example resilient design goals:
  - ✓ DE: Expected functional recovery of < 1 week (median, 50% chance).
  - ✓ DE: Low probability of functional recovery loss for > 6 months (<20%), so need to limit red tagging and damage to long-lead components.
  - ✓ To illustrate how to handle, require all systems to be functional (e.g. HVAC).
  - ✓ Do “core and shell” assessment, so this does not include user-installed equipment (but could!).
  - ✓ Allow temporary repairs.
- Note: The goal is not no damage; it is no damage that impedes function and not quickly repairable.



- Design is obviously iterative, but there are some rough steps and considerations in the resilient design process.
  - ✓ **Step #1: Select Structural System and Design** - Select trial system, assess code-minimum performance, iterate as needed.
  - ✓ **Step #2: Identify Problem Components** - Identify systems/components with functionality issues in the trial design.
  - ✓ **Step #3: Design Components for Function** - Design all problem components to remain functional (as needed).
    - a) Design drift-sensitive components
    - b) Design acceleration-sensitive components
    - c) Design elevators
  - ✓ **Step #4: Confirm Design Goals are Met** – Run the full building performance model again to ensure that building-level goals are met.

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    - a) Design drift-sensitive components
    - b) Design acceleration-sensitive components
    - c) Design elevators
  - ✓ **Step #4: Confirm Design Goals are Met** – Run the full building performance model again to ensure that building-level goals are met.



- Not necessarily design for no ductility/damage.
- Design such that there is no damage that inhibits function.
- Basic approaches:
  - ✓ Essentially-elastic low-damage.
  - ✓ Allow ductility, but in a fuse that is easy to repair or doesn't need repair.
- Selection considerations for structural performance:
  - ✓ Need to have low chance of red tag.
  - ✓ Control damage to gravity system.
  - ✓ Control residual drifts.
- Selection considerations for non-structural performance:
  - ✓ Drifts (reduce, or design components for them)
  - ✓ Floor accelerations (reduce, which is harder if not using dampers, or design components to withstand the accelerations)

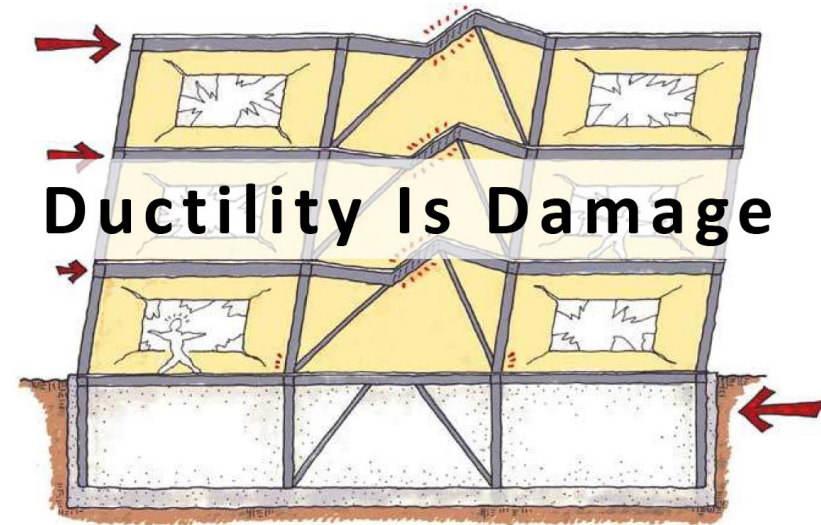
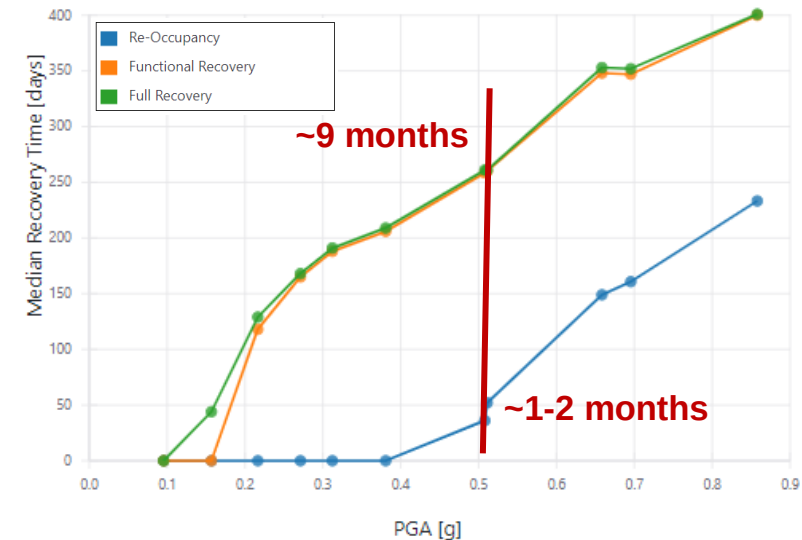
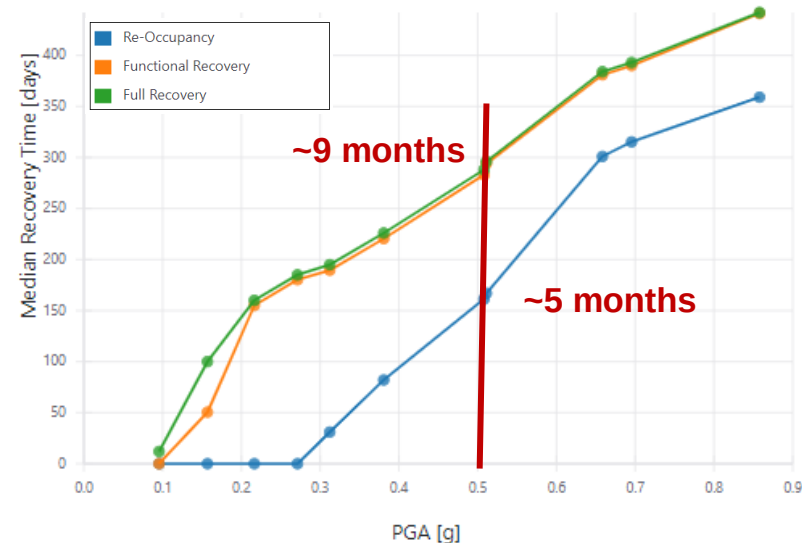


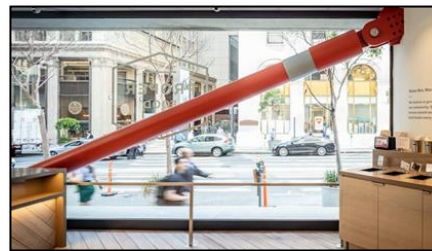
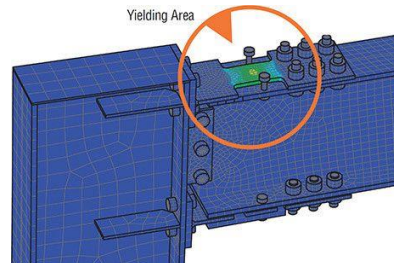
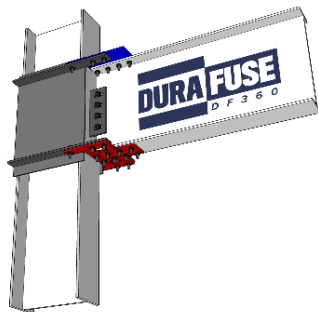
Figure courtesy of David Mar

- Selection and design of the structural system is obviously a critical step, but we will keep it rather short here.
- Trial Design #1:
  - ✓ RC Special Moment Frame designed for RC II.
  - ✓ Gives red-tagging probability at DE of 28%.
  - ✓ Results in 5 months reoccupancy and 9 mo. FR.
- Trial Design #2:
  - ✓ Switch to an RC shear wall.
  - ✓ Red-tagging at DE still too high for RC II (15%).
- Trial Design #3:
  - ✓ Design shear wall for RC IV structural requirements (1% drift,  $I_e = 1.5$ ), ensure flexural-controlled.
  - ✓ Red-tagging at DE now works better (4%).
  - ✓ Results in 1-2 mo. reoccupancy and 9 mo. FR.
  - ✓ Note: Generally, 1% drift is often used in design for functional recovery, added strength sometimes needed.

