

Test

Restricted Party Screening

Name:	_____	Date:	_____
Email address:	_____	Campus:	_____
Identification:	_____		(Campus, Medical Center, or location)
	(Student or Employee ID)		

Instructions: Complete this test and acknowledgment and submit to your campus [UC Learning Center Administrator](#).

- Why is restricted party screening important?
Select all that apply.
 - Comply with U.S. laws and regulations.
 - Avoid civil and criminal penalties.
 - Only applies to researchers with federal funding.
 - Protect my reputation and the reputation of the University.
- For all international activities, RPS must take place when? Select the best response.
 - At first contact and after commencement of the relevant activities
 - At first contact and before commencement of the relevant activities
 - Before and after commencement of the relevant activities
 - Before and during commencement of the relevant activities
- Which of the following are activities where international parties should be screened? Select all that apply.
 - Financial transactions
 - Research collaborations
 - Awards or agreements (contracts, grants, gifts)
 - International collaborations (e.g., visiting scholars)
 - International shipments
- For international activities, who should you screen as part of a normal business transaction?
Select the best response.
 - The person who initiated the transaction
 - The end user only (e.g., the item/award recipient, the visitor, etc.)
 - Screening is not necessary unless it involves a sanctioned country.
 - Every party involved throughout the transaction (including the end user and partner resources)
- What should you do if you have questions about your RPS results or wish to escalate them? Select the best response.
 - Nothing. (The Export Control Office is responsible to contact me about my RPS results.)
 - Notify my supervisor or director. (They can escalate RPS results and make determinations on my behalf.)
 - Contact my Export Control Office and consult my University's Export Control Office procedures.