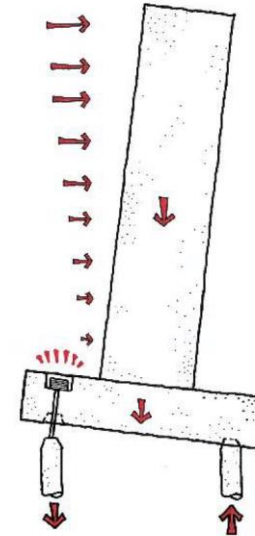


## Resilient Design Options:

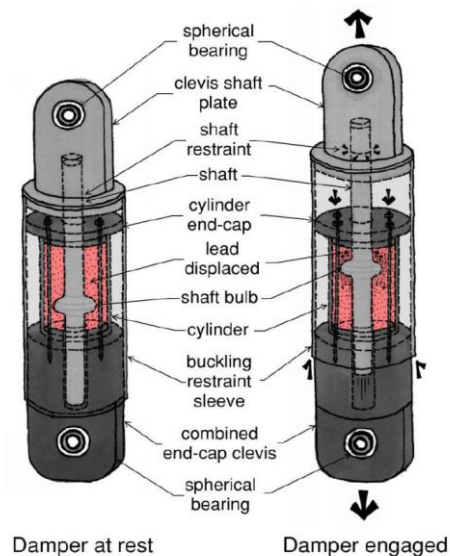
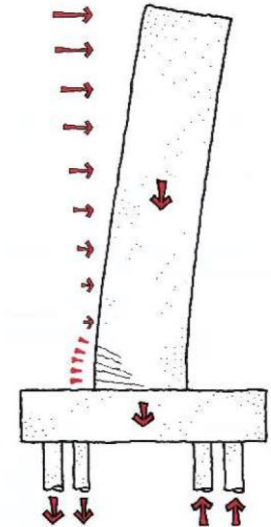
- 1) In this example, we will use an RC Shear wall with RC IV design, and ensure flexural-controlled (conventional system).
- 2) Can also use performance-based design, such as rocking systems, but this is more work (peer review), and is the exception for approach.
- 3) Can also use code-compliant systems that are low-damage or easy-to-repair (typically proprietary, since designed specifically to be resilient).



Performance-Based  
Rocking Wall



Conventional Wall



- Design is obviously iterative, but there are some rough steps and considerations in the resilient design process.
  - ✓ **Step #1: Select Structural System and Design** - Select trial system, assess code-minimum performance, iterate as needed.
  - ✓ **Step #2: Identify Problem Components** - Identify systems/components with functionality issues in the trial design.
  - ✓ **Step #3: Design Components for Function** - Design all problem components to remain functional (as needed).
    - a) Design drift-sensitive components
    - b) Design acceleration-sensitive components
    - c) Design elevators
    - d) Discussion of QA/QC considerations and effects
  - ✓ **Step #4: Confirm Design Goals are Met** – Run the full building performance model again to ensure that building-level goals are met.

# Step #2: Identify Problem Components

Table 3.4. Percent of realizations affecting building reoccupancy/function per system check - DE

|                                                                   | Immediate | >3 days   | >7 days   | >14 days  | >1 month  | >6 months  | >12 months |
|-------------------------------------------------------------------|-----------|-----------|-----------|-----------|-----------|------------|------------|
| <b>Building Reoccupancy (also affects function)</b>               |           |           |           |           |           |            |            |
| Red Tag (Structural)                                              | 3.3       | 3.3       | 3.3       | 3.3       | 3.3       | 2.2        | 0.1        |
| Shoring                                                           | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Hazardous Material                                                | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Fire Suppression                                                  | 16        | 16        | 16        | 16        | 15        | 2.3        | 0.0        |
| Entry Door Access                                                 | 20        | 20        | 19        | 16        | 15        | 0.9        | 0.0        |
| Exterior Falling Hazard                                           | 19        | 19        | 19        | 16        | 15        | 0.9        | 0.0        |
| Entry Door Racking                                                | 0.8       | 0.6       | 0.2       | 0.0       | 0.0       | 0.0        | 0.0        |
| Stairs                                                            | 38        | 38        | 38        | 38        | 38        | 8.5        | 0.2        |
| Stairway Doors                                                    | 84        | 69        | 22        | 1.3       | 0.0       | 0.0        | 0.0        |
| Flooding                                                          | 21        | 21        | 13        | 4.1       | 0.0       | 0.0        | 0.0        |
| Horizontal Egress                                                 | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Exterior Enclosure                                                | 16        | 16        | 16        | 16        | 14        | 1.6        | 0.1        |
| Interior Falling Hazards                                          | 1.9       | 1.9       | 1.9       | 1.9       | 1.9       | 1.5        | 0.1        |
| <b>All Loss of Reoccupancy</b>                                    | <b>53</b> | <b>53</b> | <b>52</b> | <b>52</b> | <b>50</b> | <b>9.8</b> | <b>0.2</b> |
| <b>Building Function (affects function only, not reoccupancy)</b> |           |           |           |           |           |            |            |
| Elevators                                                         | 63        | 63        | 63        | 63        | 63        | 51         | 15         |
| Exterior Enclosure                                                | 0.6       | 0.6       | 0.6       | 0.6       | 0.6       | 0.1        | 0.0        |
| Interior Space                                                    | 19        | 8.8       | 2.7       | 2.7       | 2.7       | 2.2        | 0.2        |
| Electrical                                                        | 45        | 45        | 45        | 45        | 45        | 25         | 4.4        |
| Flooding                                                          | 21        | 21        | 21        | 21        | 21        | 7.3        | 1.1        |
| Potable Water                                                     | 13        | 13        | 13        | 13        | 13        | 1.8        | 0.0        |
| Sanitary Plumbing                                                 | 13        | 13        | 13        | 13        | 13        | 1.8        | 0.0        |
| HVAC Ventilation                                                  | 77        | 77        | 77        | 77        | 76        | 45         | 8.4        |
| HVAC Heating                                                      | 77        | 77        | 77        | 77        | 76        | 45         | 8.4        |
| HVAC Cooling                                                      | 78        | 78        | 78        | 78        | 77        | 46         | 8.6        |
| HVAC Exhaust                                                      | 66        | 66        | 66        | 66        | 66        | 42         | 8.0        |
| <b>All Loss of Function</b>                                       | <b>97</b> | <b>95</b> | <b>90</b> | <b>88</b> | <b>87</b> | <b>70</b>  | <b>23</b>  |

**Observation:** The prior design step to resolve red-tagging has taken care of a lot of drift-sensitive items (1% drift limit)



# Step #2: Identify Problem Components

Table 3.6. Percent of realizations affecting building reoccupancy/functionality per component - DE

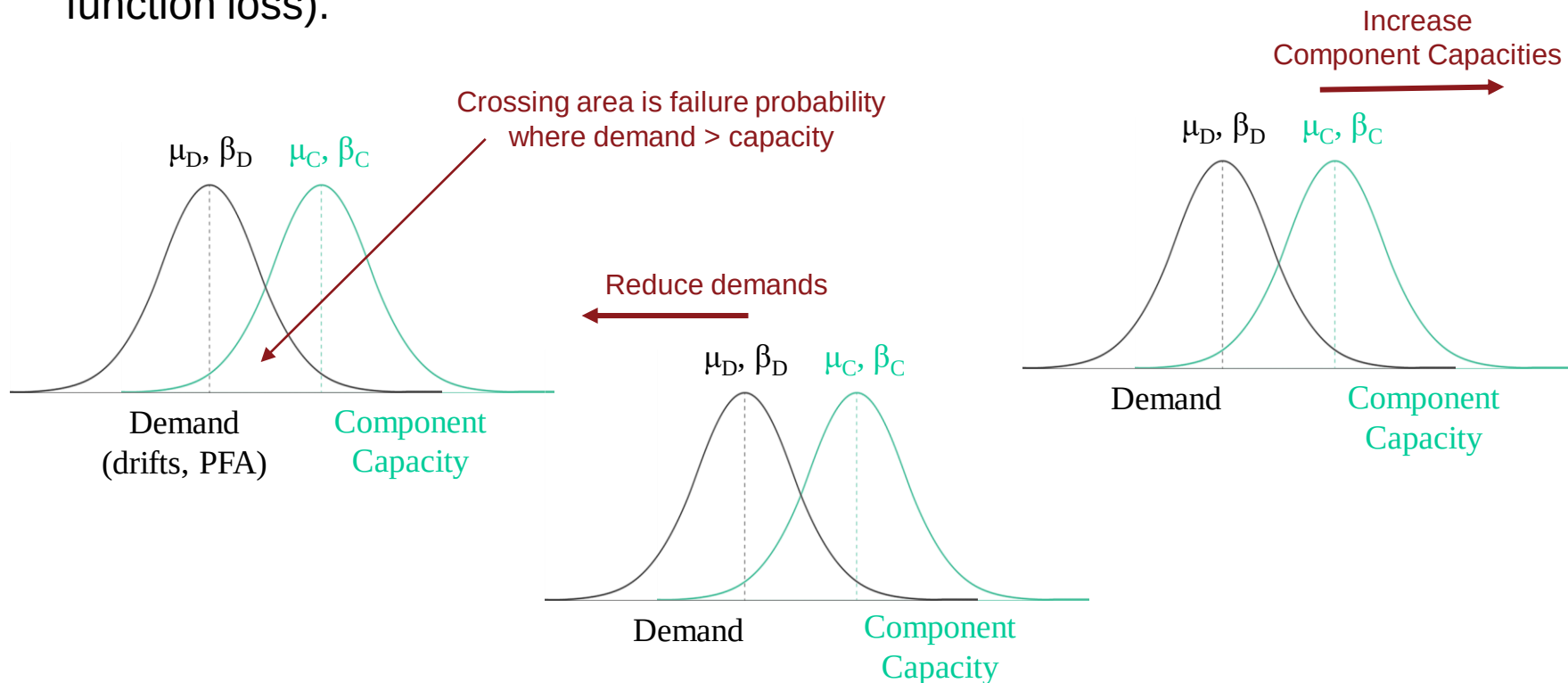
|                                                                                                                  | Immediate | >3 days   | >7 days   | >14 days  | >1 month  | >6 months | >12 months |
|------------------------------------------------------------------------------------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|------------|
| <b>Concrete</b>                                                                                                  |           |           |           |           |           |           |            |
| B1044.091                                                                                                        | 1.9 / 1.9 | 1.9 / 1.9 | 1.9 / 1.9 | 1.9 / 1.9 | 1.9 / 1.9 | 1.2 / 1.2 | 0.0 / 0.0  |
| <sup>†</sup> Red Tag                                                                                             |           |           |           |           |           |           |            |
| B1049.011                                                                                                        | 1.9 / 1.9 | 1.9 / 1.9 | 1.9 / 1.9 | 1.9 / 1.9 | 1.9 / 1.9 | 1.6 / 1.6 | 0.1 / 0.1  |
| <sup>†</sup> Red Tag, Interior Falling Hazards, Interior Space                                                   |           |           |           |           |           |           |            |
| <b>Envelope</b>                                                                                                  |           |           |           |           |           |           |            |
| B2011.201a                                                                                                       | 3.1 / 3.1 | 3.1 / 3.1 | 3.0 / 3.0 | 2.7 / 2.7 | 2.3 / 2.4 | 0.4 / 0.4 | 0.0 / 0.0  |
| <sup>†</sup> Exterior Falling Hazard, Exterior Enclosure (function)                                              |           |           |           |           |           |           |            |
| B2011.201b                                                                                                       | 12 / 13   | 12 / 12   | 12 / 12   | 12 / 12   | 12 / 12   | 0.9 / 0.9 | 0.0 / 0.0  |
| <sup>†</sup> Exterior Falling Hazard, Exterior Enclosure (function), Interior Space, Exterior Enclosure (safety) |           |           |           |           |           |           |            |
| B2022.002                                                                                                        | 13 / 13   | 13 / 13   | 13 / 13   | 13 / 13   | 11 / 11   | 1.5 / 1.5 | 0.1 / 0.1  |
| <sup>†</sup> Exterior Falling Hazard, Exterior Enclosure (function), Interior Space, Exterior Enclosure (safety) |           |           |           |           |           |           |            |
| <b>Interior</b>                                                                                                  |           |           |           |           |           |           |            |
| C1011.001b                                                                                                       | 0.0 / 19  | 0.0 / 8.6 | 0.0 / 2.4 | 0.0 / 2.4 | 0.0 / 2.4 | 0.0 / 2.0 | 0.0 / 0.2  |
| <sup>†</sup> Interior Space                                                                                      |           |           |           |           |           |           |            |
| C3011.001b                                                                                                       | 0.0 / 0.0 | 0.0 / 0.0 | 0.0 / 0.0 | 0.0 / 0.0 | 0.0 / 0.0 | 0.0 / 0.0 | 0.0 / 0.0  |
| <sup>†</sup> No Reoccupancy/Functionality Consequences (Repair Time Only)                                        |           |           |           |           |           |           |            |
| <b>Stairs</b>                                                                                                    |           |           |           |           |           |           |            |
| C2011.011b                                                                                                       | 38 / 38   | 38 / 38   | 38 / 38   | 38 / 38   | 38 / 38   | 8.5 / 8.5 | 0.2 / 0.2  |
| <sup>†</sup> Stairs                                                                                              |           |           |           |           |           |           |            |
| <b>Conveying</b>                                                                                                 |           |           |           |           |           |           |            |
| <sup>†</sup> All components in this group: Elevators                                                             |           |           |           |           |           |           |            |
| D1014.141                                                                                                        | 0.0 / 15  | 0.0 / 15  | 0.0 / 15  | 0.0 / 15  | 0.0 / 15  | 0.0 / 9.8 | 0.0 / 2.1  |
| D1014.142                                                                                                        | 0.0 / 38  | 0.0 / 38  | 0.0 / 38  | 0.0 / 38  | 0.0 / 38  | 0.0 / 30  | 0.0 / 6.7  |
| D1014.143                                                                                                        | 0.0 / 25  | 0.0 / 25  | 0.0 / 25  | 0.0 / 25  | 0.0 / 25  | 0.0 / 23  | 0.0 / 7.8  |
| D1014.144                                                                                                        | 0.0 / 25  | 0.0 / 25  | 0.0 / 25  | 0.0 / 25  | 0.0 / 25  | 0.0 / 24  | 0.0 / 8.0  |
| D1014.151                                                                                                        | 0.0 / 42  | 0.0 / 42  | 0.0 / 42  | 0.0 / 42  | 0.0 / 42  | 0.0 / 36  | 0.0 / 11   |

<sup>†</sup>System checks that are affected by this component

Continued on next page

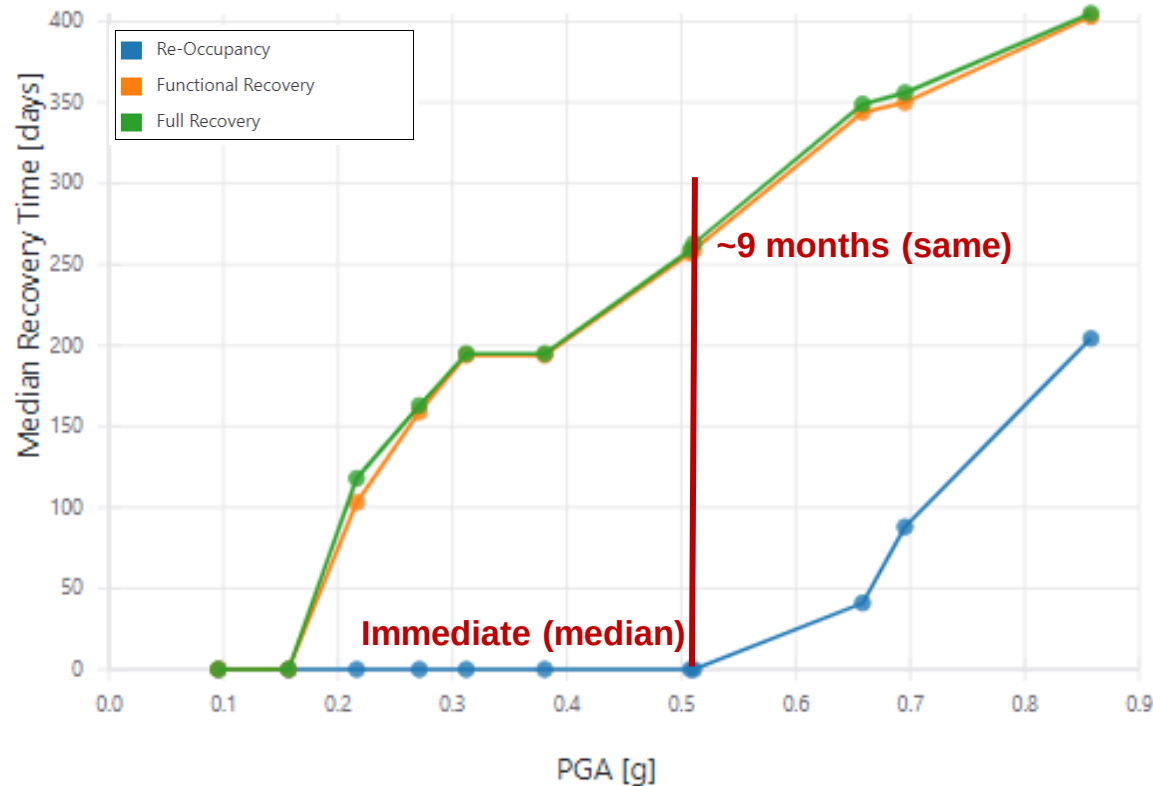
- Design is obviously iterative, but there are some rough steps and considerations in the resilient design process.
  - ✓ **Step #1: Select Structural System and Design** - Select trial system, assess code-minimum performance, iterate as needed.
  - ✓ **Step #2: Identify Problem Components** - Identify systems/components with functionality issues in the trial design.
  - ✓ **Step #3: Design Components for Function** - Design all problem components to remain functional (as needed).
    - a) Design drift-sensitive components
    - b) Design acceleration-sensitive components
    - c) Design elevators
    - d) Discussion of QA/QC considerations and effects
  - ✓ **Step #4: Confirm Design Goals are Met** – Run the full building performance model again to ensure that building-level goals are met.

- We now have a list of components that we need to redesign to meet building function requirements.
- We can iteratively run FEMA P-58/SP3 to make these design changes, but it is much easier to “uncouple” the design.
- We really just need to design the problem components for a D/C ratio that gives low probability damage at DE shaking (only for damage that causes function loss).



- Let's fix the drift-sensitive components first (to get fast reoccupancy)
  - ✓ Note that the wall was already designed for a 1% drift limit and  $I_e = 1.5$ ; this took care of most drift-sensitive items (e.g. slab damage, in-plane cladding damage, etc.).
  - ✓ Last need for this example is just to add a seismic gap to the stairs!

- Let's fix the drift-sensitive components first (to get fast reoccupancy)





- Design is obviously iterative, but there are some rough steps and considerations in the resilient design process.
  - ✓ **Step #1: Select Structural System and Design** - Select trial system, assess code-minimum performance, iterate as needed.
  - ✓ **Step #2: Identify Problem Components** - Identify systems/components with functionality issues in the trial design.
  - ✓ **Step #3: Design Components for Function** - Design all problem components to remain functional (as needed).
    - a) Design drift-sensitive components
    - b) Design accel-sensitive components
    - c) Design elevators
    - d) Discussion of QA/QC considerations and effects
  - ✓ **Step #4: Confirm Design Goals are Met** – Run the full building performance model again to ensure that building-level goals are met.

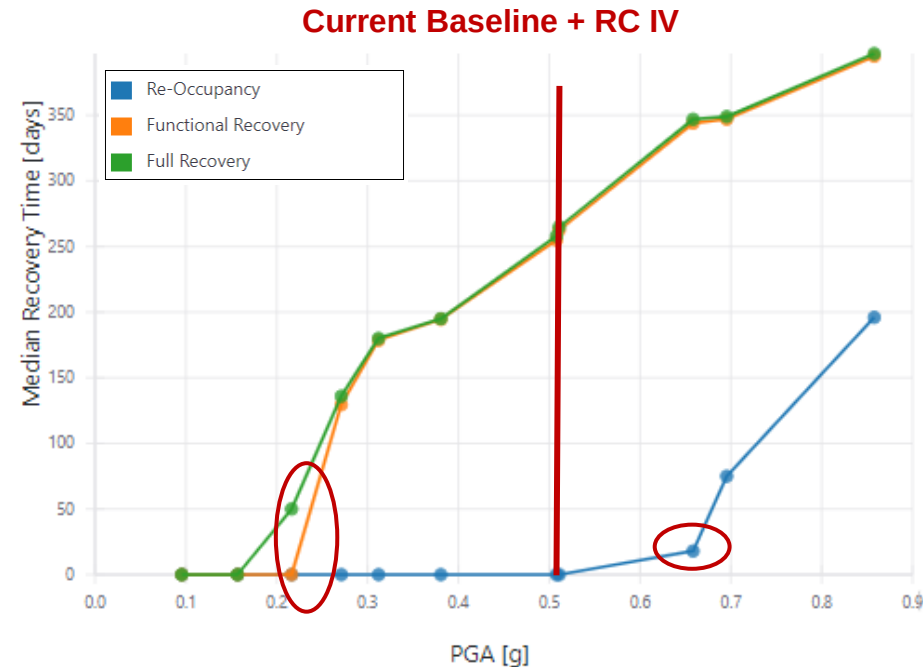
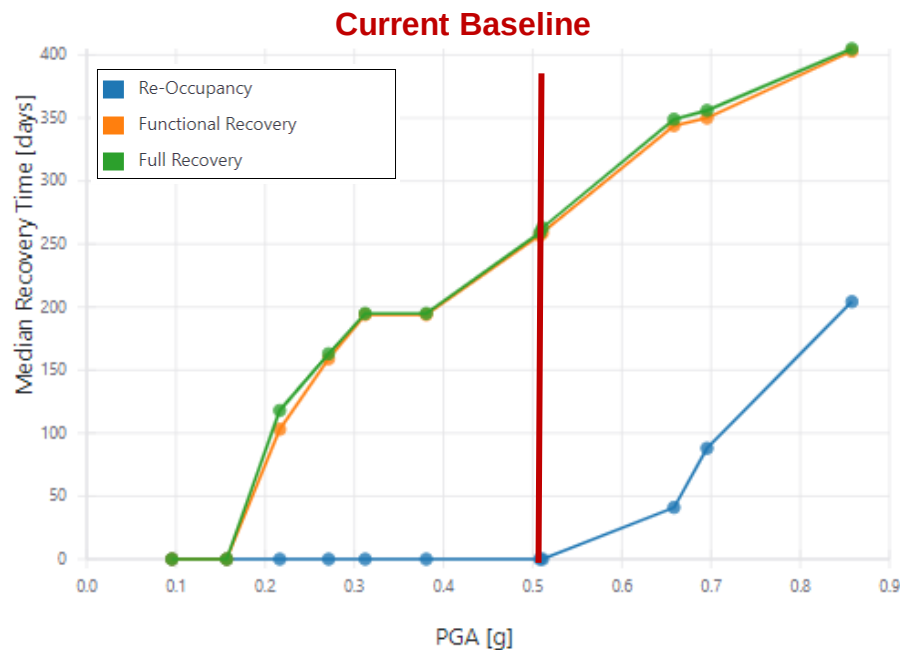
- Let's fix the components needed for function (which are mostly acceleration-sensitive)

Table 3.4. Percent of realizations affecting building reoccupancy/function per system check - DE

|                                                                   | Immediate | >3 days   | >7 days   | >14 days  | >1 month  | >6 months  | >12 months |
|-------------------------------------------------------------------|-----------|-----------|-----------|-----------|-----------|------------|------------|
| <b>Building Reoccupancy (also affects function)</b>               |           |           |           |           |           |            |            |
| Red Tag (Structural)                                              | 3.6       | 3.6       | 3.6       | 3.6       | 3.6       | 2.6        | 0.2        |
| Shoring                                                           | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Hazardous Material                                                | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Fire Suppression                                                  | 15        | 15        | 15        | 15        | 15        | 2.5        | 0.0        |
| Entry Door Access                                                 | 19        | 19        | 18        | 15        | 13        | 1.1        | 0.1        |
| Exterior Falling Hazard                                           | 19        | 19        | 18        | 15        | 13        | 1.1        | 0.1        |
| Entry Door Racking                                                | 0.6       | 0.4       | 0.1       | 0.0       | 0.0       | 0.0        | 0.0        |
| Stairs                                                            | 4.5       | 4.5       | 4.5       | 4.5       | 4.5       | 1.7        | 0.2        |
| Stairway Doors                                                    | 85        | 67        | 21        | 1.3       | 0.0       | 0.0        | 0.0        |
| Flooding                                                          | 32        | 31        | 18        | 4.3       | 0.0       | 0.0        | 0.0        |
| Horizontal Egress                                                 | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Exterior Enclosure                                                | 16        | 16        | 16        | 16        | 15        | 2.0        | 0.1        |
| Interior Falling Hazards                                          | 1.9       | 1.9       | 1.9       | 1.9       | 1.9       | 1.5        | 0.1        |
| <b>All Loss of Reoccupancy</b>                                    | <b>42</b> | <b>42</b> | <b>38</b> | <b>33</b> | <b>30</b> | <b>5.0</b> | <b>0.3</b> |
| <b>Building Function (affects function only, not reoccupancy)</b> |           |           |           |           |           |            |            |
| Elevators                                                         | 64        | 64        | 64        | 64        | 63        | 50         | 17         |
| Exterior Enclosure                                                | 0.7       | 0.7       | 0.7       | 0.7       | 0.7       | 0.2        | 0.0        |
| Interior Space                                                    | 19        | 8.6       | 2.8       | 2.8       | 2.8       | 2.5        | 0.3        |
| Electrical                                                        | 45        | 45        | 45        | 45        | 45        | 25         | 4.4        |
| Flooding                                                          | 32        | 32        | 32        | 32        | 32        | 12         | 1.1        |
| Potable Water                                                     | 11        | 11        | 11        | 11        | 11        | 2.0        | 0.0        |
| Sanitary Plumbing                                                 | 26        | 26        | 26        | 26        | 25        | 3.9        | 0.0        |
| HVAC Ventilation                                                  | 64        | 64        | 64        | 64        | 64        | 40         | 8.1        |
| HVAC Heating                                                      | 64        | 64        | 64        | 64        | 64        | 40         | 8.1        |
| HVAC Cooling                                                      | 70        | 70        | 70        | 70        | 70        | 43         | 8.6        |
| HVAC Exhaust                                                      | 67        | 67        | 67        | 67        | 67        | 42         | 8.5        |
| <b>All Loss of Function</b>                                       | <b>96</b> | <b>93</b> | <b>86</b> | <b>82</b> | <b>81</b> | <b>66</b>  | <b>25</b>  |

The damage to elevators and lots of MEP systems is impeding function.

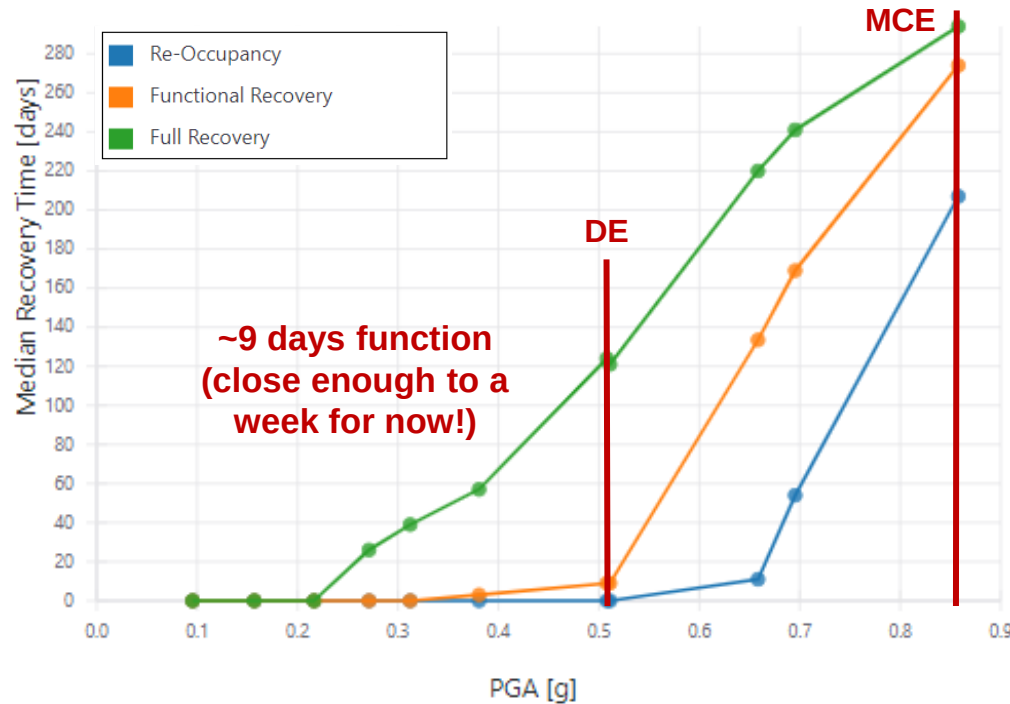
- Try Risk Category IV for non-structural components first, since we already used RC IV requirements for the structural design step (1% drift,  $I_e = 1.5$ ).
- Let's see what this gets us (using  $I_p = 1.5$ , pre-qualified equipment)....



- Result: RC IV helps some, delaying onset of function loss, but little impact at DE level.
- Clarification Necessary:
  - This **does not** mean that RC IV did not fix **anything**. It did with pre-qualified equipment, etc.
  - This just means that RC IV **did not fix everything** that impedes function.
  - We will probe this more in this design example, and show that **RC IV gets us close**.

- Let's now do what is needed to fix the components required for function (which are typically acceleration-sensitive)
  - ✓ Elevators – design for 2x strength required in current ASME (more precise design resilient design requirements development) [above RC IV]
  - ✓ Specify pre-qualified equipment, per ASCE7 Chapter 13. [same as RC IV]
  - ✓ Design anchorages to not need repair - using  $I_p/R_p = I_p/R_{po} \approx 1.5$ ; optimized capacity increases for this example were  $\sim 1.25$ - $2.0$ x of code minimum values). [some are above RC IV]

- Design is obviously iterative, but there are some rough steps and considerations in the resilient design process.
  - ✓ **Step #1: Select Structural System and Design** - Select trial system, assess code-minimum performance, iterate as needed.
  - ✓ **Step #2: Identify Problem Components** - Identify systems/components with functionality issues in the trial design.
  - ✓ **Step #3: Design Components for Function** - Design all problem components to remain functional (as needed).
    - a) Design drift-sensitive components
    - b) Design acceleration-sensitive components
    - c) Design elevators
    - d) Discussion of QA/QC considerations and effects
  - ✓ **Step #4: Confirm Design Goals are Met** – Run the full building performance model again to ensure that building-level goals are met.



## Primary Resilient Design Goals Achieved (for DE):

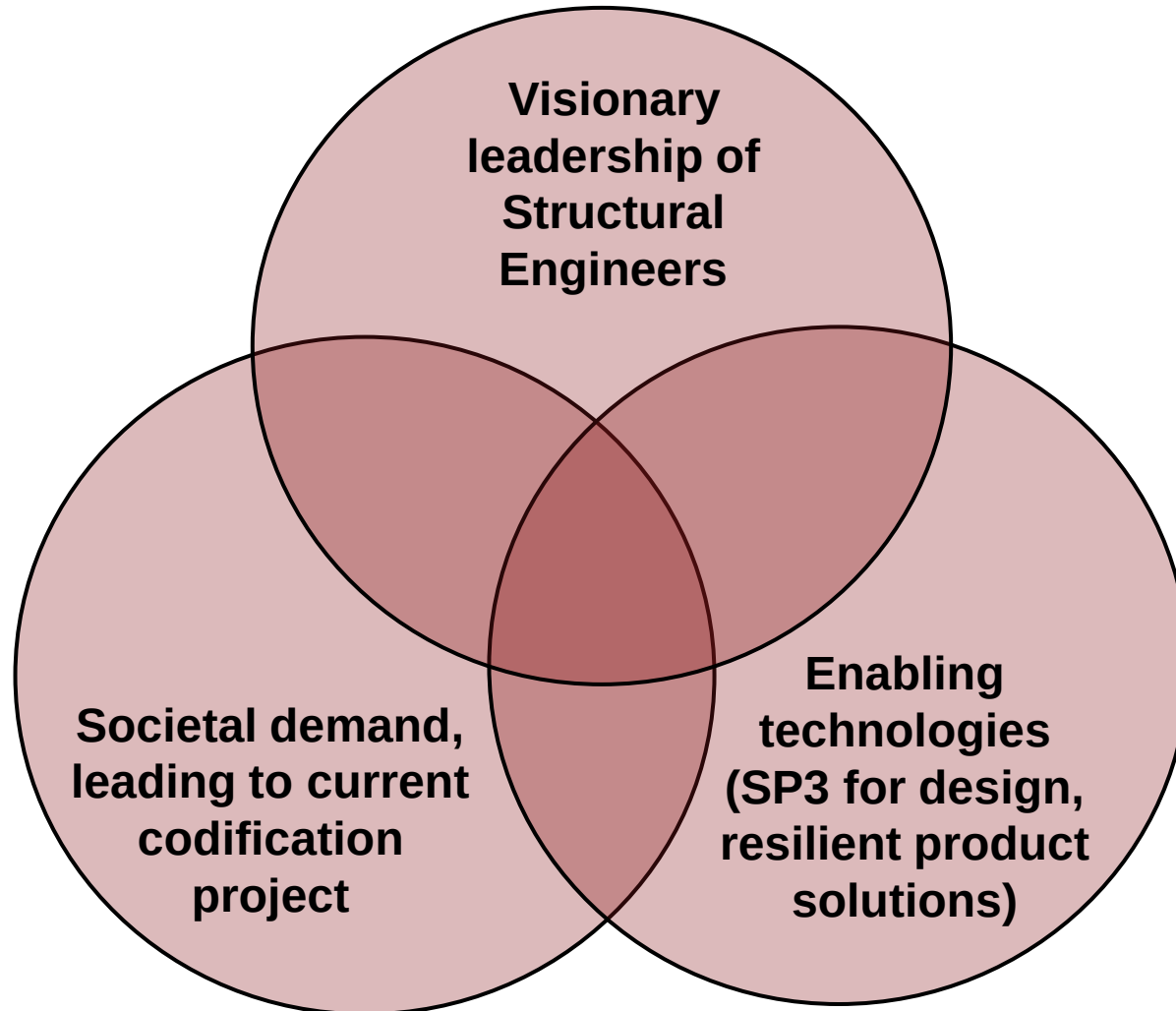
- Median 9 day functional recovery (clean-up and temp repairs) [vs. 9 months for code-minimum]
- Probability of losing function for > 6 months is <20% (computed as 18%) [vs. 90% for code-min]

## Notes on Accuracy and Uncertainty:

- I don't believe that these numbers are exact (earthquakes have a lot of uncertainty).
- I do believe resilient design will perform much better than code-minimum (designed for function!).
- FEMA P-58 Functional Recovery Module provides a comprehensive and repeatable design tool for resilient design for functional recovery.



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## Bottom-Up Push for Resilient Design:

- Visionary structural engineers are leading by doing this electively on projects, both to better serve their clients, and to differentiate themselves in the marketplace.
- Typical goals of resilient design projects:
  - **Time:** Reduce time for building to regain function (business disruption); aiming for function in “days to weeks”.
  - **Cost:** Reduce damage and needed repair costs; aiming for less than 5% repair cost.

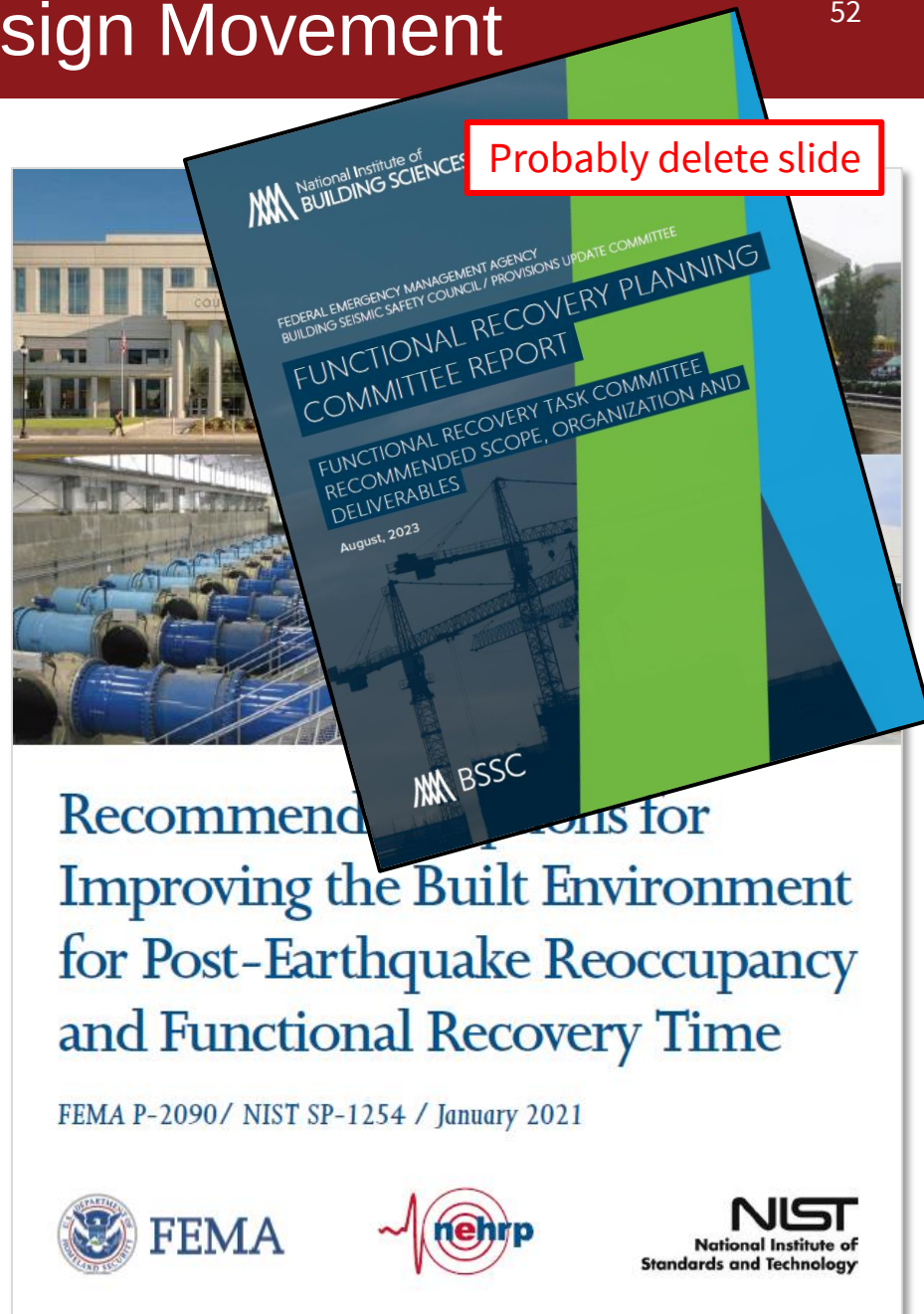
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- Overview of the Resilient Design Movement
- FEMA P-58 Method and new Functional Recovery Module
- Illustrative Resilient Design Example (quick)
- Codification of Resilient Design for Functional Recovery
- Summary and Next Steps
- Time for Q&A (~10-15 minutes)

## Top-Down Push for Resilient Design:

- **Federal:** NEHRP Reauthorization with mandate to look at building function, NIST Immediate Occupancy report, NIST/FEMA Functional Recovery report.

*“**Functional recovery** is a post-earthquake performance state in which a building or lifeline infrastructure system is maintained, or restored, to safely and adequately support the **basic intended functions** associated with the pre-earthquake use or occupancy of a building...”*



## Top-Down Push for Resilient Design:

- **State:** California Assembly Bill AB-1329, entitled “*Functional Recovery Standard*”.

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“(b) (1) *During the 2024 triennial code adoption cycle, the California Building Standards Commission and the Department of Housing and Community Development, acting in accordance with Section 17921, shall develop, adopt, approve, codify, and publish building standards that require buildings not already under the authority of a different state agency **to be designed and built to a functional recovery standard for earthquake loads.***”

The screenshot displays the California Legislative Information website for Assembly Bill 1329. The page title is "AB-1329 Building codes: earthquakes: functional recovery standard. (2021-2022)". The bill is identified as "ASSEMBLY BILL NO. 1329" and was "Introduced by Assembly Member Nazarian" on "February 19, 2021". The description of the bill is: "An act to amend Sections 18941 and 18941.5 of, and to add Section 18941.11 to, the Health and Safety Code, relating to building standards." The page also shows the bill's history, including its passage in the Senate and Assembly in June and May 2021, and its amendment in the Assembly in April 2021. The page is part of the "LEGISLATIVE COUNSEL'S DIGEST".

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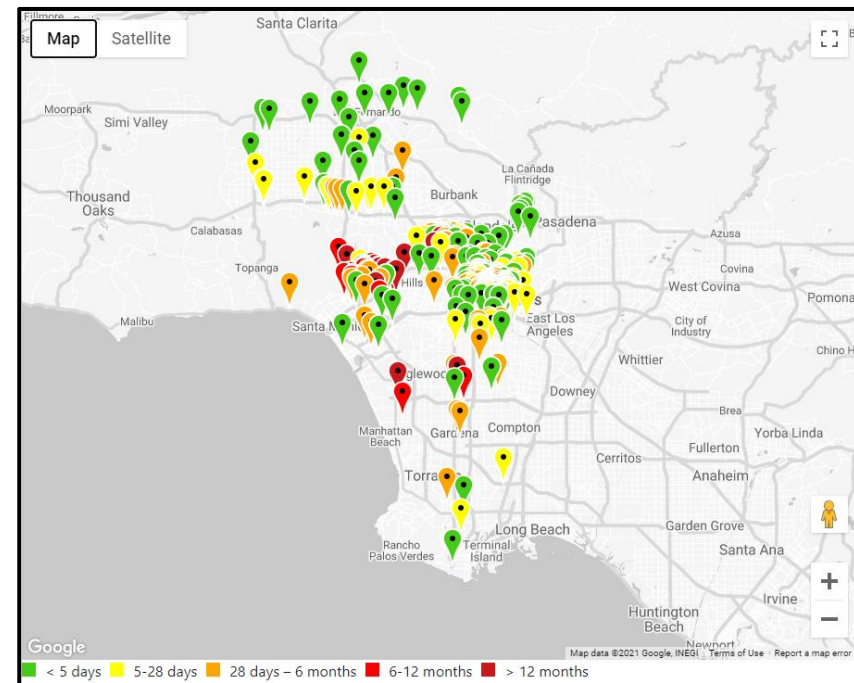
- Overview of the Resilient Design Movement
- **FEMA P-58 Method and the  
New Functional Recovery Module**
- Illustrative Resilient Design Example
- Codification of Resilient Design
- Summary and Next Steps
- Time for Q&A (10-15 minutes)



- We are focusing today on use of FEMA P-58/SP3 for resilient design of new buildings.

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- Quick note that many other common use cases also:
  - ✓ Building-specific risk assessment for mortgage risk (PML+)
  - ✓ Building-specific risk assessment for insurance risk
  - ✓ Business disruption assessment
  - ✓ Retrofit of existing buildings
  - ✓ Portfolio risk assessment (with automation of SP3-Batch)
  - ✓ SP3-SiteHazards



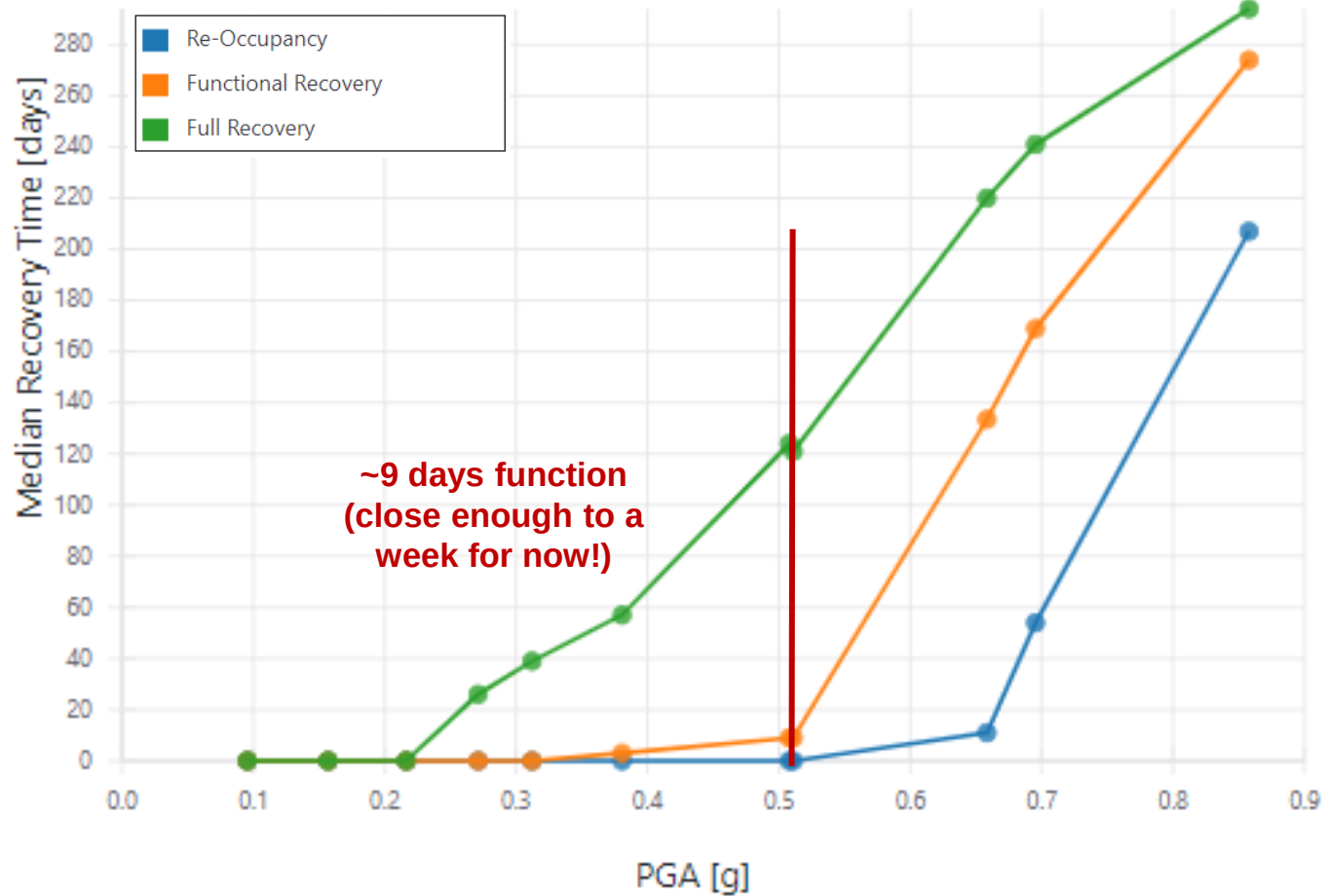
- © HB Risk Group

Table 3.4. Percent of realizations affecting building reoccupancy/function per system check - DE

|                                                                   | Immediate | >3 days   | >7 days   | >14 days  | >1 month  | >6 months  | >12 months |
|-------------------------------------------------------------------|-----------|-----------|-----------|-----------|-----------|------------|------------|
| <b>Building Reoccupancy</b> (also affects function)               |           |           |           |           |           |            |            |
| Red Tag (Structural)                                              | 3.3       | 3.3       | 3.3       | 3.3       | 3.3       | 2.2        | 0.1        |
| Shoring                                                           | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Hazardous Material                                                | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Fire Suppression                                                  | 16        | 16        | 16        | 16        | 15        | 2.3        | 0.0        |
| Entry Door Access                                                 | 20        | 20        | 19        | 16        | 15        | 0.9        | 0.0        |
| Exterior Falling Hazard                                           | 19        | 19        | 19        | 16        | 15        | 0.9        | 0.0        |
| Entry Door Racking                                                | 0.8       | 0.6       | 0.2       | 0.0       | 0.0       | 0.0        | 0.0        |
| Stairs                                                            | 38        | 38        | 38        | 38        | 38        | 8.5        | 0.2        |
| Stairway Doors                                                    | 84        | 69        | 22        | 1.3       | 0.0       | 0.0        | 0.0        |
| Flooding                                                          | 21        | 21        | 13        | 4.1       | 0.0       | 0.0        | 0.0        |
| Horizontal Egress                                                 | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Exterior Enclosure                                                | 16        | 16        | 16        | 16        | 14        | 1.6        | 0.1        |
| Interior Falling Hazards                                          | 1.9       | 1.9       | 1.9       | 1.9       | 1.9       | 1.5        | 0.1        |
| <b>All Loss of Reoccupancy</b>                                    | <b>53</b> | <b>53</b> | <b>52</b> | <b>52</b> | <b>50</b> | <b>9.8</b> | <b>0.2</b> |
| <b>Building Function</b> (affects function only, not reoccupancy) |           |           |           |           |           |            |            |
| Elevators                                                         | 63        | 63        | 63        | 63        | 63        | 51         | 15         |
| Exterior Enclosure                                                | 0.6       | 0.6       | 0.6       | 0.6       | 0.6       | 0.1        | 0.0        |
| Interior Space                                                    | 19        | 8.8       | 2.7       | 2.7       | 2.7       | 2.2        | 0.2        |
| Electrical                                                        | 45        | 45        | 45        | 45        | 45        | 25         | 4.4        |
| Flooding                                                          | 21        | 21        | 21        | 21        | 21        | 7.3        | 1.1        |
| Potable Water                                                     | 13        | 13        | 13        | 13        | 13        | 1.8        | 0.0        |
| Sanitary Plumbing                                                 | 13        | 13        | 13        | 13        | 13        | 1.8        | 0.0        |
| HVAC Ventilation                                                  | 77        | 77        | 77        | 77        | 76        | 45         | 8.4        |
| HVAC Heating                                                      | 77        | 77        | 77        | 77        | 76        | 45         | 8.4        |
| HVAC Cooling                                                      | 78        | 78        | 78        | 78        | 77        | 46         | 8.6        |
| HVAC Exhaust                                                      | 66        | 66        | 66        | 66        | 66        | 42         | 8.0        |
| <b>All Loss of Function</b>                                       | <b>97</b> | <b>95</b> | <b>90</b> | <b>88</b> | <b>87</b> | <b>70</b>  | <b>23</b>  |

**Observation:** The prior design step to resolve red-tagging has taken care of a lot of drift-sensitive items (1% drift limit)

# Step #4: Confirm Design Goals are Met



## Initial Code-Minimum Design:

Table 3.4. Percent of realizations affecting building reoccupancy/function per system check - DE

|                                                                   | Immediate | >3 days   | >7 days   | >14 days  | >1 month  | >6 months  | >12 months |
|-------------------------------------------------------------------|-----------|-----------|-----------|-----------|-----------|------------|------------|
| <b>Building Reoccupancy</b> (also affects function)               |           |           |           |           |           |            |            |
| Red Tag (Structural)                                              | 3.3       | 3.3       | 3.3       | 3.3       | 3.3       | 2.2        | 0.1        |
| Shoring                                                           | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Hazardous Material                                                | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Fire Suppression                                                  | 16        | 16        | 16        | 16        | 15        | 2.3        | 0.0        |
| Entry Door Access                                                 | 20        | 20        | 19        | 16        | 15        | 0.9        | 0.0        |
| Exterior Falling Hazard                                           | 19        | 19        | 19        | 16        | 15        | 0.9        | 0.0        |
| Entry Door Racking                                                | 0.8       | 0.6       | 0.2       | 0.0       | 0.0       | 0.0        | 0.0        |
| Stairs                                                            | 38        | 38        | 38        | 38        | 38        | 8.5        | 0.2        |
| Stairway Doors                                                    | 84        | 69        | 22        | 1.3       | 0.0       | 0.0        | 0.0        |
| Flooding                                                          | 21        | 21        | 13        | 4.1       | 0.0       | 0.0        | 0.0        |
| Horizontal Egress                                                 | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Exterior Enclosure                                                | 16        | 16        | 16        | 16        | 14        | 1.6        | 0.1        |
| Interior Falling Hazards                                          | 1.9       | 1.9       | 1.9       | 1.9       | 1.9       | 1.5        | 0.1        |
| <b>All Loss of Reoccupancy</b>                                    | <b>53</b> | <b>53</b> | <b>52</b> | <b>52</b> | <b>50</b> | <b>9.8</b> | <b>0.2</b> |
| <b>Building Function</b> (affects function only, not reoccupancy) |           |           |           |           |           |            |            |
| Elevators                                                         | 63        | 63        | 63        | 63        | 63        | 51         | 15         |
| Exterior Enclosure                                                | 0.6       | 0.6       | 0.6       | 0.6       | 0.6       | 0.1        | 0.0        |
| Interior Space                                                    | 19        | 8.8       | 2.7       | 2.7       | 2.7       | 2.2        | 0.2        |
| Electrical                                                        | 45        | 45        | 45        | 45        | 45        | 25         | 4.4        |
| Flooding                                                          | 21        | 21        | 21        | 21        | 21        | 7.3        | 1.1        |
| Potable Water                                                     | 13        | 13        | 13        | 13        | 13        | 1.8        | 0.0        |
| Sanitary Plumbing                                                 | 13        | 13        | 13        | 13        | 13        | 1.8        | 0.0        |
| HVAC Ventilation                                                  | 77        | 77        | 77        | 77        | 76        | 45         | 8.4        |
| HVAC Heating                                                      | 77        | 77        | 77        | 77        | 76        | 45         | 8.4        |
| HVAC Cooling                                                      | 78        | 78        | 78        | 78        | 77        | 46         | 8.6        |
| HVAC Exhaust                                                      | 66        | 66        | 66        | 66        | 66        | 42         | 8.0        |
| <b>All Loss of Function</b>                                       | <b>97</b> | <b>95</b> | <b>90</b> | <b>88</b> | <b>87</b> | <b>70</b>  | <b>23</b>  |

## Current Working Resilient Design:

Table 3.4. Percent of realizations affecting building reoccupancy/function per system check - DE

|                                                                   | Immediate | >3 days   | >7 days   | >14 days  | >1 month  | >6 months  | >12 months |
|-------------------------------------------------------------------|-----------|-----------|-----------|-----------|-----------|------------|------------|
| <b>Building Reoccupancy</b> (also affects function)               |           |           |           |           |           |            |            |
| Red Tag (Structural)                                              | 4.0       | 4.0       | 4.0       | 4.0       | 4.0       | 2.4        | 0.0        |
| Shoring                                                           | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Hazardous Material                                                | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Fire Suppression                                                  | 4.9       | 4.9       | 4.9       | 4.9       | 4.6       | 0.6        | 0.0        |
| Entry Door Access                                                 | 20        | 20        | 20        | 17        | 15        | 1.1        | 0.0        |
| Exterior Falling Hazard                                           | 20        | 20        | 20        | 17        | 15        | 1.1        | 0.0        |
| Entry Door Racking                                                | 0.6       | 0.4       | 0.1       | 0.0       | 0.0       | 0.0        | 0.0        |
| Stairs                                                            | 5.2       | 5.2       | 5.2       | 5.2       | 5.2       | 1.3        | 0.0        |
| Stairway Doors                                                    | 85        | 66        | 21        | 1.2       | 0.0       | 0.0        | 0.0        |
| Flooding                                                          | 14        | 14        | 8.9       | 2.0       | 0.0       | 0.0        | 0.0        |
| Horizontal Egress                                                 | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Exterior Enclosure                                                | 16        | 16        | 16        | 16        | 15        | 1.7        | 0.0        |
| Interior Falling Hazards                                          | 1.6       | 1.6       | 1.6       | 1.6       | 1.6       | 1.3        | 0.0        |
| <b>All Loss of Reoccupancy</b>                                    | <b>32</b> | <b>32</b> | <b>31</b> | <b>28</b> | <b>26</b> | <b>3.7</b> | <b>0.0</b> |
| <b>Building Function</b> (affects function only, not reoccupancy) |           |           |           |           |           |            |            |
| Elevators                                                         | 0.0       | 0.0       | 0.0       | 0.0       | 0.0       | 0.0        | 0.0        |
| Exterior Enclosure                                                | 0.7       | 0.7       | 0.7       | 0.7       | 0.7       | 0.3        | 0.0        |
| Interior Space                                                    | 19        | 8.2       | 2.5       | 2.5       | 2.5       | 2.2        | 0.1        |
| Electrical                                                        | 16        | 16        | 16        | 16        | 16        | 7.8        | 1.8        |
| Flooding                                                          | 14        | 14        | 14        | 14        | 14        | 4.2        | 0.6        |
| Potable Water                                                     | 6.9       | 6.9       | 6.9       | 6.9       | 6.5       | 0.8        | 0.0        |
| Sanitary Plumbing                                                 | 12        | 12        | 12        | 12        | 11        | 1.6        | 0.0        |
| HVAC Ventilation                                                  | 10        | 10        | 10        | 10        | 10        | 5.1        | 0.8        |
| HVAC Heating                                                      | 10        | 10        | 10        | 10        | 10        | 5.1        | 0.8        |
| HVAC Cooling                                                      | 17        | 17        | 17        | 17        | 17        | 7.8        | 1.4        |
| HVAC Exhaust                                                      | 15        | 15        | 15        | 15        | 15        | 7.5        | 1.5        |
| <b>All Loss of Function</b>                                       | <b>89</b> | <b>79</b> | <b>54</b> | <b>43</b> | <b>41</b> | <b>18</b>  | <b>4.1</b> |



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  - Overall, this example landed close to RC IV requirements, even though RC IV alone shows very little change to baseline results.
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    - ✓ Also, limited what structural system could be selected.
  - Stair gap added
  - Equipment
    - ✓ Pre-qualification required for some equipment and note for others; used slightly higher pre-qualification accelerations in some cases.
    - ✓ Anchorage designed for between 1.25-2.0x.
  - Piping – some 1.5x capacity increases needed
  - “Non-Fp” components – a few items require better performance and aren’t clearly linked to design requirements (e.g. HVAC ducting).
  - Elevators – increased capacity needed (details of exact design interventions to accomplish this are in development).

Keep slide?

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